

## **Too much iron: A masked foe for leukemias**

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#### 38 **ABSTRACT**

39 The role of iron in non-erythroid hematopoietic lineages and its implication in hemato-oncogenesis are 40 still debated. Iron exerts an important role on hematopoietic stem cell transformation and on mature 41 white blood cell differentiation. Iron acts experimentally as an oncogenic cofactor but its exact role in the 42 transformation of the myelodysplastic syndrome into leukemia continues to be discussed. Body iron 43 overload frequently develops mainly as the result of multiple erythrocyte transfusions in patients with 44 leukemia or myelodysplastic syndrome, and, in the latter, as a result of increased ineffective 45 erythropoiesis. Iron overload, especially through the deleterious effects of reactive oxygen species, leads 46 to organ damage that likely impacts the global outcome of patients, especially after hematopoietic stem 47 cell transplantation (HSCT). In these pathological settings (before and after HSCT), oral iron chelation 48 should be considered whenever body iron overload has been firmly established, ideally by magnetic 49 resonance imaging. 50 51 52 **KEYWORDS** 53 Iron; leukemia; myelodysplastic syndrome; hematopoietic stem cell transplantation; oral chelation; 54 phlebotomy. 55 41 white blood cell differentiation, from acts experimentally as an oneogenic cofactor but its exact role in the transformation of the myelodysplastic syndrome into leukemia continues to be discussed. Body from<br>overload fr

#### 56 **ABBREVIATIONS**

- 57 ALL: acute lymphoblastic leukemia
- 58 AML: acute myeloid leukemia
- 59 DFX: deferasirox
- 60 HSC: hematopoietic stem cell
- 61 HSCT: hematopoietic stem cell transplantation

## 62 LIC: liver iron content

63 LPI: labile plasma iron

- 64 LPS: lipopolysaccharide
- 65 MDS: myelodysplastic syndromes
- 66 MDS-RS: myelodysplastic syndrome with ring sideroblasts
- 67 MSC: mesenchymal stromal cell
- 68 MRI: magnetic resonance imaging ACCEPTED AND MELTING CONTROL C
	- 69 NTBI: non transferrin-bound iron
	- 70 ROS: reactive oxygen species

#### 71 **INTRODUCTION**

72 The crosstalk between iron and hematology is illustrated by the well-known relationship between 73 iron and erythrocytes where iron is required in order to sustain erythrocyte production within the 74 bone marrow. Iron is abundantly found in the blood within these erythrocytes, and released during 75 erythrocyte degradation mainly within the spleen (1). The goal of the present review, beyond 76 examining the role of iron in the erythroid lineage, is to focus on the relationship between iron and 77 hematopoietic cells, with a special emphasis on iron overload and leukemias. Systemic iron overload 78 frequently develops in leukemias, mainly due to transfusion therapy (2-4). Another mechanism, 79 involved in myelodysplastic syndromes (MDS) in particular (hematologic malignancies characterized 80 by a risk of progression to acute myeloid leukemia (AML)), is ineffective erythropoiesis (5). Ineffective 81 erythropoiesis, notably through increased bone marrow production of the hormone erythroferrone 82 (6), reduces hepcidin expression (7), leading to increased digestive absorption of iron and increased 83 splenic release of the iron originating from erythrophagocytosis (8). After a reminder on the 84 physiological role of iron in bone marrow stem cells and mature white blood cells, the following 85 pathological aspects will be considered: the body iron load status in leukemia patients both at 86 diagnosis and during medical care, the negative consequences of iron excess on patient outcome, 87 especially after hematopoietic stem cell transplantation (HSCT), and the clinical interest of removing 88 excessive iron in leukemia patients. 34 bone marrow. Iron is abundantly found in the blood within these erythrocytes, and released during<br>erythrocyte degradation mainly within the spleen (1). The goal of the present review, beyond<br>examining the role of iron

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#### 90 1. IRON: HOMEOSTASIS AND FUNCTIONAL LINKS BETWEEN IRON AND BONE MARROW

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## 92 1.1. General iron homeostasis

93 Iron is critical for life due to its major roles in oxygen transport, key enzymatic reactions (such 94 as DNA synthesis or detoxification) and the growth of microorganisms. In vertebrates, iron is 95 abundantly present within red blood cells and in a tiny but functionally essential amount in

 the plasma; this plasma iron ensures the delivery of iron to the bone marrow primarily for erythrocyte synthesis and to all living cells (9-11) (Fig. 1). Iron is stored in parenchymal cells (essentially hepatocytes where it is sequestered within ferritin molecules) and in macrophages (mainly splenic macrophages). The only physiological source of iron for the body is alimentary, explaining the risk of iron deficiency when this input is limited. In the case of excessive iron input, either by increased intestinal absorption (such as in hemochromatosis) or by excessive parenteral entry (due to multiple transfusions or excessive **ightlementation**), there is no effective possibility for the human body to adapt its excretory pathways, which explains the risk of iron overload. This dual vulnerability to iron deprivation and to iron excess explains that iron homeostasis is very finely regulated, both at the systemic and cellular levels. At the systemic level, the key role belongs to hepcidin, the major hormone regulating iron metabolism. Hepcidin is a small peptide of 25 amino acids, essentially produced by hepatocytes, that decreases the plasma iron concentration. The decreasing effect exerted by hepcidin on the plasma iron level is mediated by the degradation 110 of ferroportin, which is the only known protein to export cellular iron into the plasma. This hepcidin-ferroportin duo acts at two main sites, duodenal enterocytes and the spleen. At the digestive level, through the combined action of ferroportin export and hephaestin oxidation, iron is delivered to plasma transferrin which principally targets the bone marrow in order to produce new red blood cells (see Table 1 for names and symbols of the genes). In the spleen, **into its iron originating from erythrophagocytosis is exported into the plasma through ferroportin,**  oxidized by ceruloplasmin, bound to transferrin and recycled toward the bone marrow. Many factors can regulate hepcidin expression. Body iron load status is a major regulator: a decrease in iron levels (either plasma transferrin saturation level and/or intrahepatocyte iron load level) leads to lower hepcidin production in order to counteract iron deficiency. The **reverse phenomenon occurs in the case of iron overload. Inflammation is also an important** 121 regulatory factor, in which the IL6-STAT3 pathway is involved to increase hepcidin production. macrophages (mainly splenic macrophages). The only physiological source of iron for the<br>
body is alimentary, explaining the risk of iron deficiency when this input is limited. In the case<br>
of excessive lion linput, either

