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Optimal Radiation of Body-Implanted Capsules

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Autonomous implantable bioelectronics requires efficient radiating structures for data transfer and wireless powering. The radiation of body-implanted capsules is investigated to obtain the explicit radiation optima for **E**- and **B**-coupled sources of arbitrary dimensions and properties. The analysis uses the conservation-of-energy formulation within dispersive homogeneous and stratified canonical body models. The results reveal that the fundamental bounds exceed by far the efficiencies currently obtained by conventional designs. Finally, a practical realization of the optimal source based on a dielectric-loaded cylindrical-patch structure is presented. The radiation efficiency of the structure closely approaches the theoretical bounds and shows a fivefold improvement over existing systems.

Miniature body-implanted devices offer powerful capabilities for medicine and clinical research enabling more precise diagnostics and treatment than ever before [1]. For instance, the emerging *electroceuticals* aim individual neural circuits that regulate the physiological processes to treat a wide range of illnesses [2]. Likewise, wireless powering makes the lifespan of implants practically unlimited; efficient theoretical approaches have been proposed recently [3–5]. Body-conformal surfaces can focus energy into tissues [6]. However, efficient radiating structures are required for body-implanted capsules to overcome existing limitations on powering, safety, and data transfer. These constraints prohibit us, for instance, to realize wireless neural interfaces [7, 8], implantable labon-a-chips [9], and surgical microbots [10].

Physical bounds on radiation efficiency η of arbitrary sources in free space have been extensively studied [11– 15]. Effects of tissues on η and optimal frequency f_{opt} have been considered in [16] for body-implanted inductor sources and in [17] for infinitesimal magnetic dipole and current sources. It is still unclear whether magnetic **B**-coupled (TE₁₀) or electric **E**-coupled (TM₁₀) sources maximize η in tissues. On the one hand, nearly all tissues are weakly diamagnetic. Therefore, negligible losses occur within the evanescent **B**-field of TE₁₀ (contrast this with TM₁₀ where near-field energy is dissipated via dielectric relaxation [18]). On the other hand, high permittivity values of tissues act on **E**-coupled sources, and higher η can be achieved using dielectric loading [14].

In this Letter we obtain explicit radiation optima of body-implanted capsules equipped with arbitrary finitesized TM₁₀ and TE₁₀ sources. Optimal (in terms of η [12]) surface current density distributions on Σ_C represent the sources (Fig. 1). Physical bounds on η (f) strongly depend on the configuration and dielectric properties of the source region; this letter quantifies their effects for the first time. The results reveal that it is possible to outperform state-of-the-art designs by a factor of five in terms of radiation efficiency. Based on the results, we show that a dielectric-loaded cylindrical-patch source closely approaches this optimal efficiency.

Problem Formulation—A stratified sphere Ω_P of variable radius R_P and of complex permittivity $\hat{\varepsilon}(r,\omega) =$ $\varepsilon' - i\varepsilon'' = \varepsilon_0 \varepsilon_r(r, \omega) - i\sigma(r, \omega)/\omega$ (where ε_r is the relative permittivity, σ is the electrical conductivity, $\omega = 2\pi f$ is the angular frequency, and f is the frequency) represents the tissues [Fig. 1(a)]. The thicknesses of 5-mm fat and 2-mm skin layers are kept constant throughout the study. The validity and limitations of this model are discussed in [19]. The EM field radiated from an arbitrary source inside Ω_P satisfies the inhomogeneous wave equation. In terms of the time-harmonic electric field **E** (time variations of the form $e^{i\omega t}$), it is expressed as $\nabla^2 \mathbf{E} = i\omega\mu_0 \mathbf{J}_s + i\omega\mu_0\sigma \mathbf{E} - \omega^2\mu_0\varepsilon_0\varepsilon_r \mathbf{E}$, where \mathbf{J}_s is the source electric current density [20]. Taking into account the z-axial symmetry of the problem on Fig. 1, we reduce it to \mathbf{R}^2 assuming $\mathbf{E}(r,\varphi,z) = \bar{\mathbf{E}}(r,z) e^{-im\varphi}$, where m is the azimuthal mode number.

