

Mapping grassland plant communities using a fuzzy approach to address floristic and spectral uncertainty

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- 19

20 Abstract:

Aims: The mapping and monitoring of natural vegetation is a challenging but important objective for environmental management. Although remote sensing has been used to map plant communities for several years, the maps produced are not sufficiently accurate to meet management requirements. This can be explained by the cumulative effects of floristic and spectral uncertainty. The objective of this study was to accurately map grassland plant communities using a comprehensive fuzzy approach in order to address floristic and spectral uncertainty.

28 Location: Sub-brackish wet grasslands, Marais Poitevin, France.

Methods: We first created a compromise typology - floristically and spectrally consistent - to 29 perform fuzzy noise clustering on a joint PCA matrix derived from vegetation relevés and 30 remote sensing data. This typology had two levels, which corresponded to spectral signatures 31 32 and plant communities, respectively. Second, we mapped grassland plant communities to predict the fuzzy model from the remote sensing data. We applied this approach using 1- a 33 very high spatial resolution multispectral satellite image and a LiDAR-derived Digital Terrain 34 Model acquired on a 73 km² wet grassland site and 2- more than 200 relevés collected in the 35 field. 36

Results: The results show that 1- the compromise typology yields significantly higher
mapping accuracy than classic phytosociological typology (62% and 26%, respectively); 2compared to a crisp approach, the fuzzy approach improves mapping accuracy by 17
percentage points and 3- a single plant community can be defined by several (1-4) distinct
spectral signatures.

42 Conclusions: The comprehensive fuzzy procedure successfully mapped herbaceous plant
43 communities at the ecosystem scale using inexpensive remote sensing data. Floristic and

44	spectral uncertainty was considered in a fuzzy approach, resulting in the mapping of 9
45	herbaceous plant communities with acceptable accuracy. As the natural habitats were
46	characterized at the plant community level, correspondence with functional properties of the
47	species or with ecosystem services can be easily inferred. These encouraging results open up
48	new ways to meet the requirements for monitoring the conservation status of natural habitats
49	in the EU Habitats Directive.
50 51	Key-words : LiDAR, noise clustering, phytosociology, Pléiades, remote sensing, vegetation typology, wetlands.
52	
53 54	Nomenclature : Gargominy et al. (2012) for vascular plants; Bioret et al. (2013) for plant communities
55	

58 **1 Introduction**

Faced with intensive agriculture, urbanization and climate change, the mapping and 59 monitoring of natural habitats is still a major challenge for conservation management. This 60 has very important consequences for herbaceous habitats (lawns, meadows) with strong 61 conservation stakes (Council Directive 92/43/EEC) that cover more than 30% of European 62 areas (Peeters 2009). Within this context, a spatio-temporal monitoring of natural habitats 63 64 over hundreds of km² is needed to meet reporting requirements for the conservation status of European NATURA 2000 sites. To meet this requirement, natural habitats should be 65 66 identified at the plant community level in order to assess the heritage quality (Berg et al. 2014), ecosystem services (Lavorel et al. 2011) and impacts of agricultural practices or water 67 level management on vegetal biodiversity (Dumont et al. 2012; Stratford et al. 2015). 68 It seems as though remote sensing data are an attractive resource for monitoring the spatio-69 temporal dynamics of natural grassland habitats (Vanden Borre et al. 2011). Numerous 70 studies have pointed out the contribution of satellite imagery for measuring species richness 71 (Rocchini et al. 2016) or predicting functional traits in grasslands (Lausch et al. 2016). 72 However, it remains a challenging task to accurately map grassland plant communities using 73 74 remote sensing data (Corbane et al. 2015; Lang et al. 2015), due to floristic uncertainty and spectral uncertainty. The floristic uncertainty is the inevitable probability of misclassifying 75 76 vegetation relevés (De Cáceres et al. 2010), which is especially high when handling plant communities with similar species compositions. Spectral uncertainty is related to the 77 misclassification of remote sensing data explained by the spectral similarities between plant 78 communities (Rocchini et al. 2013). The spectral response(s) of a plant community partially 79 reflect(s) its floristic composition (Rocchini & Cade 2008). Local contrasts in environmental 80 conditions, such as soil wetness and nutrient contents as well as individual plant interactions, 81 82 occur on very small scales, i.e. several square meters (Marion et al. 2010; Dumont et al.

2012). Consequently, the resulting fine-grained mosaic patterns of the vegetation show
variable spectral response(s) (Feilhauer et al. 2013; Kumar & Sinha 2014) and this spectral
variance reduces the distinctiveness of the various plant communities (Ali et al. 2016).
Conversely, grassland plant communities have a similar physiognomy, as they are dominated
by herbaceous graminoid species with a height range between 20 cm and 1 m in general. As a
result, the physiognomic similarity of grassland plant communities smooths out the spectral
variability between them (Rocchini et al. 2013).

To address these issues, many approaches have been developed to accurately map natural
habitats, such as (1) simplifying the vegetation typology at the expense of ecological
consistency, (2) using remote sensing satellite image time series, (3) considering vegetation as
a continuum rather than as plant communities and (4) applying fuzzy approaches:

1. Vegetation typologies have been created to fit spectral data variance, using 94 multivariate analyses such as canonical correlation analysis or redundancy 95 96 discriminant analysis. As a result, the spectral separability of the vegetation units is 97 improved and the vegetation units are accurately mapped (overall accuracy > 85%, kappa index > 0.78) (Oldeland et al. 2010; Middleton et al. 2012). However, the 98 ecological consistency of the vegetation units mapped is altered. In fact, several plant 99 100 communities with a similar physiognomy or biomass are merged into the same vegetation unit. At best, these vegetation units may be related to broad vegetation 101 102 classes (e.g. "Sparse grassland and open patches"; "Eutrophic fen") but in no way correspond to meaningful plant communities sensu stricto, i.e. typology of the plant 103 associations (Bioret et al. 2013). 104

Several recent studies have investigated the contribution of multispectral satellite data
 time series. For example, semi-natural grasslands were accurately mapped (overall
 accuracy > 80%) using RapidEye (Schmidt et al. 2014) or Sentinel-2A (Shoko &

Mutanga 2017) multispectral time series. However, only the dominant vegetation
patches were distinguished due to the relatively coarse spatial resolution of these time
series (6-10 m), which is insufficient to identify patches of small, long or thin plant
communities (Roth et al. 2015). Another study highlighted the contribution of
TerraSAR-X time series to accurate mapping of 7 grassland habitats (overall accuracy
90%, kappa index 0.89) with a 2 m spatial resolution (Schuster et al. 2015). However,
these SAR time series remain expensive.