 The erythroferrone hormone, mainly produced by the bone marrow, is another important regulatory factor (12, 13). Its increased production in the case of dyserythropoiesis leads to the downregulation of hepcidin expression. This decrease in hepcidin production may override the expected increased hepcidin expression in the presence of coexisting iron excess. At the cellular level, iron homeostasis is mainly ensured at a post-transcriptional level 127 by the iron regulatory proteins (IRP). Schematically, in a context of cellular iron deficiency, IRP1 and IRP2 bind to iron regulatory elements (IRE) located in the 5' or 3' untranslated 129 transcribed region (UTR) of the mRNA involved in the entry (TFR1), storage (ferritin) and export of iron (ferroportin). This leads, through different mechanisms (inhibition of translation or stabilization of transcripts), to increased cellular iron entry and decreased cellular iron storage and egress. The reverse effects occur in the case of cellular iron overload, where IRP1 and IRP2 lose their capacity to bind to IRE. Any acquired and/or genetic abnormality in these regulatory processes may lead to iron-related disorders. override the expected increased hepddin expression in the presence of coexisting from<br>excess. At the cellular level, iron homeostasis is mainly ensured at a post-transcriptional level<br>by the iron regulatory proteins (RP).

135 136 Altogether, many of the players involved in iron metabolism are now described at the 137 molecular level. They are transporters, receptors, oxido-reductases, sensors, chelators, 138 regulatory pathway players and hormones. Their interdependency and fine-tuning explain the functional relationship between diverse tissues and iron.

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#### 141 1.2. The functional relationship between iron and bone marrow

142 There is a very close relationship between iron and bone marrow that can be considered through 143 two reciprocal perspectives. On the one hand, there is an iron flux toward the bone marrow that 144 is physiologically required to sustain crucial hematopoietic functions, and which, when excessive, 145 can exert deleterious effects on hematopoiesis (14-16). On the other hand, the bone marrow not 146 only ensures the delivery into the blood of the different hematological cells involved in iron

- 147 metabolism (the erythrocytes in first line), but also plays a key role in the systemic distribution of 148 iron.
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- 150 1.2.1. From iron to the bone marrow: iron is used by all hematopoietic lineages
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- 152 1.2.1.1. Iron and bone marrow stem cells: hematopoietic and mesenchymal stromal cells (HSC and 153 MSC)

154 Iron, through its propensity for producing reactive oxygen species (ROS), exerts a determining role 155 on the fate of HCS (14, 15) and its impact depends on the dose of iron. Low ROS levels are required 156 for HSC renewal. ROS levels also fine-tune HSC differentiation: very low levels can hamper HSC 157 differentiation, whereas increasing ROS levels favor their differentiation. On the contrary, very high 158 ROS levels promote stem cell exhaustion and death by causing cytotoxicity through the binding of 159 ROS to lipid membranes, proteins and DNA, promoting deleterious oxidation of these 160 macromolecules. Excessive iron impairs hematopoiesis by inducing apoptosis and cell cycle arrest 161 (17), and decreases the ratio and clonogenic function of hematopoietic stem and progenitor stem 162 cells (18). Iron also plays a role in other cells in the hematopoietic niche. Iron increases the 163 proliferation of human MSCs and accelerates their entry into S-phase (19). In contrast, excess iron 164 negatively impacts the hematopoietic microenvironment, as shown by the delayed hematopoietic 165 reconstitution observed in mice overloaded by iron-dextran and transplanted with bone marrow 166 from untreated mice (20). Moreover, in MDS patients, iron overload has been shown to promote 167 mitochondrial fragmentation of mesenchymal stromal cells (21). 12.1. From iron to the bone marrow: iron is used by all hematopoietic lineages<br>
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169 1.2.1.2. Iron in white cell lineages

170 A short history… In 1952, CB Laurell wrote that "nobody has investigated whether the white blood 171 cells have any function in iron transportation or hemoglobin breakdown, in spite of the fact that 172 leucocytes have a relatively high iron content" in a seminal review entitled "Plasma iron and iron 173 transport in the organism" (22).Twenty-two years later, Summers and Jacobs (23, 24) showed that 174 monocyte ferritin content was approximately seven times higher than that in lymphocytes or 175 polymorphs in normal subjects. They also reported that the ferritin content increased during 176 anemia of chronic disease in lymphocytes, monocytes and polymorphs. Iron uptake by lymphocytes 177 was also greatly increased during iron deficiency anemia. At the same time, Worwood *et al.* 178 reported that leukemia cells had a markedly increased ferritin content (25). We will now consider 179 the present state of knowledge about iron content, function and impact in white blood cells.

180 **Iron and monocytes-macrophages.** Monocytes are known to correspond to circulating 181 macrophages. Iron is required for the differentiation of peripheral blood monocyte precursors into 182 functional macrophages; iron deprivation by desferrioxamine generates macrophages unable to 183 develop a mature phenotype, with an impaired capacity for phagocytosis (23). It is also well known 184 that iron sequestration occurs within macrophages during inflammation. Importantly, interleukin 185 6 (IL-6), which is produced by macrophages in response to pathogen-associated molecular patterns, 186 activates the STAT3 signaling pathway leading to hyperhepcidinemia, and therefore to the 187 degradation of ferroportin, and eventually to hyposideremia. It has also been shown that 188 inflammation can cause hyposideremia through a hepcidin-independent mechanism involving the 189 transcriptional downregulation of ferroportin (26). Depending on their microenvironment and 190 cooperation with lymphocytes, monocytes polarize into active macrophage populations that are 191 subdivided into activated M1 macrophages exerting proinflammatory and antitumor activities, and 192 into activated M2 macrophages that are immunosuppressive and promote tumor activity. 193 Importantly, iron modulates this macrophage polarization, increasing the M2 phenotype and 194 decreasing the M1 proinflammatory lipopolysaccharide (LPS)-induced response (27). In a mouse 195 model of hemochromatosis (a genetic iron overload disease), attenuated inflammatory responses transport in the organism" (22). Twenty-two years later, summers and lacobs (23, 24) showed that<br>
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polymorphs in normal subjects. T

196 to *Salmonella* infection or LPS were observed compared to non-hemochromatosis control mice. 197 This study demonstrates a novel role of iron in the regulation of macrophage IL-6 cytokine mRNA 198 translation (28). This result is coherent with a previous report that showed that TNFα 199 concentrations were decreased in the supernatants of monocytes from hemochromatosis patients 200 (29). Youssef *et al.* (30) reported that, following increased erythrophagocytosis, splenic 201 macrophages undergo ferroptosis and are replaced by circulating monocytes and local cell division. 202 Haschka et al. (31) found that classical (CD14<sup>+</sup>, CD16<sup>-</sup>) and intermediate (CD14<sup>+</sup>, CD16<sup>+</sup>) subsets of 203 human monocytes are involved in clearing non-transferrin-bound iron (NTBI) and damaged red 204 blood cells. Therefore monocytes may play a role in limiting iron toxicity in iron overload conditions.

