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### ► To cite this version:

E Gaye, Audrey Le Bot, Jean-Philippe Talarmin, Ronan Le Calloch, Sorya Belaz, et al.. Cerebral aspergillosis: An emerging opportunistic infection in patients receiving ibrutinib for chronic lymphocytic leukemia?. *Médecine et Maladies Infectieuses*, 2018, 48 (4), pp.294-297. 10.1016/j.medmal.2018.01.003 . hal-01807746

**HAL Id: hal-01807746**

**<https://univ-rennes.hal.science/hal-01807746>**

Submitted on 21 Jun 2018

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**Cerebral aspergillosis: an emerging opportunistic infection in patients receiving ibrutinib for chronic lymphocytic leukemia?**

**Aspergillose cérébrale : une infection opportuniste émergente chez les patients traités par ibrutinib pour leucémie lymphoïde chronique ?**

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**Mots clés :** aspergillose cérébrale ; ibrutinib ; leucémie lymphoïde chronique

**Keywords:** chronic lymphocytic leukemia; ibrutinib; cerebral aspergillosis

## Introduction

Ibrutinib is a novel oral covalent inhibitor of Bruton's tyrosine kinase (BTK). It has been approved for the treatment of relapsing chronic lymphocytic leukemia (CLL), or CLL with high-risk cytogenetic abnormalities. Several cases of invasive aspergillosis have recently been reported in ibrutinib-treated patients. We report two cases of cerebral aspergillosis in CLL patients treated with ibrutinib.

## Case reports

### First case patient

A 75-year-old man was admitted for prolonged fever. He had been initiated on ibrutinib (280 mg daily) 12 days earlier, along with oral prednisone (1 mg/kg/day) for relapsing CLL complicated by autoimmune hemolytic anemia (AIHA). Previous CLL treatments included obinutuzumab, chlorambucil, rituximab, and bendamustine. The physical examination performed upon admission was unremarkable, except for fever (body temperature 38°C). The neutrophil count was <0.1 G/L, serum gamma globulins were 3.8 g/L. Chest computed tomography (CT) scan revealed bilateral nodules and enlarged mediastinal lymph nodes. Bronchoalveolar lavage (BAL) yielded *Aspergillus fumigatus*. Serum *Aspergillus* galactomannan antigen (enzymatic immuno-assay Platelia® *Aspergillus* Ag Bio-Rad, Marnes-la-Coquette, France) was 1.9 (index, normal values <0.5). The patient was treated with voriconazole 200 mg BID after a loading dose. The dose was then gradually increased to 500 mg BID, which allowed trough serum concentrations to reach the therapeutic target of 1-6 µg/mL throughout treatment. Filgrastim was introduced and ibrutinib was discontinued.

Three days later, while the neutrophil count was >0.5 G/L, the patient complained of visual impairment and ataxia. The brain MRI revealed several abscesses (Fig. 1). The cerebrospinal fluid (CSF) analysis was normal (no white cells, proteins 0.36 g/L), and no pathogen was identified on Gram staining, culture, and tests for galactomannan as well as *Cryptococcus* antigen. On Day 13 the

patient presented with signs of intracranial hypertension with generalized seizure. The brain MRI revealed a significant increase in the abscess size. A stereotactic biopsy was performed: the histopathological examination confirmed the diagnosis of abscesses with septate hyphae compatible with *Aspergillus* sp., and positive *Aspergillus* PCR. Prednisone (40 mg/day) was added to voriconazole. The patient's condition gradually improved, and prednisone was gradually tapered until discontinuation on Day 60. On Day 180 the chest CT scan was normal, and the brain MRI revealed significant decrease in brain lesions. Voriconazole was discontinued. No sign indicative of aspergillosis relapse was observed during the 3-month follow-up after voriconazole discontinuation. Ibrutinib was not reintroduced.

#### Second case patient

A 65-year-old man was diagnosed with CLL, Binet stage C, with a high-risk cytogenetic abnormality of 17p13 deletion, complicated with AIHA non-responsive to corticosteroids. He was started on ibrutinib (420 mg/day) associated with rituximab (500 mg/m<sup>2</sup> every two weeks) and prednisone (1.5 mg/kg/day). Two months later, the patient was admitted for acute dyspnea and cough. The physical examination was unremarkable. Laboratory tests revealed hemoglobin level of 8.9 g/dL and neutrophil count of 7.1 G/L. The chest CT scan revealed left pleural effusion and bilateral nodules (Fig. 2A). The direct examination of BAL revealed alveolar hemorrhage and mononuclear cell infiltrates. BAL, tracheal aspiration, and sputum culture all yielded *Aspergillus fumigatus*. Serum *Aspergillus* galactomannan antigen was 0.8 (index).