3. Other studies have focused on mapping the floristic *continuum* rather than mapping 115 plant communities. For example, the floristic *continuum* was mapped with high 116 117 accuracy $(r^2 > 0.8)$ using airborne hyperspectral imagery of raised-bog (Schmidtlein et al. 2007) or heath habitats (Neumann et al. 2015). In addition, Feilhauer et al. (2014) 118 successfully mapped local variability in complex mire habitats using Rapideye and 119 Sentinel-2 simulated multispectral imagery ($r^2 > 0.7$, overall accuracy 0.71). 120 However, these promising approaches are not yet operational for wide use to report 121 122 the requirements of Habitat Directive in natural habitats as they have been developed for specific environments, such as raised bogs, mires or heaths covering a few 123 hectares; lower accuracy was observed when mapping dry heaths and pioneer 124

125 grassland habitats (Neumann et al. 2015).

4. A well-known approach for dealing with uncertainty is the fuzzy approach (Zadeh 1965). Unlike the widely applied crisp approaches, the fuzzy approach assigns a probability of membership in each class to each individual. This property is useful for addressing floristic and spectral uncertainty and assessing the confidence level of the classification of a given plant community to one or more spectral response(s). Until now, the fuzzy approach has been applied widely to classify vegetation *relevés* (De Cáceres et al. 2010; Wiser & Cáceres 2013; Duff et al. 2014) or remote sensing data.

For example, Zlinszky et al. (2014; 2015) mapped herbaceous habitats with 68% and 62% overall accuracy, respectively (kappa index 0.64 and 0.58, respectively), using point-cloud LiDAR data. But the combined use of fuzzy classification based on both vegetation and remote sensing data still remains to be investigated (Rocchini 2014).

The objective of this study was to accurately map grassland plant communities using a comprehensive fuzzy approach in order to address the floristic and spectral uncertainty. To do this, we first created a vegetation typology that is both ecologically and spectrally consistent in terms of performing a dimensional scaling of the floristic and spectral values derived from vegetation *relevés* and remote sensing data, respectively. Second, we mapped grassland plant communities using the noise clustering classifier. The strengths and weaknesses of the methodology proposed will be discussed below.

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- 145

146 2 Material and methods

147 2.1 Study area

The study site, a large area spanning 73 km² in a Natura 2000 site, is the second largest 148 French wetland area, located in the Poitevin marsh, close to the French Atlantic coast 149 (46.4°N, 1.2°W) (Fig. 1). The climate is oceanic temperate, with a mean monthly 150 minimum/maximum temperature ranging from 2/10°C in winter to 12/24°C in summer. The 151 annual mean precipitation ranges from 700 to 900 mm with a summer water deficit. Coming 152 from a successive embankment since the 10th century, this marsh has a relatively flat 153 154 geomorphology with slight depressions. The elevation ranges between 1.5 and 3.5 m above sea level. The grasslands are extensively grazed or mown. They are composed of sub-brackish 155 156 herbaceous plant communities, driven by a flooding pattern (Amiaud et al. 1998) and grazing 157 pattern (Marion et al. 2010), that correspond to Natura 2000 class 1410.3 (thermo-Atlantic

- and sub-brackish meadows), EUNIS class A2.523 (Mediterranean short Juncus, Carex,
- 159 Hordeum and Trifolium saltmeadows) and CORINE class 15.52 (Mediterranean short rush,
- 160 sedge, barley and clover saltmarshes).

161



Fig. 1. Study site and vegetation plot location. False-colour composite of a Pléiades image (© CNES ISIS) located in the Poitevin marsh (France). The inserts show a subset of the grassland pattern on the Pléiades image (left) and the LiDAR-derived Digital Terrain Model (right).

162

163 The overall methodology developed in this study is detailed in **Figure 2**.

FUZZY CLASSIFICATION OF VEGETATION RELEVÉS



Fig. 2. Methodological flowchart detailing the comprehensive fuzzy approach. First (top), *relevés* were classified using a fuzzy noise clustering classifier; then (bottom), this fuzzy model was predicted from remote sensing data.

164

165 2.2 Vegetation relevés and image sampling

166 2.2.1 Step 1. Vegetation relevé sampling

167 Due to the spatial resolution of the Pléiades imagery (2 m), we selected vegetation relevés within a 6 m \times 6 m quadrat to avoid mixed pixels. Vegetation *relevés* were collected in the 168 field from May to June 2015. To stratify the field sampling, unsupervised classification (20 169 classes) was performed on a Pléiades satellite image acquired in May 2013. This 20-class map 170 171 was loaded in the field computer and helped us to identify main spatial patterns within grasslands. Following the traditional phytosociological rule (Dengler 2017), relevés were 172 173 collected within plots with a priori homogeneous floristic composition. For each vegetation *relevé*, the number of vascular plant species was recorded (n = 111 for all plots) and their 174 abundance was estimated using the Braun-Blanquet approach (Braun-Blanquet 1932). In total, 175 220 vegetation *relevés* were geo-referenced using a differential GPS (error < 0.5 m) and 176 recorded in the vegetation database TURBOVEG (Hennekens & Schaminée 2001). 177

178 2.2.2 Step 2. Spectral sampling

179 This step associates vegetation *relevés* with reflectance spectra extracted from remote sensing data. A multispectral Pléiades image was used in combination with LiDAR (Light Detection 180 181 and Ranging) data. We used a multispectral Pléiades image because it presents a good tradeoff between cost (€1/km²), spatial resolution and coverage (15 km x 15 km). The Pléiades 182 image (© CNES ISIS program) was acquired in June 2014 and features a high spatial 183 184 resolution (2 m) with 12-bit depth spectral information in the blue (443-550 nm), green (490-610 nm), red (590-710 nm) and near infrared (740-940 nm) regions. The Pléiades image was 185 delivered at Level-1B, which includes inter-detector normalization, inter-array reconstruction 186 and geometric corrections (Panem et al. 2012). The image was orthorectified using a LiDAR 187 Digital Terrain Model (DTM). The horizontal error of the rectified image was less than 1 188 pixel. The Pléiades image was atmospherically corrected using the MODTRAN-4 model 189 (Adler-Golden et al. 1999). In addition, we integrated a LiDAR DTM in the vegetation 190