205 **Iron and dendritic cells.** Kramer *et al.* showed that differentiation from human peripheral blood 206 monocyte precursors into functional dendritic cells requires iron and is dependent on the 207 expression of the cyclin-dependent kinase inhibitor p21 (32). Iron depletion by the chelator 208 desferrioxamine produced undifferentiated dendritic cells unable to stimulate naive allogeneic T 209 lymphocytes. Olakanmi *et al.* showed that lung myeloid dendritic cells acquire iron from various 210 types of extracellular sources (iron-citrate, iron-transferrin and iron-lactoferrin) (33).

211 **Iron and neutrophils.** The positive role of iron on granulopoiesis is suggested by studies in anemic 212 Belgrade (b/b) rats. Indeed, an increased proliferation of granulocytic cells is observed in iron-213 treated b/b rats (34). Importantly, neutrophils impact iron metabolism during inflammation by 214 secreting lactoferrin (35, 36). Lactoferrin is an iron-binding multifunctional glycoprotein secreted 215 by neutrophils and exocrine glands. During inflammation or infection, the plasma lactoferrin 216 concentration increases through the recruitment of neutrophils (37). The antibacterial and 217 antibiofilm activity of lactoferrin is dependent, although not exclusively, on its iron-binding affinity. 218 However, no evidence has been brought forward regarding a significant impact of lactoferrin on 219 human granulopoiesis (38). concentrations were decreased in the supernatants of monocytes from hemochromatosts patients<br>
(29). Youssef et al. (30) reported that, following increased exphraphageoptais, spleric<br>
marcrophages undergo ferroptosis and ar

220 **Iron and eosinophils**. To our knowledge, the sole interference of iron with the eosinophil lineage 221 involves the role of iron-loaded lactoferrin. Bovine lactoferrin regulates the pathway implicating 222 the CD11b and CD49d integrins and the MIP-1α and MCP-1 chemokines in granulocyte-macrophage 223 colony-stimulating factor (GM-CSF)-treated human eosinophils (39).

224 **Iron and lymphocytes.** Transferrin receptor 1 (TFR1)-mediated iron uptake is essential for 225 lymphocyte proliferation (40). Iron also plays a key role in the differentiation of T lymphocytes. The 226 absence of TFR1 in genetically modified mice leads to a total arrest of T lymphopoiesis at a very 227 early stage of maturation, and the function and impact of iron on B lymphocytes is less pronounced 228 (41). A significant increase in lymphocyte number is observed in iron overload situations such as 229 human *HFE*-related hemochromatosis (42). The number of CD8+ T lymphocytes negatively 230 correlates with the severity of iron overload in *HFE*-related hemochromatosis (43). Lymphocytes 231 can also internalize iron through pathways involving non-transferrin-bound iron (NTBI) (44, 45), 232 supporting the view that T lymphocytes may play a protective role against the consequences of 233 iron excess. This result is in accordance with the proposal that T lymphocytes act as a first line 234 protective barrier against the deleterious effects of iron excess (46). The cellular localization of iron 235 was not addressed in this study but the authors suggest that it may be stored within ferritin, in 236 agreement with the demonstration that T lymphocytes are able to synthetize H-ferritin (47). colony-stimulating factor (GM-CSF)-freaded human-eosinophils (39).<br> **If the and lymphocytes.** Transferrin-receptor 1 (IFR1)-mediated from uptake is essential for<br>
Wymphocyte proliferation (40). Iron also plays a key role

237 In summary, all hematopoietic lineages use iron for proliferation and/or differentiation functions, 238 including the white blood cell lineages. The physiological flux of iron toward the bone marrow exerts 239 proliferative and differentiating effects on HSCs and MSCs. An excess of iron within the bone 240 marrow impairs these processes, mainly through an excessive production of ROS. Regarding mature 241 white blood cells, iron is required for the maturation and phagocytotic activity of peripheral 242 monocytes, the differentiation of monocytes into functional dendritic cells, the proliferation of granulocytic cells, and T lymphopoiesis.

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245 1.2.2. From the bone marrow to iron: bone marrow is a prominent player in systemic iron 246 metabolism

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248 Bone marrow, erythrocyte production, and spleen erythrocyte degradation participate in the 249 systemic distribution of iron. Once produced inside the bone marrow, erythrocytes are released into 250 the plasma in order to help oxygenate the body. Circulating red blood cells contain half the total 251 quantity of whole-body iron, *i.e.* approximately 1.5 to 2 g of iron. After 120 days, senescent 252 erythrocytes are degraded within macrophages that release iron from hemoglobin. Iron then re-253 enters the iron cycle without being excreted.

254 Bone marrow also plays a critical role in systemic iron regulation by modulating the expression of 255 hepcidin (Fig. 2). The main bone marrow factors that may ultimately regulate hepcidin are GDF15 256 (growth and differentiation factor 15) (48), TWSG1 (twisted gastrulation BMP signaling modulator 1) 257 (49), and the hormone erythroferrone (encoded by the gene *ERFE*) (6, 7, 12). During 258 dyserythropoiesis, as seen for instance in thalassemia major or intermedia (50-52) or in MDS (5, 53), 259 those factors secreted by the bone marrow may negatively impact hepcidin synthesis, leading to 260 hepcidin deficiency and, in turn, to hypersideremia and subsequently body iron overload (54-56), 261 even prior to any transfusion. Bair *et al.* (57) showed that allogeneic T-replete stem cell 262 transplantation alters iron homeostasis in non-obese diabetic/severe combined immunodeficient 263 (NOD/SCID) mice, by downregulating liver hepcidin synthesis ahead of upregulating duodenal 264 ferroportin . Sone marrow, erythrocyte production, and spleen erythrocyte degradation participate in the systemic distribution of icon. Once produced inside the bone marrow, erythrocytes are released into the plasma in order to help oxy