The patient was treated with oral voriconazole. Therapeutic drug monitoring was performed, with levels constantly >1.5 µg/mL in the serum. Ibrutinib dosage was reduced to 140 mg/day because of drug-drug interaction with voriconazole. Prednisone was reduced to 20 mg/day and rituximab was discontinued. Cough and dyspnea gradually resolved over two weeks, and serum *Aspergillus* galactomannan antigen was <0.5 (index) at two months.

One month later, while the patient was still receiving voriconazole therapy, he was admitted for light-headedness, balance disorder, and right hemiparesis. The brain MRI revealed multiple nodular lesions (Fig. 2B). The CSF analysis was normal, with no meningitis, normal protein and glucose values, and negative galactomannan antigen,  $\beta$ -D-glucan, and *Aspergillus* PCR. Voriconazole levels were within therapeutic targets (i.e., respectively 2.04 and 1.47  $\mu\text{g}/\text{mL}$  in serum and CSF). The lung CT scan revealed significant decrease in pleural effusion and nodules, and galactomannan antigen in serum was  $<0.5$  (index).

Voriconazole was associated with intravenous liposomal amphotericin B at 350 mg/day. Neurologic signs resolved within 10 days. The brain MRI and chest CT scan were normal after three months of combination antifungal therapy, allowing discontinuation of liposomal amphotericin B. Voriconazole was discontinued after 12 months with good tolerability. The ibrutinib dose was increased to 420 mg/day after voriconazole discontinuation. No sign indicative of aspergillosis relapse was observed during a 5-month follow-up after voriconazole discontinuation.

## **Discussion**

Invasive aspergillosis is a fungal opportunistic infection mainly ascribed to neutrophil and macrophage phagocyte function deficiency, which enables the growth of *Aspergillus* spores and tissue invasion. The at-risk population has been well identified, including patients presenting with prolonged ( $>10$  days) and severe ( $<0.5$  G/L) neutropenia, prolonged corticosteroid use, inherited severe immunodeficiency, or treatment with T cell immunosuppressants [1].

Ibrutinib is an oral covalent inhibitor of BTK, an enzyme produced by B-cell monocytes and macrophages that plays a role in down-regulating BCR and NF- $\kappa$ B signalling pathways in CLL cells and may also contribute to hypogammaglobulinemia [2]. The immunosuppression induced by ibrutinib inhibition of BTK may lead to an increased susceptibility to fungal infection. The two case patients reported herein presented with additional risk factors for invasive fungal infections, as they received prolonged corticosteroids or/and prior myelosuppressive therapies. Yet, they neither had prolonged

neutropenia nor lymphopenia before and during BTK-inhibitor-therapy. This suggests the potential key role of ibrutinib as a predisposing factor for invasive aspergillosis in CLL patients.

Lung is the primary site of invasive aspergillosis; cerebral aspergillosis is rare [3]. Its clinical presentation is variable and nonspecific: meningitis, meningoencephalitis, seizures, or focal neurological signs related to abscesses. To date, nine cases of documented invasive aspergillosis have been reported in CLL patients receiving ibrutinib. Of note four of them (44%) had cerebral aspergillosis [4,5] (Table 1). The additional two cases of cerebral aspergillosis reported herein are in line with those findings, and suggest that central nervous system may be a sanctuary site for invasive aspergillosis in CLL patients treated with ibrutinib. Accordingly, careful and repeated neurological examinations are required in patients presenting with invasive aspergillosis after ibrutinib treatment, with a very low threshold for prescribing brain MRI. Surprisingly, our second patient presented with neurological signs after three months of optimal treatment for invasive aspergillosis (voriconazole, with therapeutic drug monitoring).