modelling as vegetation strongly responds to elevation contrasts (Moeslund et al. 2011; 191 192 Rapinel et al. 2015; Alexander et al. 2016). Airborne LiDAR data were acquired in November 2011 by the French Mapping Agency (IGN - Institut Géographique National). Only the 193 LiDAR-derived DTM was subsequently delivered (grid size 1 m, vertical accuracy 0.2 m); the 194 raw point cloud was unavailable. Although some topographical variables such as the 195 196 Topographic Wetness Index (TWI) and Topographic Position Index (TPI) have been relevant 197 for mapping plant communities in wetlands (Alexander et al. 2016), we did not use them because 1- the TWI is not suited for wetlands such as the Marais Poitevin, in which 198 anthropogenic features such as pipes and ditches have altered natural hydrological runoff 199 200 (Lindsay & Dhun 2015); and 2- the TPI – which expresses elevation relative to that of the stream is scale-dependent (Alexander et al. 2016). To address these concerns, we chose to 201 202 express elevation as the depression elevation (Alber & Piégay 2011). This DTM was modified 203 to be expressed relative to the depression elevation instead of the sea level by subtracting the absolute value of the DTM from the altimetric reference plan corresponding to the local 204 205 depression slope (see Alber & Piégay (2011) for a detailed description of the methodology). 206 The reflectance spectra associated with the vegetation *relevés* were taken from the Pléiades image and the modified DTM. Then, the values of the pixels included within each vegetation 207 208 *relevé* were averaged. To avoid ambiguities between ground data and remote sensing imagery, we verified the homogeneity of the Pléiades spectral responses when calculating the 209 Euclidean distance between the spectra within each vegetation relevé. Seven heterogeneous 210 vegetation *relevés* were removed, leaving 213 plots for the analysis. 211

212 2.3 Creation of a typology using the vegetation relevés

213 2.3.1 Step 3. Independent PCA applied to the floristic matrix and spectral matrix

214 This step builds a "joint" matrix that includes both spectral and floristic dimensionally-

reduced data. Many studies have shown the interest of unconstrained ordination such as a

principal component analysis (PCA) to summarize the floristic variance (Legendre & 216 217 Gallagher 2001). The main advantage of the PCA method compared to the non-metric multidimensional scaling (NMDS) method is that a difference between the abundance values 218 219 for a common species contributes more to the distance than the same difference for a rare species, so that rare species may have a limited influence on the analysis. Therefore, the PCA 220 221 method is better suited to our dataset because plant communities are more likely to differ by a variation in the abundances of the dominant species rather than the occurrence of rare species. 222 As a preprocess, the Braun-Blanquet cover values on floristic data were replaced with the 223 median cover values of each class (1 = 0.03; 2 = 0.13; 3 = 0.375; 4 = 0.625; 5 = 0.875) in 224 225 order to linearize the cover values. Then, the cover values were submitted to a Chord transformation (i.e. divided by the norm). These transformations must be done before 226 227 performing a PCA on this type of floristic dataset to ensure that the Euclidean distances 228 between the vegetation *relevés* calculated from the plant cover values accurately reflect the floristic similarities between the *relevés* (Legendre & Gallagher 2001). We performed two 229 distinct PCAs: a first PCA on the floristic data and a second PCA on the spectral data. As the 230 231 floristic and spectral matrices have completely different value ranges, each dataset was scaled separately using centered scaling before PCA. Last, we built a "joint" PCA matrix with the n 232 233 axes scores of the floristic PCA and the *n* axes scores of the spectral PCA.

234 2.3.2 Step 4. Fuzzy noise clustering (unsupervised classification)

This step classifies vegetation *relevés* into spectrally separable clusters with a fuzzy approach. In vegetation science, a fuzzy classification recognizes that certain vegetation *relevés* may correspond to a transition between many plant communities and as a result, they could have an uncertain classification. The joint PCA matrix was used as basis for the unsupervised fuzzy classification of the vegetation *relevés*. As the joint PCA matrix contains data from both floristic and spectral PCA, the unsupervised classification should cluster *relevés* that share

both floristic and spectral similarities. We used a noise clustering classifier (NC) (De Cáceres 241 242 et al. 2010), a prototype-based clustering method derived from the C-means (Bezdek et al. 1984), to find c clusters and assign n plots to these c clusters so that the resulting clusters are 243 compact and distinct from each another. The NC classifier results in a fuzzy classification 244 because a cluster membership probability is assigned to each vegetation *relevé* where the sum 245 of the membership probabilities is equal to 1. The NC classifier also identifies "noise" relevés 246 247 resulting from rare spectral or floristic values. The advantage is that "noise" *relevés* do not affect the cluster centers. Hence, the centers of interest are ultimately more distinct from each 248 other (De Caceres 2016). The main input parameters for the NC algorithm are the number of 249 250 clusters created by the method (mC), the fuzziness coefficient (m) and the distance to the noise cluster (δ). A high *m* value will lead to a classification where the probabilities of 251 belonging to a cluster are more distributed over several clusters while a low δ value will 252 253 increase the number of plots attributed to the noise cluster. Based on preliminary tests performed on our dataset, we set the intervals of input parameters as follows: mC 15-30 using 254 255 a step of 1, m 1.1-1.9 using a step of 0.1 and δ 1.5-2.0 using a step of 0.1. After completing 256 the fuzzy classification process, we called M_1 the resulting membership matrix that gives, for each vegetation *relevé*, the respective probabilities of belonging to the different spectrally 257 258 homogeneous S clusters, i.e. one with low spectral variance.