 In summary, we have shown in this first section that iron is an essential player of bone marrow functions through its implication in proliferation/apoptosis and differentiation of all hematopoietic 267 lineages. In return, the bone marrow impacts the systemic homeostasis of iron by the massive release of iron after erythrophagocytosis, the major source of circulating iron, and by modulating two crucial hormones of iron metabolism, hepcidin and erythroferrone. Having described the normal functional



295 imaging (MRI) should be preferentially used (64-67). MRI to determine the liver iron concentration 296 (LIC) has now largely replaced histological assessment by liver biopsy. However, MRI is not widely 297 available nor uniformly done. Bone marrow iron evaluation using MRI, the interest of which has 298 recently been reported for Gaucher disease (68), has not yet been fully evaluated in hematologic 299 malignancies (69). As an illustration of the superiority of MRI evaluation as compared to serum 300 ferritin for body iron load assessment, no hepatic iron overload was found in 13 out of 39 patients 301 who had a serum ferritin level over 1000 ng/mL (70) (71). The bone marrow iron score could be an 302 interesting indicator of secondary iron overload in acute myeloid leukemia patients (72). Dual-energy 303 computed tomography (73) may be a promising technique for the precise assessment of intrahepatic 304 iron distribution in transfusion-dependent patients with hematological malignancies (74).

305 In summary, the assessment of body iron stores is a two-step process. First, together with the 306 hemoglobin level, the reticulocyte count, red blood cell morphology, serum ferritin and transferrin 307 saturation must be checked. If both the ferritin and transferrin saturation parameters are normal, 308 reflecting an absence of iron excess, no further investigations are required. If they are elevated, and 309 after having checked for possible confounding factors, a direct evaluation of the tissue iron load is 310 required. MRI has currently become the preferred approach given its non-invasive nature, provided 311 it is available and affordable. Among the various methods, the signal intensity ratio method (64, 75) 312 is quite promising as it enables the direct assessment of the hepatic and splenic iron load without 313 requiring specific MRI equipment and provides free interpretation. recently been reported for Gaucher disease (68), has not yet been fully evaluated in hematologic<br>maligranoids (69). As an illustration of the superiority of MRI evaluation as compared to serum<br>ferritin for body iron load a

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#### 315 2.2. Iron status at diagnosis

316 It remains difficult to obtain precise data on the body iron status at the time of diagnosis since the 317 iron load check-up is usually done during or after chemotherapy and often from the perspective of 318 HSCT. However, Vag *et al.* (76) reported that LIC measured by MRI was close to normal in eight 319 patients with acute leukemia for whom the determination was performed within 10 days after  therapy initiation. Moreover, the study by Moafi *et al.* (77), based on a histological evaluation of the bone marrow iron stores, reported that, when 30 acute lymphoblastic leukemia (ALL) patients at diagnosis were compared with 30 control subjects, the bone marrow iron score did not differ significantly whereas a significant elevation appeared in leukemia patients after one year of chemotherapy.

325 Altogether, those limited data suggest that the iron status at diagnosis of acute leukemia is not 326 different from control subjects. However, larger cohorts and prospective studies are required to 327 definitively conclude of this point.

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329 2.3. Body iron load increases in leukemias, mainly due to iron input from blood transfusions

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331 Body iron status has mostly been evaluated while leukemia was being treated. Altogether, the 332 number of studies remains limited when referring to the direct evaluation of body iron stores using 333 appropriate techniques (MRI or histology). Halonen *et al.* reported that 30 children with acute 334 lymphoblastic leukemia (ALL) of whom 19 (63%) had moderate iron overload as assessed by the total 335 iron score (78, 79). Vag *et al.* (76) showed that the mean LIC of 15 children (nine ALL, six AML (acute 336 myeloblastic leukemia)) was significantly increased compared to non-leukemic children. The mean 337 LIC was then correlated with the number of transfusions. Armand *et al.* (80) found that 85% of 48 338 patients with AML (n=29), ALL (n=11) or MDS (n=8) had a LIC value above the upper limit of normal 339 and, in 42% of patients, significant iron excess corresponded to more than three times the upper 340 limit of normal. Again, iron overload was correlated with the number of transfusions. In Trottier *et*  341 *al.*'s cohort (4) consisting of 37 leukemia case, eight out of 10 ALL patients and all of the AML patients 342 had an iron overload. A poor relationship was found between LIC and transfusion history. Maximova 343 N *et al.* performed an MRI-based evaluation of multiorgan iron load in pediatric patients who 344 subsequently underwent hematopoietic stem cell transplantation (HSCT) (69). Among these patients, 345 21 had ALL and eight had AML. Thirteen ALL and all eight AML patients had a significantly elevated spanneantly whereas a significant elevation appeared in lieukema patients after one year of<br>dimensionery.<br>Altogether, those limited data suggest that the iron status at diagnosis of active levikemia is not<br>different from c



349 On the whole, for the previously mentioned studies, 49% (91/185) of the ALL or AML patients had 350 significant iron overload before HSCT. In these hematologic malignancies (2, 3), transfusions 351 appeared to be the main cause of the iron burden.

- 352
- 353 3. IS IRON A PREDISPOSING FACTOR TO LEUKEMIA?
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355 3.1. The potential cellular toxicity of iron

356 357 Physiologically, most iron in the body is incorporated within molecular moieties that make it redox-358 inactive. For instance, iron is bound to plasma transferrin that ensures its transport, and iron is stored 359 within cells in ferritin macromolecules that act as sponges preventing "unbound" or "free" cytosolic 360 iron from being toxic. At the cellular level, a large quantity of iron is incorporated into heme as part of the hemoglobin molecule in erythroid cells, or myoglobin in muscle cells.