Despite substantial improvements in invasive aspergillosis treatment [6], the mortality rate of cerebral aspergillosis remains high, with a 12-week overall mortality of more than 45% [3]. Recent guidelines of the infectious diseases society of America (IDSA) recommend primary treatment with voriconazole for CNS aspergillosis, because of its good CNS penetration. Combination with liposomal amphotericin B is used as salvage treatment. Our two patients developed pulmonary and CNS aspergillosis soon after ibrutinib was introduced. Both had favorable outcome with prolonged voriconazole treatment, associated with ibrutinib discontinuation, and resolution of neutropenia for the first patient; liposomal amphotericin B combination, and reduction of the ibrutinib dose for the second patient.

## **Conclusion**

Impaired T-cell mediated immunity in CLL together with prolonged corticosteroids and BTK inhibition through ibrutinib may create a perfect storm for the development of invasive aspergillosis,

with a special predisposition for cerebral aspergillosis. Careful clinical and biological monitoring for invasive aspergillosis should be performed in CLL patients treated with ibrutinib, with a low threshold for prescribing brain imaging.

#### **Contribution of authors**

A. Le Bot and E. Gaye wrote the article. J.-P. Talarmin, R. Le Calloch, S. Belaz, M. Dupont, and P. Tattevin critically revised the article. All authors read and approved the final version of the article.

**Funding.** The authors did not receive any specific funding for this case report.

**Conflicts of interest.** The authors report no conflict of interest.

**Acknowledgments.** We would like to thank Pr Gangneux J.P., Dr Lemaitre F., Dr Revest M., and Dr Pointot M. for their support.

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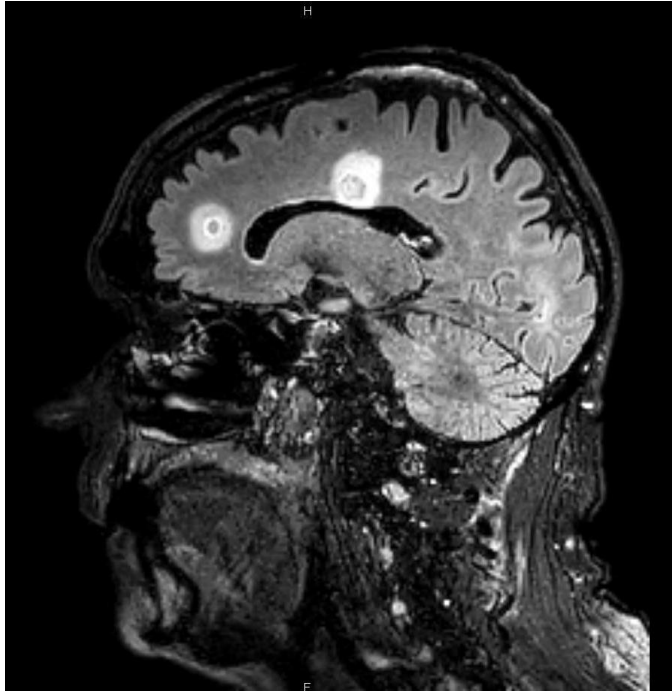


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Accepted manuscript

**Figure 1.** First case patient, coronal FLAIR-weighted cranial MRI on admission.

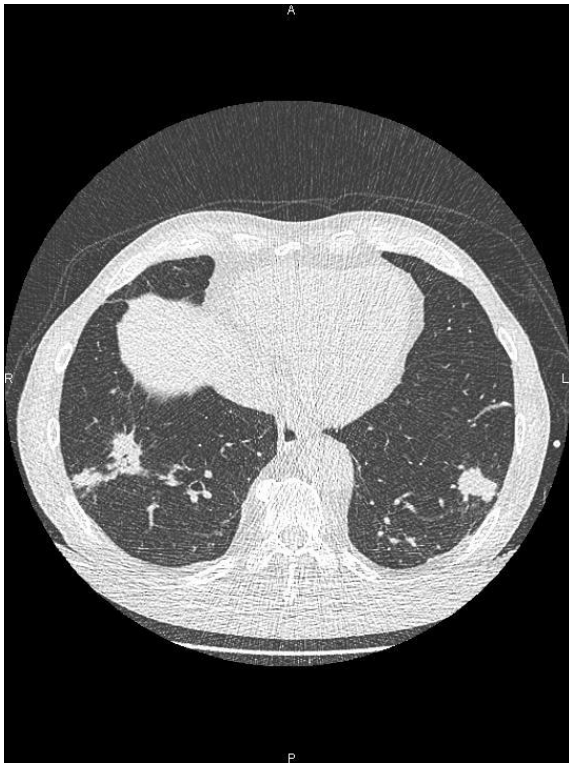
**Figure 1.** Coupe coronale de l'IRM cérébrale initiale du cas n°1, séquence FLAIR.