259 2.3.3 Step 5. Hierarchical agglomerative clustering

260 This step groups the spectrally homogeneous clusters into floristically homogeneous clusters.

261 Level 1 corresponds to the spectrally homogeneous S clusters (i.e. with low spectral

variability within clusters). They were derived from the NC classifier of the joint PCA matrix

- but with classifier parameters that minimized spectral variability to the detriment of floristic
- variability. The higher Level 2 corresponds to the floristically homogeneous F clusters (i.e.
- with low floristic variability within clusters) that were derived from the initial Level 1 clusters

merged with hierarchical agglomerative clustering based on floristic PCA axes. Here, we 266 hypothesized that a given plant community (Level 2) may have several distinct spectral 267 signatures (Level 1). For this reason, it is important to first identify spectrally homogeneous 268 269 clusters discernible on remote sensing data. The main input parameter for HAC is the threshold value, which determines the final number of clusters. As a result, a second 270 membership matrix M_2 containing the probabilities of belonging to the F clusters (Level 2) 271 was created by summing the membership probabilities of the agglomerated S clusters (Level 272 1) from M_1 . Expert-based assignment was then applied between the floristically homogeneous 273 F clusters (Level 2) and the French phytosociological system (Bioret et al. 2013). 274

275 2.3.4 Search for optimal clustering parameters

In order to find the best clustering parameter values (mC, m, δ , HAC threshold), we carried 276 out a major tuning procedure that repeated steps 3-5 with different combinations of the 277 parameter values. Our objective was to find an optimal trade-off classification for the 278 vegetation *relevés* that respects three criteria: 1- having homogeneous spectral clusters at M_{L} 279 2- having homogeneous floristic clusters at M_2 and 3- having at least 60% of the vegetation 280 *relevés* classified with a maximum membership higher than 0.4. The homogeneous spectral 281 clustering was assessed based on two geometric indices - the average silhouette value 282 283 (Rousseeuw 1987), which geometrically evaluates the quality of the clustering, and Hubert's C-index (Hubert & Arabie 1985), which compares the partition obtained with the best 284 partition that could have been obtained with this number of groups and this distance matrix. 285 The homogeneous floristic clustering was assessed based on an ecological index, the fidelity 286 coefficient phi (Chytrý et al. 2002) (only species with phi ≥ 0.2 were considered as diagnostic 287 288 species), in combination with average silhouette value and Hubert's C-index. The average silhouette value and Hubert's C-index assess whether the clusters are compact and distinct 289

290 from each other while the phi coefficient specifically assesses whether a cluster contains

diagnostic species, which are crucial for identifying vegetation units (De Cáceres et al. 2015).

The optimal clustering parameters were determined to correspond to the maximum (for the average silhouette value and number of diagnostic species) and minimum values (for Hubert's C-index) of three selected indices and should result in the best trade-off classification of the vegetation *relevés* with regards to their homogeneous spectral and floristic clusters.

296 2.4 Fuzzy classification of remotely sensed data

297 2.4.1 Step 6. Random selection of the relevés

This step randomly assigns each *relevé* to the calibration or validation dataset. In the supervised classification of RS data, all *relevés*, including noise *relevés*, were used. The classified vegetation *relevés* were equally and randomly split into two datasets: 1- the calibration dataset used for the supervised NC classifier and 2- the validation dataset used to assess the accuracy of the classified remote sensing data. Both calibration and validation datasets included the M_1 and M_2 matrices.

304 2.4.2 Step 7. Fuzzy noise clustering (supervised classification)

305 This step applies the noise clustering model to all pixels of the remote sensing image. Usually, 306 the field samples used to classify remote sensing data have a crisp assignment to a single 307 cluster. Here, we assume that a vegetation *relevé* has a probability of belonging to each 308 cluster. For this reason, the remote sensing data were classified using the NC, which preserves the fuzzy assignment of the vegetation relevés (see step 4). A supervised classification of 309 remotely sensed data was performed using the homogeneous spectral matrix M_1 in the 310 311 calibration dataset. The classification produced a membership matrix CM_1 giving, for each 312 pixel, its probabilities of belonging to each homogeneous spectral cluster. Then, the memberships of CM_1 were summed based on the aggregation rules defined in the HAC 313

analysis (step 5). This resulted in a CM_2 matrix giving, for each pixel, its probabilities of 314 315 belonging to each homogeneous floristic cluster. At the end of the classification procedure, a set of fuzzy maps was produced for each vegetation cluster. To obtain a crisp map of the 316 317 vegetation cluster based on crisp classification, the membership matrix CM_2 was defuzzified by attributing, to each pixel, the class for which it had the highest membership probability. In 318 319 addition, two other classes were considered: 1- the noise class, generated by the NC classifier 320 and composed of the outliers, and 2 – the unclassified class, corresponding to pixels with a maximum CM_2 membership probability less than 0.4 (below which there is no clear majority 321 among clusters). 322

323 2.4.3 Step 8. Fuzzy accuracy assessment

This step measures the accuracy of the fuzzy classification of the remote sensing image. The 324 traditional crisp calculation of the confusion matrix requires the defuzzification of the fuzzy 325 map. To avoid losing membership information, we chose to calculate a fuzzy confusion 326 matrix from the fuzzy predicted and reference membership matrices following Binaghi et al. 327 328 (1999). Finally, a fuzzy kappa was calculated using Cohen's kappa coefficient to measure the agreement between the fuzzy sets proposed by (Dou et al. 2007). Details of the calculations 329 are given in Appendix S1. *Relevés* with a maximum membership probability less than 0.4 330 331 among the 9 vegetation clusters were considered "unclassified". However, their membership probabilities were included when calculating the confusion matrix. To compare accuracy 332 results to those of a traditional crisp classifier, relevés' membership probability for the noise 333 class was not considered when assessing the accuracy. 334

To avoid any bias due to the selection of the calibration and validation data, the remotely sensed data classification procedure (from step 6 to step 8) was repeated 1000 times with randomly selected calibration and validation datasets. The classification with the median overall accuracy value was selected as the final fuzzy classification output. 339

340 2.5 Assessment of the fuzzy noise clustering approach

341	In order to assess the relevance of our approach to existing ones, we compared the overall
342	accuracy of the vegetation maps derived from: (i) a fuzzy and a crisp classification, (ii) our
343	"trade-off" typology with a floristic typology on the one hand and a physiognomic typology
344	on the other hand. Crisp classification of the remote sensing data was performed using the
345	crisp vegetation dataset (by defuzzifing the M_2 membership matrix, i.e. assigning each <i>relevé</i>
346	to a vegetation cluster based on its highest membership probability) with a support vector
347	machine (SVM) algorithm that is widely recognized as the most efficient classifier
348	(Mountrakis et al. 2011). The optimal calibration model was defined by a 10-fold cross-
349	validation sampling method. The floristic typology was constructed based on the scores of the
350	floristic PCA axes. Conversely, the physiognomic typology was based on the score of the
351	spectral PCA axes.
352	All analyses were performed in the R 3.1.2 statistical environment (R Core Team 2015) using
353	the packages vegan (v 2.3-4) (Oksanen et al. 2015), vegclust (v 1.6.3) (De Caceres 2016),

ade4 (v 1.7-4) (Dray & Dufour 2007), raster (v 2.5-2) (Hijmans 2015) and rgdal (v 1.1-3)

355 (Bivand et al. 2015).