361 362 However, this protective process can be overridden in iron excess. This holds true when the plasma 363 transferrin saturation with iron is over 45%, with the appearance of non-transferrin-bound iron 364 (NTBI), and especially when the transferrin saturation becomes higher than 75% with the appearance 365 of labile plasma iron (LPI). NTBI is thought to be under the biochemical form of low-molecular weight 366 complexes (such as citrate and acetate), whereas LPI represents the potentially toxic form of circulating iron, defined by its propensity to generate reactive oxygen species (ROS), capable of 349 On the whole, for the previously mentioned studes, 49% (912185) or the ALL or ANL patterns had<br>
significant iron overload before HSCT. In these hematologic malignancies (2, 3), transfusion<br>
appeared to be the main caus

- 367 damaging the membranes of the cells, intracellular organelles and nuclei (17, 81, 82).
- 368 369 In summary, while iron is physiologically redox-inactive, iron excess, characterized by a plasma transferrin saturation with iron over 45%, is toxic for the cells.
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372 3.2. Experimental data converge to indicate the promoting role of iron on leukemia development

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374 The promoting role of iron on tumor development has been explored in numerous types of cancer 375 (83) and the proliferative effect of iron is well documented (84, 85). Iron is also known to favor 376 genetic instability (82). Focusing on leukemia models, the following data were reported. Inoculated 377 L1210 cells (a mouse lymphocytic leukemia cell line) proliferated more in mice injected with iron than 378 in non-iron-treated control animals (86). Further experimental data shed some light on the possible 379 mechanistic impact of iron on tumorigenesis. Iron has been shown to reduce tumor suppressor p53 380 activity (87); however, two types of data do not fit with a promoting role of iron on tumorigenesis 381 through decreasing p53: on the one hand, iron favors ferroptosis, whereas the mechanism by which 382 p53 could sometimes favor tumorigenesis has been reported to be its suppressing effect on 383 metabolic stress-induced ferroptosis (88); on the other hand, p53 has been shown to decrease the 384 NTBI transporter ZIP14 (also known as SLC39A14) (89), leading to decreased NTBI entry into tumor 385 cells and subsequently to decreased iron-related cell death. The precise role of iron on p53 regulation 386 and its effect needs further clarification. The Eltrombopag effect further illustrates the promoting 387 role of iron on leukemia cell growth. This compound is an oral small-molecule thrombopoietin 388 receptor (TPO-R also known as MLP) agonist used for treating chronic immune thrombocytopenic 389 purpura. However, independently of its TPO-R mediated effect, Eltrombopag is able to inhibit the 390 growth of human and murine leukemia cell lines by inducing differentiation and by slowing cell 391 division through blocking the cell cycle in the G1 phase. Interestingly, Eltrombopag decreased the 392 iron content within leukemic cells in a dose-dependent manner. Preloading cells with iron also 393 resulted in a rescue from the anti-proliferative and differentiation-inducing effects of Eltrombopag, 394 suggesting that the antileukemic effect of Eltrombopag is mediated through intracellular iron content 395 (90). Iron deprivation has been reported to induce monocyte differentiation of AML cells (91) and, 396 more generally, cellular iron-binding may be an interesting approach to counteract cancer through 397 the involvement of various signaling pathways (92). Finally, dihydorartemisinin has been reported to (83) and the proliferative effect of iron is well documented (84, 85), Iron is also known to favore<br>genetic instability (82). Focusing on leukemia models, the following data were reported. Inoculated<br>12230 cells (a mouse 398 induce acute myeloid leukemia cell death by inducing ferroptosis through the autophagy-dependent 399 degradation of ferritin (93).

400 In summary, experimental preclinical data converge to indicate the promoting role of iron on 401 leukemia development, and conversely, the role of iron chelation/deprivation to counteract cancer.

402

403 3.3. Is systemic iron overload in non-malignant hematological conditions a predisposition to 404 leukemia?

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406 3.3.1. Lessons from hemochromatosis, the archetype of systemic iron overload

407 Hemochromatosis is a genetic disorder characterized by diffuse body iron overload caused by 408 increased intestinal iron absorption, which itself is related to hepcidin deficiency (10). *HFE* is by far 409 the most frequently mutated gene found in hemochromatosis, with *C282Y HFE* mostly present in 410 Caucasians. It is still being debated whether or not *HFE*-related genetic susceptibility to 411 hemochromatosis, and therefore iron excess, could favor the development of leukemia. A causative 412 link between the *C282Y HFE* mutation and ALL has not been clearly proven (94, 95) and the same 413 holds true for an association with the haplotype HLA-A3, known to be closely associated with the *HFE* 414 gene (95). However, in a US cohort of 161 childhood ALL cases, Kennedy *et al.* (96) reported that the 415 risk of ALL was not only associated with *C282Y* and *H63D HFE* variants and *S142G TFR1* (transferrin 416 receptor 1) variant, but also to some SNPs in other iron regulatory genes (*SLC11A2* and *TMPRSS6*  417 genes). Although no data were given on the iron status of this cohort, these results strengthen the 418 view that iron overload mediated by genetic variants could contribute to a risk of ALL. No *HFE* 419 association has been reported with AML (97). It should be noted that only exceptional cases 420 associating *HFE*-related hemochromatosis and ALL have been reported (98). 401 leukemia development, and conversely, the role of iron chelation/deprivation to counteract cancer.<br>402<br>3.3. Is systemic iron overload in non-malignant hematological conditions a predisposition to<br>404 leukemia?<br>405 a.1.

421 Altogether, a genetic correlation has been found between some variant genes involved in iron 422 metabolism and ALL. The impact of the level of the iron burden is not clearly demonstrated as a cause 423 of those ALL. Moreover, in our opinion, despite the absence of an established link between

424 hemochromatosis (iron overload) and leukemia, it is important in clinical practice to check *HFE* 425 mutations and, if negative, *non-HFE* mutations, in every leukemic patient with marked iron overload 426 exhibiting the characteristic profile of hepcidin deficiency (increased plasma transferrin saturation 427 associated with parenchymal, especially hepatocyte, iron overload (10, 52)) so as not to miss a 428 coincidentally associated hemochromatosis (10).

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430 3.3.2. Lessons from sickle cell disease and thalassemia, archetypes of secondary iron overload

431 Sickle cell disease and thalassemia are inherited disorders treated with chronic blood transfusions 432 resulting in diffuse body iron overload. Here again, very little data are available on the promoting 433 role of iron overload in leukemia transformation in these diseases. In sickle cell disease, an over two-434 fold increase in the risk of leukemia, mostly AML, has been reported in California (99) although it is 435 still unclear if iron overload was one of the risk factors in this study. In thalassemia, only exceptional 436 cases of leukemia have been described, suggesting a coincidental occurrence of these conditions 437 (100). 427 associated with parenchymal, especially hepatocyte, iron overload (10, 52)) so as not to miss a<br>
428 coincidentally associated hemochromatosis (10).<br>
429 3.3.2. Lessons from sickle cell disease and thalassemia, archety

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439 3.4. Is iron overload a factor favoring the transformation of myelodysplastic syndrome (MDS) into 440 leukemia?