An arbitrary current density \mathbf{J} (satisfying Maxwell's equations) flows through Ω_P and has values \mathbf{J}_s on the cylindrical surface Σ_C depicted on Fig. 1(b). The surface is defined by the variable length L and radius R_C ; the circumradius $a \equiv \sqrt{L^2/4 + R_C^2}$. To represent a generic



FIG. 1. Problem formulation (not to scale). (a) Stratified spherical model of body tissues (phantom) Ω_P around the source Ω_S : "1" is the muscle $\{\varepsilon_{r,1}(\omega), \sigma_1(\omega)\}$, "2" is the 5mm layer of fat $\{\varepsilon_{r,2}(\omega), \sigma_2(\omega)\}$, and "3" is the 2-mm layer of skin $\{\varepsilon_{r,3}(\omega), \sigma_3(\omega)\}$. (b) Source region Ω_S defined as a current density distribution on the cylindrical surface Σ_C .



FIG. 2. (a) TM₁₀ and (b) TE₁₀ sources are defined as current density \mathbf{J}_s distributions on the surface Σ_C ; the contour lines depict the distribution of electric **E** and magnetic **B** fields, respectively (arb. units). (c)–(e) Implantation depth ($d \approx R_P - a$) significantly affects the optimal frequency f_{opt} and radiation efficiency η . (c) max(η) exponentially decays with implantation depth. (d)–(e) η spectrum of (d) TM₁₀ and (e) TE₁₀. (f) Skin and fat layers [Fig. 1(a)] increase η (compared to a homogeneous $R_P = 50$ mm phantom) for both sources by improving wave-impedance matching with free space. The effect is observed for both the layers placed inside (inr, $R_P = 50$ mm) and outside (otr, $R_P = 57$ mm) of the homogeneous (hg) phantom.

pill-shaped in-body device, a lossless (i.e. $\sigma = 0$) region $\Omega_S \in \Omega_P$ encloses the surface Σ_C . The region consists of a cylinder of length L and radius $R_C + T$ and two hemispheres of the same radius. Like so, the variable Tallows for evaluating the impact of shell (or superstrate) thickness on η . The permittivity $\varepsilon_{r,S}$ of Ω_S accounts for the effect of dielectric loading ($\varepsilon_{r,S} \propto \eta$ [19]). It is assumed that Ω_S is composed of non-magnetic materials.

The surface current density $\mathbf{J}_{s}(r, \varphi, z)$ is defined on Σ_{C} for TM₁₀ [Fig. 2(a)] and TE₁₀ [Fig. 2(b)] modes as

$$\mathbf{J}_{s,\mathrm{TM}_{10}} = [0, 0, \cos{(\pi z/L)}],$$
 (1a)

$$\mathbf{J}_{s,\mathrm{TE}_{10}} = (0, 1, 0) \,. \tag{1b}$$

The radiation efficiency η is derived from the conservation of energy [20]. Poynting's theorem states that $P_s = P_e + P_d + i2\omega (\bar{W}_m - \bar{W}_e)$, where $P_s =$ $-0.5 \iiint_{\Omega_S} (\mathbf{H}^* \cdot \mathbf{M}_i + \mathbf{E} \cdot \mathbf{J}_i^*) dv$ is the supplied power, $P_e = \bigoplus_{\Sigma_R} (0.5\mathbf{E} \times \mathbf{H}^*) \cdot d\mathbf{s}$ is the exiting power, and $P_d = 0.5 \iiint_{\Omega_P} \sigma |\mathbf{E}|^2 dv$ is the dissipated power. In this way, we calculate the radiation efficiency as

$$\eta \equiv \Re(P_e) / \Re(P_s). \tag{2}$$

The peak of $\eta(f)$ defines the optimal frequency f_{opt} as $\eta(f_{opt}) \equiv \max(\eta)$.