356

357 **3 Results**

358 3.1 Classification of the vegetation relevés

The numbers of dimensions considered in the floristic and spectral PCA, respectively, were set to three for each PCA. As a result, the NC classification was performed on PCA matrix M_1 which contained six variables explaining 38.1% and 97.8% of the floristic and spectral variance, respectively. The fuzziness coefficient (m = 1.7) as well as the distance to the noise

cluster ($\delta = 1.7$) values were quite large with the result that 35.2% and 2.3% of the total plots 363 fell into the unclassified (i.e. maximum class membership < 0.4) and noise clusters, 364 respectively. The number of initial clusters was set to mC = 15 for M_1 and merged to form 365 nine final clusters (M_2) after the agglomerative hierarchical clustering (dt = 0.6). 366 Figure 3 clearly illustrates the weak relationship between the spectral and floristic variance 367 and the interest of the nested-level approach: at level 1, the S clusters had similar spectral 368 369 values (average silhouette value = 0.15) but quite different floristic values with a high intraclass variance (average silhouette value = 0.10). Conversely at level 2, the F clusters had 370 371 similar floristic values (average silhouette value = 0.21; Hubert's C index= 0.12) and were 372 characterized by at least one diagnostic species (n = 56) but had quite different spectral values (average silhouette value = -0.02). As an example, at level 1, clusters S6 and S11 had similar 373 floristic values that overlap (**Fig. 3**, upper right) but which could be clearly distinguished by 374 their clear spectral differences (Fig. 3, upper left). At level 2, these two clusters were merged 375 together into a F6 cluster with similar floristic values (Fig. 3, bottom right) but with spectral 376 377 values spread out between clusters F2 and F5. Dendrogram and silhouette plots are presented Appendix S2. 378



o transitional relevés (class membership < 0.4) ▲ noise relevés

Fig. 3. Weak relationship between spectral and floristic variance and the utility of the nested-level approach. For visualization purposes, scaled principal component analyses (PCA) were performed at level 1 (top) and level 2 (bottom) on the spectral (left) and floristic (right) values. Each cluster derived from noise clustering of the joint PCA matrix is represented by a code and a colour.

379

380 The floristic composition of each final cluster together with the number of initial clusters are 381 shown in **Table 1**. Correspondence with the French national nomenclature is also provided 382 based on expert interpretation and diagnostic species (Table 2). In further detail, clusters F1 to 383 F2 are typical of hygrophilous (annual flood duration > 4 months) and grazed grasslands:

cluster F1 is related to the Urtico dioicae-Phalaridetum arundinaceae plant community while 384 cluster F2 corresponds to the *Eleocharito palustris-Oenanthetum fistulosae* plant community 385 variation at Ranunculus ophioglossifolius. Cluster F3 is related to meso-hygrophilious (annual 386 flood duration between 1 and 3 months) and grazed grasslands corresponding to the 387 Alopecuro bulbosi-Juncetum gerardii plant community. Cluster F4 is related to meso-388 hygrophilious grasslands with alternative grazing and mowing corresponding to the Trifolio 389 maritimi-Oenanthetum silaifoliae plant community. Clusters F5 and F6 are related to meso-390 hygrophilious and mown grasslands corresponding respectively to the Junco gerardi-391 Oenanthetum fistulosae and Elytrigio repentis-Caricetum divisae plant communities. Clusters 392 F7 and F8 are very similar and correspond to mesophilious (annual flood duration < 1 month) 393 and grazed grasslands related to the Carici divisae-Lolietum perennis plant community. 394 Cluster F8 is found on more intensively grazed grasslands. Cluster F9 is found in mesophilous 395 396 grasslands with alternative grazing and mowing phases and corresponds to the Hordeo secalini-Lolietum perennis plant community. 397 398 Notably, four clusters (F2, F5, F6 and F8) are characterized by two or more spectral

- signatures (S clusters, level 1) while five other clusters (F1, F3, F4, F7 and F9) are
- 400 characterized by one spectral signature (Table 1).

Table 1. Synoptic table of the vegetation units in the Poitevin marsh. Frequency values (in percentages) of the

402 species in the clusters obtained from the noise clustering classifier. Diagnostic species are indicated in gray (phi ≥ 0.20) and dark gray (phi ≥ 0.40). The full version is available in Appendix S3.

DOMINANT AGRICULTURAL PRACTICES	JRAL PRACTICES Grazing		ıg	Mowing			Grazing		
ANNUAL FLOOD DURATION	4 months 1-3 months			< 1 month					
CLUSTER NAME (LEVEL 2)	F1	F2	F3	F4	F5	F6	F7	F8	F9
NUMBER OF INITIAL CLUSTERS (LEVEL 1)	1	4	1	1	2	2	1	2	1
Eleocharis uniglumis	43	8							
Phalaris arundinacea	29	3				5			
Agrostis stolonifera	57	97	7	29	46	50	20	100	38
Glyceria fluitans	43	46			8				
Oenanthe fistulosa	29	74			8	55			38
Ranunculus repens	14	59			15	10	20		
Eleocharis palustris	57	49			15	5			
Mentha pulegium	14	41			15	5			
Trifolium fragiferum	14	46	7		15				38
Hordeum marinum		3	87		8		20		
Juncus gerardi		8	100		23	25	40	20	13
Parapholis strigose			47						
Plantago coronopus			87		23		60	10	
Holcus lanatus		5		100	31	25		10	25
Festuca arundinacea		3		29		10			
Tragopogon porrifolius				29					
Medicago littoralis				29					
Trifolium pratense				43					13
Trifolium maritimum			20	86	54	25	100	20	
Anthoxanthum odoratum		3		71	54	20		30	13
Elytrigia repens		21	20	29	77	100	20	90	38
Carex divisa		15	53	71	62	95	40	100	13
Leontodon hispidus							60		
Trifolium subterraneum							40		25
Hypochaeris radicata				14			40	10	13
Iris spuria								40	
Bromus racemosus			27	29	8		40	70	25
Cynosurus cristatus				43	31	10	80	80	38
Cirsium species								20	
Hordeum secalinum	14	23	20	43	46	10	20	80	63
Lolium perenne		5	20	43	38	5	100	70	100
Trifolium repens				14	8			10	38
Ranunculus acris		3							50