441 MDS represents a particularly valuable experimental model and clinical situation for studying the role 442 of iron as a leukemia predisposing factor since MDS evolves towards AML transformation in 443 approximately one third of cases (101) (102). MDS encompasses a heterogeneous group of acquired 444 myeloid disorders leading to ineffective hematopoiesis with peripheral cytopenia(s) (103), among 445 which anemia is the most frequent. Patients with MDS can develop iron overload through repeated 446 blood transfusions and/or as a consequence of dyserythropoiesis.

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448 3.4.1. Iron-related oxidative stress in MDS

450 It is now clear that MDS patients present increased oxidative stress that is further increased by iron 451 overload (104, 105), even in transfusion-independent cases (105). It has been proposed (106) that 452 iron deprivation by low-dose deferasirox can improve erythropoiesis in MDS. It should be noted, 453 however, that iron overload inhibited the proliferation of erythroid progenitor cells in MDS whereas 454 the myeloid compartment was not affected (107). All of the blood marrow cell types in 27 MDS 455 patients exhibited high ROS and low glutathion levels compared to 12 controls, with some correlation 456 with overall survival (108). Interestingly, Ghoti *et al.* found a correlation between ROS and serum 457 ferritin levels in the erythrocytes and platelets of low-grade MDS patients (109). Increased oxidative 458 DNA damage was found in MDS patients both on bone marrow cells (110) (however without 459 correlation with serum ferritin) and on peripheral blood mononuclear cells (111), with a protective 460 effect of oral iron chelation. Importantly, low-risk MDS patients have been reported to present the 461 highest ROS levels (108). Using NHD13 transgenic mice, a murine model of MDS, Chung *et al.* showed 462 that ROS may contribute to the progression of MDS to AML through ineffective DNA repair and 463 increased mutation frequency (112). Given the close relationship between iron and ROS, it is possible 464 that this progression was at least partly related to an iron effect (iron exposure, however, was not 465 used in this experiment). Accept that iron overload inhibited the proliferation of exphridi progenitor cells in MIS whereast<br>the myoloid compartment was not affected (107). All of the blood marrow cell types in 27 MDs<br>puttints exhibited high ROS an

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467 3.4.2. The impact of dyserythropoiesis and erythroblastic mitochondrial iron overload in MDS to AML 468 transformation

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470 Iron overload due to dyserythropoiesis is mainly found in MDS with ring sideroblasts (MDS-RS), a 471 subgroup characterized by erythroblastic mitochondrial iron overload and a high frequency of 472 somatic mutations in the spliceosome gene *SF3B1* (113). Mean hepcidin levels are heterogeneous 473 across the different MDS subtypes, with the lowest levels in refractory anemia with ring sideroblasts 474 (now classified as MDS-RS with single dysplasia), which present the highest plasma NTBI levels (114- 475 116). Thus, MDS-RS patients have inappropriately low hepcidin levels, a typical feature of iron-

- 476 loading anemia (117). Most patients with MDS-RS with single dysplasia are stratified into the lower-477 risk groups by the revised IPSS (118), pointing out that abnormal mitochondrial iron accumulation 478 may not be a strong factor favoring leukemic transformation.
- 479

480 3.4.3. The impact of transfusional systemic iron overload in MDS to AML transformation

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482 It has been reported that chronic red blood cell transfusions induce an iron overload that impacts 483 the survival of MDS patients (119). In a retrospective study of 467 MDS patients, the leukemia-free 484 survival of transfusion-dependent patients was significantly inferior to that of patients not requiring 485 transfusions, raising the possibility that transfusional iron overload may increase the risk of AML 486 transformation to leukemia (120). However, in a recent Brazilian study, hepatic iron overload, 487 measured by MRI and found in two-thirds of transfused and non-transfused MDS patients, was 488 associated with a lower overall survival, but was not correlated with an increased risk of AML 489 transformation (121). These results should nevertheless be considered in the light of the small cohort 490 size and the heterogeneous clinical status of the patients. 3.4.3. The impact of transfusional systemic iron overload in MDs to AML transformation<br>461<br>462 If has been reported that chronic red blood cell transfusions induce an iron overload that impacts<br>the survival of MDS patients

491 Although clinical data converge to indicate that blood transfusion dependency and an increasing 492 number of transfusions favor shorter overall survival and increase leukemic transformation in MDS 493 patients, it remains difficult to ascertain that these deleterious effects are related to iron overload 494 itself (5). Limiting and confounding factors may include: i) the fact that transfusion dependency could 495 reflect the overall disease severity; ii) the impact of comorbidities that are frequent in these elderly 496 patients; iii) the almost exclusive use of plasma iron parameters (especially ferritin levels) to estimate 497 body iron excess, which does not consistently reflect the iron store (as discussed in 2.1); and iv) the 498 risk of selection bias related to the possible proposal of chelation therapy to patients with a better 499 prognosis. Evaluating the impact of iron depletion by chelation therapy represents a "reverse" 500 approach to understanding the effect of iron excess on MDS to AML transformation. In this regard, 501 the repeatedly-found beneficial effect of iron chelation therapy (106, 122-126) represents an

502 important argument in favor of iron overload being responsible for promoting AML. In particular, the 503 TELESTO trial by Angelucci *et al.* (127), which is the sole prospective study randomizing deferasirox 504 *vs.* placebo in low-risk MDS patients, reports a favorable effect of iron chelation on event-free 505 survival, the rate of cardiac and hepatic events and the transformation to AML. However, it should 506 be kept in mind that part of the beneficial effect of the iron chelator deferasirox may be due not only 507 to iron chelation, but to well-documented associated hematopoietic effects (106, 125, 128-134). 508 Finally, regarding the specific effect of iron chelation on AML transformation, the Spanish Iron2 study 509 (126) identified a beneficial effect, although the German registry did not (123). 510 511 In summary, while systemic iron overload observed in hemochromatosis and sickle cell disease is not 512 clearly shown as a predisposing condition to leukemia, data are clearer for MDS. Some experimental 513 studies suggest that iron may be a factor facilitating MDS to AML transformation, and bioclinical data 514 show that there is an increase in ROS production in MDS likely causing oxidative stress, including 515 oxidative DNA damage. Clinical data are still lacking, however, to firmly establish the causal 516 relationship between iron overload and leukemia transformation in MDS. 517 518 519 4. THERAPEUTIC ASPECTS RELATED TO IRON OVERLOAD IN LEUKEMIA 520 521 4.1. Leukemia treatment and body iron burden 522 523 4.1.1. The impact of chemotherapy on iron metabolism: the transient appearance of NTBI 524 Chemotherapy impacts iron metabolism through two mechanisms. The first one is that 525 chemotherapy causes iron excess when red blood cell transfusions are needed to counteract 526 chemotherapy-related anemia. A high amount of iron bound to hemoglobin is brought together solidary and the rate of cardiac and hepablic events and the transformation to AML. However, it should<br>be kept in mind that part of the beneficial effect of the iron chelator deferasion amy be due not only<br>to ion chelation