Note that for a real-life antenna, the total radiation efficiency also includes a mismatch loss as $\eta_{tot} = \eta \left(1 - |\Gamma_A|^2\right)$, where Γ_A is the reflection coefficient at the antenna feed. In this letter, we consider $\Gamma_A = 0$. Numerical Results—Studying $\eta(f)$ of the formulated problem requires solving the second-order linear partial differential equation. We use the fully-adaptive hp-finite element method implemented with the in-house code Agros2D [21]. The hp-adaptivity algorithm is set to maintain the total-energy relative error below 10^{-4} . Additional verification ensures the power-balance residual max $(\delta P) \equiv \max[(P_s - P_e - P_d)/P_s] \le 10^{-3} \forall f$.

To represent the tissue dispersion, we use the four-region Cole–Cole model $\hat{\varepsilon}(\omega) = \varepsilon' - i\varepsilon'' = \varepsilon_{\infty} + \sum_{n=1}^{4} (\varepsilon_s - \varepsilon_{\infty})_n / \left[1 + (i\omega\tau_n)^{(1-\alpha_n)}\right] + \sigma_i / (i\omega\varepsilon_0)$. The parameters of the model for different tissues have been defined in [22] based on experimental data [23].

We start by calculating the effect on f_{opt} and max (η) of the phantom radius $R_P \in [10, 100]$ mm that is asymptotic to the source implantation depth $d \approx R_P - a$. The source geometry is L = 10 mm, $R_C = 4$ mm, and T = 0.5 mm (average properties of existing capsules [1]), and $\varepsilon_{r,S} = \varepsilon_{r,1}$ (Fig. 1). If not indicated otherwise, these source properties are used hereafter. Fig. 2(c) shows the maximum achievable efficiency as a function of R_P .

As can be seen in Figs. 2(d)–(e), the optimal frequency range follows a skew normal distribution within considered $f \in [0.1, 4]$ GHz. For a given depth, f_{opt} is the best compromise between the attenuation losses $\alpha \propto f$ on the one hand, and the wave-impedance mismatch at the tissue–air interface $|\Gamma| \propto f^{-1}$ as well as the source electrical size ka (where k is the wavenumber) on the other [17]. For TM₁₀, the optimal frequency can be approxi-



FIG. 3. (a), (b) Theoretical bounds on the radiation efficiency η depend on the frequency and the source geometry: (a) TM₁₀ mode and (b) TE₁₀ mode sources centered inside of a spherical $R_P =$ 50 mm phantom Ω_P (dispersive muscleequivalent EM properties, no stratification). (c)–(e) Normalized E-field distributions of the TM₁₀ mode source (L =10 mm, $R_C = 4$ mm, and T = 0.5 mm) in a $R_P =$ 50 mm stratified Ω_P at (c) 100 MHz (low η due to an inefficient source), (d) 1.2 GHz (maximum η), and (e) 3.5 GHz (low η due to attenuation).

TABLE I. Mode-dependent coefficients c_i of (3) and adjusted coefficient of determination \overline{R}^2 .

	c_1	c_2	c_3	c_4	$\bar{R^2}$
TM_{10} :	0.00318	59.82	0.3322	0.5318	0.9725
TE_{10} :	0.00675	1.252	0.3321	0.4006	0.9769

mated as $f_{opt} = 16.63 R_P^{-0.659}$ (GHz) (adjusted coefficient of determination $\bar{R}^2 = 0.988$), and the peak efficiency as max (η) = 0.223 exp (-0.037 R_P), $\bar{R}^2 = 0.984$. For TE₁₀, $f_{opt} = 17.21 R_P^{-0.695}$, $\bar{R}^2 = 0.979$, and max (η) = 0.191 exp (-0.047 R_P), $\bar{R}^2 = 0.991$.