407 3.2 Classification of remotely sensed data

The supervised fuzzy noise clustering classification of remotely sensed data produced three 408 sets of maps: class membership maps for each plant community (Fig. 4, left), an uncertainty 409 410 map (Fig. 4, right) and a crisp classification map (Appendix S5). To improve visualization of the fuzzy classification, class membership maps for each plant community were featured 411 using hue-preserving colour blending (Chuang et al. 2009). The main advantages are that each 412 vegetation cluster has a specific colour and that the colour's saturation level indicates the 413 certainty of class assignment (Zlinszky & Kania 2016). The spatial distribution of grassland 414 415 habitats along wetlands is consistent with their ecological preferendum: for example, cluster F2 is clearly distributed in topographical depressions and long-term flood areas while clusters 416 F7 and F8 occur at higher elevations that are rarely flooded. Notably, cluster F3 is linearly 417 418 distributed along topographical slopes. Interestingly, the map of the noise cluster highlights 419 non-grassland habitats such as channels, crops and bare soils but also noisy pixels such as tree shadows and flooded vegetation. The uncertainty map reveals transitional areas between two 420 421 plant communities.



Fig. 4. Subset of classified vegetation maps derived from the fuzzy noise clustering: the fuzzy blended image (left) using the hue-preserving algorithm (see Zlinszky and Kania (2016)) shows gradual transitions between classes; the uncertainty image (right) shows areas of high uncertainty in black and more certain areas in white.

422

423	The iterative process of random selection of calibration and validation dataset shows low
424	variation in overall accuracy (standard deviation = 1.7). The median fuzzy confusion matrix
425	shows an overall accuracy of 62% (Table 2). The producer's accuracy values are higher than
426	60% for all clusters except for clusters F3, F4 and F9. The user's accuracy values are higher
427	than 60% for all clusters, except for clusters F1, F6 and F9. The reference and classified
428	membership matrices are available in Appendix S4. The importance of spectral bands to
429	classification accuracy is detailed in Appendix S6.

430 Table 2. Fuzzy accuracy assessment of the map of plant communities derived from remote sensing imagery:

431 overall accuracy (%), Kappa index, producer's accuracy (%) and user's accuracy (%) for each cluster.

Cluster	Plant community	Producer's Accuracy	User's Accuracy	
F1	Urtico dioicae - Phalaridetum arundinaceae	60	57	
F2	Eleocharito palustris-Oenanthetum fistulosae variation at Ranunculus ophioglossifolius	69	60	
F3	Alopecuro bulbosi-Juncetum gerardii	56	60	
F4	Trifolio maritimi-Oenanthetum silaifoliae	43	65	
F5	Junco gerardi - Oenanthetum fistulosae	67	61	
F6	Elytrigio repentis-Caricetum divisae	63	42	
F7	Carici divisae-Lolietum perennis variation at Plantago coronopus and Bellis perennis	65	63	
F8	Carici divisae-Lolietum perennis	68	68	
F9	Hordeo secalini-Lolietum perennis	40	25	
Median overall accuracy 62 %				
Median Kappa index 0.56				

432

3.3 Impact of the typology and fuzzy approach on map accuracy

The influence of our trade-off typology and fuzzy approach on map accuracy is presented in 434 Fig. 5. It highlights a clear trade-off between the overall accuracy of the classification and the 435 floristic significance of the typology. When the floristic typology is applied (Fig. 5, left), the 436 clusters have consistent floristic values (average silhouette value = 0.26) but the overall 437 438 accuracy of the classification remains very low, either with a fuzzy (25.9%) or a crisp (26.1%) classification approach. Conversely, when a spectral typology is applied (Fig. 5, right), the 439 overall accuracy of the classification is excellent either with a fuzzy (92.8%) or crisp (90.6%) 440 classification approach but the clusters have quite different floristic values (average silhouette 441 value = -0.03). Using the compromise typology (Fig. 5, middle), the clusters are not only 442 comprised of similar floristic values (average silhouette value = 0.21) but they also contain 443 distinct spectral values (overall accuracy = 62%) when a fuzzy classification is performed. In 444

the case of the compromise typology, the fuzzy classification (overall accuracy = 62%)
significantly outperforms the crisp classification (overall accuracy = 44.8%).



Fig. 5. Trade-off between mapping accuracy (expressed as the overall accuracy percentage) and floristic coherence of the typology (expressed as the average silhouette value). Boxplots were calculated from 1000 iterations of random selection of calibration and validation *relevés*.

447

448 **4 Discussion**

449 The aim of this study was to map grassland plant communities on a large wetland site (73

- 450 km²) combining a cost-effective satellite multispectral image and a LiDAR-derived DTM. We
- 451 developed a novel approach that combines a compromise typology and a fuzzy approach. The
- 452 grassland plant communities were classified and mapped with 62% accuracy (kappa index
- 453 0.56), which is acceptable considering the area of the study site, the detailed typology (9

clusters, each representing a grassland with a similar physiognomy) and the inexpensive 454 455 remote sensing data used. In comparison, Kumar and Sinha (2014) achieved an accuracy of 42% using satellite multispectral imagery on a 9.5 km² salt marsh; Roelofsen et al. (2014) 456 457 achieved an accuracy of 59% (kappa index 0.46) using airborne hyperspectral imagery alone on a 12 km² coastal marsh and Zlinszky et al. (2014; 2015) mapped herbaceous habitats with 458 68% and 62% overall accuracy, respectively (kappa index 0.64 and 0.58, respectively), using 459 460 point-cloud LiDAR data of meadows covering a few hectares. The maps we produced appear to reliably detect and report on plant communities. It provides a valuable source of data to 461 assess and monitor the conservation status of natural habitats. Together with trait-based maps 462 463 (Lausch et al. 2016), this type of floristically-sensitive vegetation map will open up new avenues for documenting habitat-related ecosystem services. 464