527 with massive erythrocyte supplementation. This iron, released from the erythrocytes during 528 erythrophagocytosis, will not be extracted out of the body since no specific iron excretion exists, 529 and will be stored within the organism. This corresponds to a net addition of iron. Another 530 mechanism is that chemotherapy favors the appearance of plasma NTBI. Harrison P *et al.* were the 531 first to report the presence of NTBI during chemotherapy, often concomitant with neutropenia 532 (135). In 23 out of 25 patients, labile plasma iron (LPI) levels increased 48 hours after the start of 533 conditioning pre-autologous HSCT, with a peak before cell infusion and a return to the normal range 534 at engraftment (136, 137). Studying a cohort of 30 patients with acute leukemia (16 AML and 14 535 ALL), Belotti *et al.* (138) showed that this peak of NTBI was found for all subsequent high-dose 536 chemotherapy courses. The appearance of NTBI during chemotherapy may be essentially due to 537 the massive iron release from the degradation of hemoglobinized bone marrow cells leading to 538 elevated transferrin saturation (139). The presence of NTBI can also be explained on the one hand 539 by a decrease in NTBI uptake by the erythroid cells, given that such uptake has been demonstrated 540 in rat erythroid cells (140). Furthermore, it could be related to hypohepcidinemia due to the 541 absence of erythropoiesis. These different mechanisms can act simultaneously. Finally, high NTBI 542 levels were associated with a higher risk of sepsis (138). It should be noted that susceptibility to 543 infection may be, by itself, an important factor in terms of the survival of patients with leukemia 544 undergoing chemotherapy. mechanism is that chemocherapy favors the appearance of plasma NTBI. Harrison P et al. were the<br>
first to report the presence of NTBI during chemotherapy, often concomitant with neutropenia<br>
(133). In 23 out of 25 patients

545 As a whole, chemotherapy causes iron excess when red blood cell transfusions are provided and 546 favors the appearance of plasma NTBI.

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548 4.1.2. The prognostic impact of body iron burden on hematopoietic stem cell transplantation 549 (HSCT)

550 Numerous studies have concluded, overall, that high serum ferritin levels prior to HSCT are a 551 predictive factor of poor prognosis both in terms of morbidity and mortality. However, as previously

552 mentioned, hyperferritinemia clearly does not only reflect body iron overload, as this is notably an 553 inflammation marker (see reviews by Moukalled *et al.* (101), Isidori *et al.* (15); Leitch *et al.* (141), 554 Wang *et al.* (142), and Bertoli *et al*. (60)). This is why, in the present review, only studies using a 555 direct assessment, by MRI or histological studies, of the tissue iron concentration will be 556 considered.

557 A meta-analysis performed by Armand *et al.* (143) of four prospective studies (144) (4, 145, 146), 558 involving 144 AML, 90 ALL and approximately 50 MDS patients, concluded that iron overload was 559 not related to either overall survival or to non-relapse-mortality. However, one of the four studies 560 (145) was not in agreement with the other three, and meta-analysis bias due to a sample size issue 561 could not be totally excluded. Primarily, Wermke *et al.* (147) coordinated the first prospective, 562 German multicenter observational study (the ALLIVE study) assessing the relevance of 563 pretransplantation body iron overload measured by serum ferritin, transfusion burden, enhanced 564 LPI (defined as biologically active iron) and LIC (determined by MRI) in a cohort of hematopoietic 565 malignancies (92 AML and 20 MDS patients) undergoing HSCT. The results indicated that LIC values 566 more than three times the upper limit of normal were significantly associated with increased non-567 relapse mortality. It is still a possibility that this impact could be restricted to patients having 568 undergone a reduced-intensity conditioning regimen (this case concerns 83% of the studied 569 patients). Moreover, the ALLIVE study showed a significantly increased incidence of non-relapse 570 mortality in patients exhibiting a raised baseline enhanced-NTBI concentration. Moafi *et al.* (77) 571 concluded that high levels of bone marrow iron were associated with a poor response to treatment 572 and to the risk of relapse. direct assessment, by MRI or histological studies, of the tissue iron concentration will be<br>considered.<br>A meta-snalysis performed by Armand et al. (143) of four prospective studies (144) (4, 145, 146),<br>involving 144 AML, 9

 To summarize, body iron overload assessed by direct methods has a predictive value for poor survival after HSCT. However, the predictive value of increased serum ferritin levels, which reflect not only body iron excess but also other mechanisms, of which the foremost is inflammation, may be stronger.

577

#### 578 4.2. The impact of iron removal during leukemia treatment

579 4.2.1. Iron chelation

580 We will focus on the results obtained using oral iron chelation, especially deferasirox (DFX). Sivgin 581 *et al.* (148) retrospectively investigated 80 patients including 45 AML and 18 ALL patients for whom 582 the pretransplant serum ferritin levels were ≥ 1000 ng/mL. Thirty-seven patients were given DFX 583 and compared to 26 patients who were phlebotomized due to DFX side effects or compliance 584 problems. Overall survival and disease-free survival were significantly better in the DFX group. The 585 first prospective multicenter clinical trial of DFX in adult allogeneic HSCT was carried out in Spain 586 (149). Thirty patients (including 17 AML patients and one ALL patient), with transfusional iron 587 overload (serum ferritin ≥ 1000 ng/mL or ≥ 20 units of packed red blood cells; the LIC assessment 588 was assessed by MRI, depending on equipment availability) received DFX at a starting dose of 10 589 mg/kg for 52 weeks or until the serum ferritin level was less than 400 ng/mL on two consecutive 590 occasions. There were no severe drug-related adverse events. A significant reduction in ferritinemia 591 was observed from baseline to 52 weeks (1444 to 755 ng/mL) in the intent-to-treat population. LIC 592 was also significantly reduced for the seven patients for whom basal and final LIC (at 52 weeks) 593 could be obtained. The multicenter German study (DE02) (150) assessed the safety and efficacy of 594 DFX in 76 recipients of allogeneic HSCT (comprising 52 AML) who started at a dose of 10 mg/kg with 595 an escalating design up to 20 mg/kg. The median exposure was 330 days. Seventy-one percent of 596 the patients experienced drug-related adverse events (increased blood creatinine, nausea and 597 abdominal discomfort) leading to occasional discontinuation. The median compliance rate was > 598 80%. A significant decline in serum ferritin was observed (from 2045 to 957 ng/mL) and a negative 599 iron balance was obtained in 84% of patients. 360 We will focus on the results obtained using oral iron chelation, especially deferasion (DFX). Sixgin<br>
act al. (148) retrospectively investigated 80 patients including 45 AML and 18 ALL patients for wham<br>
the pretransp