For a given implantation depth, the TM₁₀ mode allows for higher max (η) compared to TE₁₀. The magnitude of this effect is inversely proportional to R_P : max (η_{TM}) is 78% higher than max (η_{TE}) at $R_P = 20$ mm but approaches the same level at $R_P = 100$ mm [Fig. 2(c)]. However, TE₁₀ provides substantially better efficiency for $f \lesssim 0.7$ GHz (this is consistent with findings of Kim *et al.* [16]). In addition, there is no substantial effect of R_P on η_{TE} for $f \lesssim 0.2$ GHz [Fig. 2(e)]. Clearly, at such frequencies both sources operate in near field within Ω_P . The near field of the TE₁₀ source is essentially magnetic [Fig. 2(b)]; as nearly all biological tissues are weakly diamagnetic, the losses in Ω_P are negligible for this case.

We use $R_P = 50$ mm as the reference value. In addition to the phantom size, the layers of fat $(\varepsilon_{r,2}, \sigma_2)$ and skin $(\varepsilon_{r,3}, \sigma_3)$ [Fig. 1(a), "2" and "3," respectively] affect η compared to the homogeneous muscle $(\varepsilon_{r,1}, \sigma_1)$ phantom of the same R_P . As $\varepsilon_{r,1} > \varepsilon_{r,2} \forall f \in$ $[10^7, 10^{10}]$ Hz [23], this effect is in part due to mitigation of the wave-impedance contrast with surrounding free space. Obviously, if we add the skin and fat layers inside of $R_P = 50$ mm sphere, the effect is stronger because of reduced energy dissipation in Ω_P as $\sigma_1 > \sigma_2 \forall f$. This case results in the highest η for both sources [Fig. 2(f), "inr"]. However, adding the layers outisde of the sphere [i.e. total $R_P = 57$ mm, Fig. 2(f), "otr"] improves η as well compared to the homogeneous case [Fig. 2(f), "hg"]. This happens despite the added attenuation due to σ_2 and σ_3 . Since the homogeneous muscle-equivalent phantom gives the most conservative value of max (η), we proceed with the homogeneous $R_P = 50$ mm case for the subsequent study of different Ω_S configurations. In addition, this setup can be easily replicated for experiments [24].

In terms of η , spherical sources are optimal for a given ka [14] but impractical for application in bioelectronics. We start by comparing η of the cylindrical Σ_C with the equivalent spherical source: i.e. radius= a, same $\varepsilon_{r,S}$ and T [Fig. 1(b)]. \mathbf{J}_S is defined according to (1), where $L = \pi a$ for the spherical TM₁₀ source. For spherical sources, max (η) increases 16.9% for TM₁₀ and 11.0% for TE₁₀; f_{opt} range remains invariant.

Peak radiation efficiency strongly depends on the dimensions of Σ_C . We compute the effect of both length L and radius R_C on f_{opt} and max (η) . Among the parameters of Σ_C , $L \in [1, 31]$ mm has the strongest effect on max (η) . Figs. 3(a) and 3(b) demonstrate this for TM₁₀ and TE₁₀ modes, respectively. Eq. (3) summarizes the observed radiation efficiency η results for both modes:

$$\max\left[\eta\left(f,L\right)\right] = \frac{c_1 \ln\left(f+c_2\right)}{c_3 L} \exp\left(-\frac{\ln L - c_3}{2c_4^2}\right), \quad (3)$$

where c_i are the mode-dependent coefficients (Table I), L is the length (mm), and f is the frequency (GHz).

For TM₁₀, the results are straightforward: the longer the source is, the higher the max (η). For the longest considered one ($L \approx 3$ cm, typical size of a pacemaker or a neural stimulator), max (η) approaches 5% at $f_{opt} \approx 1.1$ GHz [Fig. 3(a)]. This is about an order of magnitude improvement of η compared to existing devices with maximum efficiencies of about 0.5% [25].