465 *4.1* Significance of method used to develop the typology

We aimed to develop a vegetation typology that is both ecologically and spectrally consistent 466 467 (Fig. 5). Given the weak relationship between spectral variance – derived from inexpensive multispectral imagery – and floristic composition, a trade-off between the floristic 468 significance of the clusters and the classification accuracy of the RS data should be taken into 469 470 account. As already pointed out (Thomas et al. 2003; Kumar & Sinha 2014; Martínez-López et al. 2014), a solely floristic-based typology produced an inaccurate classification of remote 471 472 sensing data ($\sim 25 - 40$ %). To address this issue, a common approach is to aggregate plant communities into vegetation formations such as lawns, sedges, poor fens, bogs, shrubs and 473 woods (Thomas et al. 2003; Middleton et al. 2012); open dwarf shrub, sparse grassland, and 474 woody acacia shrub (Oldeland et al. 2010) or mangrove, pasture, dry grass, and salt-marsh 475 vegetation (Kumar & Sinha 2014). One of the two main advances of our study is to show that 476 hybrid distance in a multivariate space combining the PCA scores of spectral and floristic data 477 produced a "compromise" typology – identifying 9 grassland plant communities, each with a 478

similar physiognomy – that could be accurately mapped. Figure 6 clearly shows that – 479 480 compared to the floristic typology – the compromise typology strongly improved RS classification by 36 points (from 26% to 62%) while preserving the homogeneous floristic 481 clusters (the average silhouette value decreased slightly from 0.26 to 0.21). 482 Besides, both plant communities and dominant species can be mapped from hyperspectral 483 imagery (Schmidtlein et al. 2007), LiDAR point clouds (Zlinszky et al. 2014) or TerraSAR-X 484 485 time series (Schuster et al. 2015), but their high cost limits their use. Therefore, one of the main results of the method was to establish a correspondence between our vegetation units 486 and the French national reference for natural habitats (Bioret et al. 2013). In this study, 487 488 correspondences between clusters and syntaxonomy units were based on expert-based assignments because the French phytosociological system is not yet stabilized. In the near 489 future, an improved version of the system will be available based on the VegFrance database, 490 which includes digital relevés (Bonis & Bouzillé 2012) and the use of numerical approaches 491 (Tichý et al. 2014). 492

493 Several improvements to the development of the typology can be suggested. It should be recalled that spectral clusters (i.e. canopy reflectance) vary over time (Feilhauer et al. 2016), 494 as do floristic clusters. To transfer this approach to other sites, satellite images should be 495 496 acquired during the optimal period: from maximum development of herbaceous vegetation to the beginning of mowing. This issue can also be addressed by analyzing annual satellite time 497 series to examine dynamics of the spectral response of vegetation over time (Schuster et al. 498 2015; Shoko & Mutanga 2017). Besides, the use of NMDS scaling would result in all of the 499 500 floristic variance being found on either two or three axes (Schmidtlein et al. 2007; Feilhauer 501 et al. 2014) compared with only 38% of the floristic variance, as observed in the PCA we used in the present study. However, NMDS axes should be carefully interpreted as a function of the 502 503 stress value (Clarke 1993). Moreover, NMDS scaling may be influenced by rare species,

which may lead to incorrect interpretations (Legendre & Gallagher 2001). Indeed, preliminary 504 505 tests we performed on the dataset showed that PCA produced a clearer pattern than NMDS due to two "outlier" relevés dominated by Phragmites australis and Phalaris arundinacea 506 507 species. The second issue is related to the definition of the number of clusters. In this study, the reliability of the clusters was assessed with average silhouette value, the number of 508 diagnostic species and Hubert' C-indexes, usually used in vegetation science for 509 510 phytosciological classifications (Douda et al. 2016); however, the effectiveness of the silhouette method is still an open question (Dengler et al. 2013). Otherwise, the set of field 511 data upon which the classification of the nine clusters is based consists of 213 plots. In the 512 513 near future, it will be useful to check this using more plots. In this regard, the national vegetation data base is a promising tool (Bonis & Bouzillé 2012). 514

515 *4.2 Contribution of the fuzzy approach*

This study highlights that the integration of floristic and spectral uncertainty by using a fuzzy 516 approach increased the accuracy of a vegetation map by 17% (Fig. 5). The comprehensive 517 fuzzy approach we have developed includes: 1-the fuzzy classification of vegetation data, 2-518 519 the fuzzy classification of remote sensing data and 3-the fuzzy accuracy assessment of the 520 resulting map. This approach can be used to consider both the floristic and spectral 521 uncertainty over the entire analysis, something that has been identified as an important 522 challenge of vegetation mapping (Rocchini 2014). When the comprehensive fuzzy approach is not used, the remote-sensing data classification may be biased by an arbitrary expert-based 523 typology. Thus, it seems that the fuzzy approach is needed to map natural habitats, 524 specifically those in wetlands where subtle variations in topography and pasturage have a 525 fine-grained pattern, with progressive transitions between habitats (Dumont et al. 2012); in 526 the present study, 35% of *relevés* were not clearly assigned to a vegetation unit (Figure 3). As 527 a result, the uncertainty map (Figure 4) contains many uncertain pixels (in black), which can 528

be viewed initially as an obstacle to direct operational use. However, the uncertain pixels also 529 530 highlight target areas where additional field relevés are needed (Zlinszky & Kania 2016). 531 Before analysis, we ensured that *relevés* were floristically and spectrally homogeneous to 532 avoid ambiguities between ground data and remote sensing imagery. This common preliminary step is essential to remove *relevés* with spurious spectral values, such as those 533 associated with the presence of clouds or cows (e.g. see Schmidtlein et al. (2007) or 534 535 Schmidtlein et al. (2012)). It was all the more necessary as spectral values of pixels were averaged per *relevé*; in this sense, we consider that the fuzzy approach addresses uncertainty 536 between relevés but not within them. In our sampling design, only 7 of the 220 relevés were 537 538 discarded (< 2% of the total), because of spectral heterogeneity explained by shallow water on part of the plot. 539

Many widely used supervised classifiers, such as support vector machine or random forest,
initially produce fuzzy outcomes before a final crisp classification. Several studies have
shown the contribution of such classifiers to fuzzy mapping of grassland habitats (Zlinszky et
al. 2014; Zlinszky & Kania 2016). In this study, we applied a noise-clustering classifier twice:
first as an unsupervised classifier to classify the pixels corresponding to vegetation *relevés*and then as a supervised classifier to classify all the pixels in the image.