600 In summary, these studies indicate that DFX is effective for iron chelation therapy after HSCT, with 601 a manageable safety profile. Gastrointestinal side effects may be reduced with the new DFX film-602 coated tablet formulation (151, 152).

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604 4.2.2. Phlebotomy

605 Iron removal by phlebotomy is the alternative to iron chelation for therapeutic iron removal. As to 606 the impact of phlebotomies in leukemia patients, most studies have involved patients after 607 allogeneic HSCT. We will focus here on those studies that used a direct evaluation of tissue iron 608 load by liver biopsy (153, 154) or imaging techniques (MRI (155) and, in one study, SQUID 609 (superconducting quantum interference device) (156)). All of the studies converged to conclude 610 that phlebotomy was a safe and well-tolerated procedure. A significant reduction in the liver iron 611 concentration (and serum ferritin) was obtained. A single retrospective study reported that DFX 612 was more effective on iron excess than phlebotomy. However, only serum ferritin levels were 613 evaluated (148). 432. Philabotomy<br>
1644 - A.2. Philabotomy<br>
1644 - Manuscript is the alternative to iron chelation for therapeutic iron removal, As to<br>
1665 - the impact of phlebotomies in leukenia patients, most studies have involved pati

614 On the whole, further clinical studies should be carried out to ascertain if the long-term prognosis 615 after HSCT is favorably influenced by decreasing iron excess, through chelation or phlebotomy. 616 Irrespective of the therapeutic method, it should be emphasized that it is highly recommended to 617 avoid foods containing high amounts of iron since this is a natural and cheap way to counteract 618 intestinal iron absorption.

619

#### 620 **CONCLUSION**

621 Close functional interactions exist physiologically between iron and bone marrow that concern the 622 leukocyte lineage as well as the erythroid cells. From a pathological point of view, iron acts as a co-623 carcinogenic factor that experimentally promotes leukemia cell growth, whereas clinical data 624 promoting the role of iron in MDS transformation is still under debate. Body iron overload, best

625 assessed by direct MRI quantification, is usually not increased at the time of leukemia diagnosis; it 626 increases significantly during medical care, especially due to multiple transfusions. Iron overload, 627 notably through the damaging effects of NTBI, which is more likely to appear in the plasma with 628 chemotherapy, significantly contributes to a poor prognosis after HSCT. Hyperferritinemia only 629 partially reflects excess iron in the body but seems to be an interesting prognostic factor since it 630 also occurs in inflammation. Iron removal, mostly by oral chelation, must become the standard of 631 care whenever significant body iron overload has been firmly established. contributes the applicantly contributes to a poor prognosis after HSCT. Hyperferritinemia only<br>
spartially reflects excess iron in the body but seems to be an interesting prognostic factor since it<br>
state occurs in inflamm

## 632 **FUTURE CONSIDERATIONS**

 In our opinion, increased awareness of the importance of diagnosing body iron excess in leukemia patients remains a key objective. Further clinical trials evaluating the long-term prognostic effect of iron removal by oral chelation are warranted, in principle, but may raise practical difficulties for recruiting patients. Strategies to target iron removal from specific tissues should be considered.

637

#### 638 **PRACTICE POINTS**



641 • The assessment of body iron status in leukemia patients requires the direct visualization and

642 quantification of iron excess, especially by magnetic resonance imaging (MRI).

 $643$  **Iron overload, mainly due to transfusions, is likely to contribute to a poor prognosis after** 

- 644 hematopoietic stem cell transplantation.
- 645 Hyperferritinemia is an interesting overall indicator of a poor prognosis; this parameter reflects
- 646  $\blacksquare$  the inflammatory status and, albeit partially, the body iron burden.
- 647 **I** Iron removal by oral chelation or phlebotomies is well tolerated and acts efficient on the body
- 648 iron burden; its favorable effect on the long-term prognosis is uncertain.

## **RESEARCH AGENDA**

 Further clinical trials investigating the impact of iron on MDS transformation, with appropriate

 measurement and follow-up of iron burden.

 Exploration of the promoting role of iron in the development of myelodysplastic/myeloproliferative syndromes from hematopoietic stem cells especially by using appropriate transgenic mouse models. 653<br>
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The plantic of the promoting role of iron in the development of<br>
myelogyplastic/myeloprofiterative syndromes from hematopoletic stem calls especial

## **CONFLICT OF INTEREST**

660 PB has been an occasional consultant and member of advisory board for Novartis. No links of interest

661 for the other authors in the frame of the present article.

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1058 **FIGURE LEGENDS**

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1069 **FIGURE 2.** Bone marrow and iron metabolism. Due to iron toxicity, malignancy or chemotherapy, the 1070 functionality of bone marrow stem cells (BMSC) can be altered, leading, for the erythroid cell lineage, to 1071 dyserythropoiesis, which in turn causes an increased production of various factors including GDF15 and 1072 the hormone erythroferrone. These factors lead to a decrease in hepcidin production, then to an increase 1073 of plasma iron and, through non-transferrin bound iron, to iron deposition into various parenchymal cells 1074 (in first line the hepatocytes): it may lead to body iron overload (this iron excess can increase hepcidin but 1075 the increasing signal remains dominated by the reverse impact of dyserythropoiesis (and anemia itself)).

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**Table 1 :** Principal iron regulatory genes presented in the review, with their multiple gene symbols. We have underlined the names and/or symbols used in the review.