Contrast this with TE_{10} [Fig. 3(b)]. Here, the efficiency peaks around $1.5R_C < L < 3R_C$ that is the opti-



FIG. 4. (a) Cylindrical patch closely replicates surface current density of TM₁₀ source [Eq. (1a)]. Note the rise at z > 5 mm due to the feed. (b) The dielectric-loaded cylindrical patch efficiency (×) exceeds the theoretical bounds of TE₁₀ and closely approaches η of TM₁₀. (c) Synthesized cylindrical patch design (mm) and time snapshot of **E**-field distribution.

mal dimension range for inductor sources. We shall also see that for $L \leq 5$ mm the TE₁₀ mode not only gives better max (η) but also improved η for $f < f_{opt}$. At these frequencies, an electrically-small TM₁₀ source (e.g. L = 10 mm $\approx \lambda/37$ at 100 MHz in muscle) produces a weak **E**-field [Fig. 3(c)]. Consequently, TM₁₀ is inefficient under these conditions. On the other hand, the same TM₁₀ source operating at $f_{opt} \approx 1.2$ GHz [Fig. 3(d)] results in 55% increase in max (η) compared to the TE₁₀ one. In this case, $L \approx \lambda/3.4$. For $f > f_{opt}$, the decline of η is nearly identical for both modes as it is driven by the attenuation of the wave propagating inside Ω_P [Fig. 3(e)].

The radius of Σ_C [Fig. 1(b)] has a moderate effect on radiation. For $R_C \in [1, 5]$ mm, the optimal frequency remains invariant for both modes: $f_{opt} = 1.3$ GHz ± 50 MHz. However, max (η) is linearly proportional to R_C (mm). Given L = 10 mm, TM₁₀ yields max (η) = $3.7 \times 10^{-3}R_C + 0.017$ ($\bar{R}^2 = 0.9902$), and TE₁₀ gives max (η) = $1.9 \times 10^{-3}R_C + 0.013$ ($\bar{R}^2 = 0.9956$). For the studied radii, max (η) is roughly twice for TM₁₀. But, again, TE₁₀ results in better η in the sub-GHz range.

Next, we characterize the effect of Ω_S dielectric loading on η using $\varepsilon_{r,S}(\omega) = c_{dl}\varepsilon_{r,1}(\omega)$ [Fig. 1(b)], where $\varepsilon_{r,1}$ is the dispersive permittivity of muscle and $c_{dl} \in$ $[1/\varepsilon_{r,1}, 2]$ is the coefficient. $c_{dl} = 1/\varepsilon_{r,1} \Rightarrow \Omega_S \{\varepsilon_0, 0\}$ that represents the source without any artificial dielectric loading (i.e. only surrounding tissues load the source; the dependence of tissue EM properties on η was studied in [19]). Given the definition of sources through \mathbf{J}_s [see Eq. (1)], the dielectric loading impacts only the efficiency of the TM₁₀ source and has no effect on the TE₁₀ one. For TM₁₀, $\varepsilon_{r,S}$ is inversely proportional to the optimal frequency (GHz) as $f_{opt} = -5.63 \times 10^{-2}c_{dl} + 1.34 (\bar{R}^2 = 0.884)$. Dielectric loading of TM₁₀ source significantly improves radiation performance: max (η) = $4.3 \times 10^{-3}c_{dl} + 0.027 (\bar{R}^2 = 0.943)$. Note that the latter term is max (η) of an unloaded TM₁₀ source. These effects are in part due to the scaling of the source electrical size $ka \propto \sqrt{\varepsilon^{\text{eff}}}$, where ε^{eff} is the effective permittivity around the source, which is function of $\varepsilon_{r,S}$.