546 4.3 The potential of cost-effective remote sensing data

In this study, we opted for a cost-effective multispectral Pléiades satellite image (€1/km²) and
free national LiDAR-derived DTM rather than expensive RS data. The combined use of one
Pléiades image and a LiDAR-derived DTM resulted in a classification accuracy of 62%. In
accordance with (Feilhauer et al. 2013; Chasmer et al. 2014), we pointed out that LiDAR
DTM and near infrared spectra were important variables to discriminate natural habitats in
wetlands (Appendix S6). Specifically, the contribution of LiDAR DTM (+5% in overall

accuracy) corroborates previous studies highlighting the strong relationship between plant 553 554 community distribution and micro-topography in wetlands (Moeslund et al. 2011; Alexander et al. 2016). It should be kept in mind, however, that this relationship can be biased locally by 555 556 water management such as intensive drainage for agriculture or, conversely, water retention for conservation (Rapinel et al. 2018). Beyond 2-D LiDAR variables, many studies (Zlinszky 557 et al. 2014; Zlinszky et al. 2015) have also highlighted the contribution of 3-D variables such 558 559 as echo width, intensity response and surface roughness to accurately map grassland habitats 560 (overall accuracy 62-68%, kappa index 0.57-0.64). In this sense, combining multispectral satellite imagery with point-cloud LiDAR data is a promising avenue for mapping plant 561 562 communities. The mapping accuracy may be slightly increased ($\pm 10\%$) with other costeffective multispectral satellite imagery such as RapidEye or SPOT-5, which feature 563 564 additional red edge and SWIR spectral bands, respectively, and which have been shown to be 565 relevant to accurately map wetland natural habitats (Davranche et al. 2010; Stenzel et al. 2014). Until now, accuracy greater than 85% could be reached only by using airborne 566 567 hyperspectral (Burai et al. 2015); hyperspatial resolution imagery from an unmanned aerial vehicle (Kaneko & Nohara 2014) or full-waveform LiDAR data (Launeau et al. 2018) of 568 small sites covering several hectares. However, the new Sentinel time-series with a high 569 570 spatial resolution (10 m) seem promising to accurately map natural habitats, using Sentinel-1 SAR (Schmidt et al. 2017) or Sentinel-2 multispectral (Shoko & Mutanga 2017) sensors. 571 Such time-series satellite images, which are both free and cost-effective, are now available 572 and appear promising to accurately distinguish between plant communities in the near future. 573 Considering that the spectral response is partially linked to species composition as well as to 574 575 physiognomy, litter thickness, biomass and abiotic variables such as proportion of bare soil and water content (Feilhauer et al. 2013), we hypothesized that a plant community may show 576 577 several spectral signatures. Our results confirmed this hypothesis as four clusters were

characterized by at least two spectral signatures. Specifically, cluster L2, corresponding to a
grazed hygrophilous plant community, has four spectral signatures. In wetland environments,
the presence of water in different proportions may explain this spectral variability from plant
community clusters, as highlighted in some Australian salt marshes (Kumar & Sinha 2014).
Future field campaigns with systematic measurements of the plant species composition
combined with biomass and abiotic variables could be used to explain the relationship
between the spectral responses and floristic composition, as done by Feilhauer et al. (2013).

585 4.4 A new way to monitor habitats for the UE Directive

Using RS data for application of the EU Habitats Directive has been emphasized for a decade 586 (Vanden Borre et al. 2011). Most RS studies, however, have focused on the Natura 2000 587 nomenclature (e.g. Alexandridis et al. 2009; Stenzel et al. 2014; Féret et al. 2015) rather than 588 on plant communities, which are the "elementary" units of biodiversity (Pott 2011). Rodwell 589 et al. (2018) and Bioret et al. (2017) recently urged clarifying the actual object of Natura 2000 590 591 reporting and the need to consider elementary habitats (i.e. plant communities). The approach we developed, which was able to map 9 plant communities as "elementary" habitats, opens 592 593 avenues to do so. It should kept in mind that these elementary habitats form the basis of the 594 Natura 2000, CORINE and EUNIS nomenclatures, "though this involvement has been complex and unclear" (Rodwell et al. 2018). Here, we used RS data to map 9 "elementary" 595 habitats that are included in the "thermo-Atlantic and sub-brackish meadows" Natura 2000 596 habitat, which encompasses diverse and even contrary environmental conditions caused by 597 environmental management such as flood duration (from less than 1 month for Hordeo 598 secalini-Lolietum perennis up to 4 months for *Eleocharito palustris-Oenanthetum fistulosae*) 599 600 and agricultural practices (grazing for Carici divisae-Lolietum perennis or mowing for Junco 601 gerardi-Oenanthetum fistulosae). From a structural perspective, each "elementary" habitat that we mapped could be used as a surrogate of a species pool (Zlinszky et al. 2015) but also 602

of species richness, stand structural diversity or key species cover (Neumann et al. 2015;
Schmidt et al. 2017). From a functional perspective, these "elementary" habitats – which are
ecologically homogeneous units – could also provide insights into their corresponding
environmental conditions as well as their functional traits (e.g. seed mass), which are
indirectly detectable from RS data (Violle et al. 2011).
One challenge of applying the Habitats Directive is the field sampling effort. In accordance

with Zlinszky & Kania (2016), we believe that the fuzzy map with hue-preserving blending
provides a more realistic view of the complex spatial patterns of natural vegetation and
highlights transitional areas between habitats as well as uncertain areas (Fig. 4). This fuzzy
map provides useful and new guidelines to local managers for planning additional field *relevés* and strengthening collaborations between remote sensing and ecologist communities
(Vanden Borre et al. 2011).

615 Conclusion

616 The comprehensive fuzzy procedure successfully mapped herbaceous plant communities at the ecosystem scale using inexpensive remote sensing data. Floristic and spectral uncertainty 617 was considered in a fuzzy approach, resulting in the mapping of 9 herbaceous plant 618 619 communities with acceptable accuracy. As the natural habitats were characterized at the plant community level, correspondence with functional properties of the species or with ecosystem 620 621 services can be easily inferred. These encouraging results open up new ways to meet the requirements for monitoring the conservation status of natural habitats in the EU Habitats 622 Directive 623

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Supporting information

- Appendix S1. Fuzzy accuracy assessment
- Appendix S2. Dendrogram and silhouette plots
- Appendix S3. Full synoptic table
- Appendix S4. Reference and classified membership matrix
- Appendix S5. Full map of the plant communities
- Appendix S6. Importance of spectral bands on classification accuracy