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**Figure 2A.** Second case patient, chest CT scan. Bilateral nodules.

**Figure 2A.** TDM thoracique du cas n°2, nodules bilatéraux.



**Figure 2B.** Second case patient, transversal FLAIR-weighted cranial MRI on admission.

**Figure 2B.** Coupe transversale de l'IRM cérébrale initiale du cas n°2, séquence FLAIR.

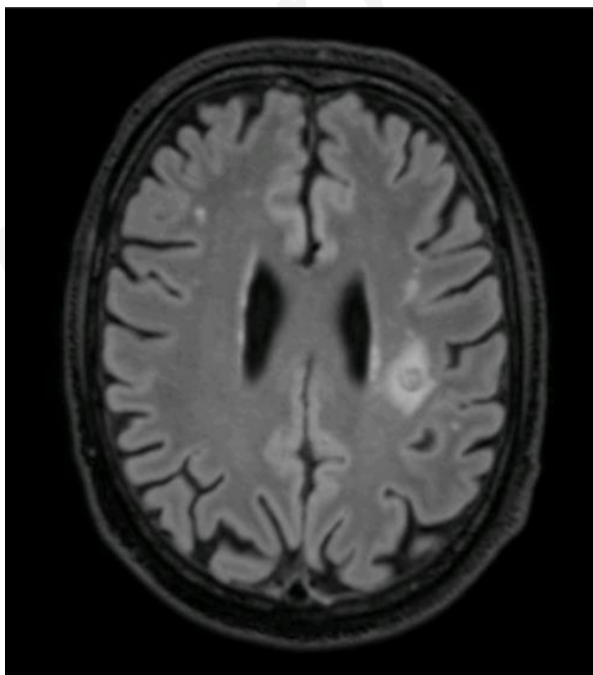


Table 1. Invasive aspergillosis in patients receiving ibrutinib : litterature review

<b>Context, reference</b>	<b>Description</b>	<b>Time from ibrutinib start to aspergillosis diagnosis, months</b>	<b>Risk factors for invasive aspergillosis</b>	<b>Treatment, outcome</b>
<b>Phase 3 trial [7]</b>	Two patients with pulmonary aspergillosis	NC	NC	NC
<b>Phase 2 trial [8]</b>	Multifocal aspergillosis	2.1	Rituximab, fludarabin, corticosteroids	voriconazole + amphotericin B, ibrutinib discontinued, aspergillosis controlled
<b>Case report [4]</b>	Three patients with CNS aspergillosis	< 2	Corticosteroids	- Patient 1 : voriconazole + amphotericin B, ibrutinib discontinuation, died day 26 - Patient 2 : voriconazole + amphotericin B, ibrutinib discontinuation, cured (1 year follow-up) - Patient 3 : amphotericin B, outcome NC
<b>Case report [9]</b>	Pulmonary aspergillosis + mucormycosis	7	Neutropenia, rituximab, fludarabin, corticosteroids	voriconazole, ibrutinib discontinuation, died
<b>Case report [10]</b>	Pulmonary aspergillosis.	1.5	Rituximab, fludarabin, neutropenia	voriconazole, ibrutinib discontinuation, aspergillosis cured, but died 5 months later due to CLL

<b>Case report [5]</b>	CNS and sinus aspergillosis	0.8	NC	voriconazole, ibrutinib discontinuation, sequels
<b>Case #1 (reported herein)</b>	Pulmonary and CNS aspergillosis	0.5	Neutropenia, corticosteroids	voriconazole, ibrutinib discontinuation, aspergillosis cured
<b>Case #2 (reported herein)</b>	Pulmonary and CNS aspergillosis	2	Corticosteroids	voriconazole + amphotericin B, ibrutinib maintained, cured (1 year follow-up)

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NC, not communicated; CNS, central nervous system; MRI, magnetic resonance imaging; CLL, chronic lymphocytic leukemia