A high-permittivity shell of thickness $T \in [0.1, 3]$ mm can be used to artificially load the source (i.e. via ε_r, S) to increase η (biocompatibility of the shell must be ensured through in vitro and in vivo tests in accordance with current regulations). In addition, the low-loss shell reduces dissipation in the near-field of the TM_{10} source. Given $\varepsilon_{r,S} \approx \varepsilon_{r,1}$ (wave impedance is closely matched with a surrounding tissue), T affects the optimal frequency insignificantly ($f_{opt} \approx 1.2 \pm 0.1$ GHz for both sources). However, $\eta \propto T$, and the effect on TM₁₀ mode is stronger: max $(\eta) = 6.1 \times 10^{-3}T + 0.029 (R^2 = 1)$. For TE₁₀, $\max(n) = 1.8 \times 10^{-3}T + 0.02 (\bar{R}^2 = 1)$. These results are consistent with the findings of Merli et al. [26]. Note that if $\varepsilon_{r,S} \neq \varepsilon_{r,1}$, thicker shell would also affect the optimal frequency by increasing the effect of $\varepsilon_{r,S}$ described above. So, $f_{opt} \propto 1/(\varepsilon_{r,S} \cdot T)$.

Optimal Source Realization—Taking into account on the identified features, we design a proof-of-concept body-implanted capsule (Fig. 4) that shows about fivefold improvement of radiation efficiency compared to existing devices [25]. Having $\eta \approx 2.5\%$, it exceeds the theoretical bounds of TE₁₀ and closely approaches η of the TM₁₀ source [Fig. 4(b)]. The capsule operates at Wireless Medical Telemetry Service (WMTS) band centered around $f_0 = 1.4$ GHz that is close to f_{opt} [Fig. 4(b)].

Fig. 4(c) shows the synthesized cylindrical-patch structure that is the most suitable to closely replicate the theoretical TM₁₀ mode source [Fig. 2(a)] in terms of **E** and \mathbf{J}_s distributions [Fig. 4(a)]. The capsule shell (T = 1 mm) and 76.2-µm-thick (3 mil) substrate load the antenna with $\varepsilon_{r,S} = 80$ that is close to maximum permittivity of human tissues as well as water at 1.4 GHz [23]. The source radius is $R_C = 4 \text{ mm}$. Within this environment and considering Ω_P , the $\lambda/2$ resonant length (i.e. when $\Im [Z_A(f_0)] = 0$) of the patch is 11.7 mm. The ground plane extends 0.5 mm further to mitigate **E**-field fringing to the inside of the capsule. Therefore, the total Σ_C -equivalent length is L = 12.7 mm, which was used to calculate the theoretical bounds in Fig. 2(a).

The cylindrical patch spans Σ_C over a sector angle φ_C in the azimuth plane x-y. As the physical size increases with the angle, $\eta \propto \varphi_C \in (0, \pi]$. However, an array of narrow sources (i.e. $\varphi_C \ll \pi$) makes it possible to realize superdirective beamforming [27]. Along with the adaptive frequency hopping to adjust for varying implantation depth [17], such source minimizes the energy dissipation in tissues therefore maximizing η .

Conclusion—Optimal radiation performance requires minimizing the dissipated energy for a given power flow in the far field. For a given radiation pattern, the maximum operating range and data rates of body-implanted capsules can only be improved by increasing the radiation efficiency. In this Letter, we quantified the tradeoffs between the parameters of TM_{10} and TE_{10} sources and their achievable efficiencies so that optimal radiation can be achieved. The established physical bounds on η serve as the design quality gauge, facilitate the choice of the source type and dimensions, and provide simple rules to check the feasibility of a given design. While the study uses simple tissue structures, Ref. [17] suggests that the findings remain valid in realistic tissue geometries.

Based on the established features, we showed that the fundamental bounds exceed by far the efficiencies obtained by conventional designs. We closely approach these bounds in practice by using a dielectric-loaded radiating structure that closely replicates \mathbf{J}_s distribution of the TM₁₀ source. The optimized source outperforms the existing systems by a factor of five, which represents a fundamentally new capability enabling safe, powerefficient, and high throughput devices.

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