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Thermoelectric properties of co-sputtered CoSb$_3$ thin films
as a function of stoichiometry

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Abstract: The skutterudite compound CoSb$_3$ exhibits excellent thermoelectric properties for thermoelectric application. The composition plays an important role in affecting the microstructural and thermoelectric behavior of CoSb$_3$, especially in the form of thin film. In this study, the Co-excess and Sb-excess CoSb$_3$ thin films were prepared by radio frequency and direct magnetron co-sputtering technique. It was found that the thin film with excess Co exhibits a significant n-type conduction behavior and transforms to p-type conduction behavior with Sb rich. The X-ray diffraction patterns show that either the Co-excess or Sb-excess samples contain skutterudite CoSb$_3$ and a secondary phase CoSb$_2$. Additionally, Sb can be found in the Sb-excess samples, yet no Co can be observed in the Co-excess samples.

Keyword: skutterudite CoSb$_3$, thermoelectric property, micro-structure

1. Introduction

Thermoelectric materials and devices have attracted significant attention for their advantages such as converting waste heat into electricity by the Seebeck effect and using an externally provided current to remove heat from a system under consideration through the Peltier effect [1-2]. Thermoelectric conversion efficiency is characterized by the figure of merit $ZT=\sigma S^2T/k^1$ and the power factor $PF=\sigma S^2$, where $\sigma$ is the electrical conductivity (S/m), $S$ is the Seebeck coefficient ($\mu$V/K), $T$ is the

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absolute temperature (K) and $\kappa$ is the total thermal conductivity (W/m K) [3-4].

Skutterudite compound CoSb$_3$ has drawn much attention in the last decade. It is expected to be a promising thermoelectric material owing to its high carrier concentration, excellent charge carrier mobility, good Seebeck coefficients, and a complex crystal structure [5-6]. Its unit cell consists of 32 atoms, in which Co atoms form eight sub-cubes with pnicogen rings occupying six of them leaving the final two sub-cubes or cages empty [7]. These vacancy sites can be filled by other atoms so that the thermoelectric performance can be modified. The filled atoms are weakly bound to the cage and rattle about their equilibrium position, which results in phonon scattering and reduces the lattice thermal conductivity [8-9]. Further reduction of the lattice thermal conductivity is possible by preparing low-dimensional thin film. The interface and grain boundary in low-dimensional system effectively scatter phonon to reduce the thermal conductivity [10-11]. Hence thermoelectric thin film with quantum confinement effect has considerable potential for acquire high ZT. Savchuk et al. [12] reported a Seebeck coefficient of -250 $\mu$V/K for n-type CoSb$_3$ thin films deposited using direct current sputtering and annealed at 200 °C. Christen et al. [13] had prepared CoSb$_3$ thin films by pulsed laser ablation where the films are p-type with the hall mobility of the order of 50 cm$^2$V$^{-1}$ s$^{-1}$. Achieving a high crystallinity degree of CoSb$_3$ thin films is still a challenge. Therefore, the thermoelectric properties of CoSb$_3$ thin films have not yet reached the level of bulk materials [14-15]. The reasons are that the atomic composition of Co and Sb is hard to control and the slight excess Co or Sb will lead to a big change of the thermoelectric property and micro-structure behavior of the thin film, especially the unessential presence impurity phases in CoSb$_3$ thin films [2,16-19]. In this study, both Co-rich and Sb-rich skutterudite CoSb$_3$ thin films were deposited on flexible substrate by using radio frequency (RF) and direct magnetic (DC) co-sputtering technique. Then all the thin films were annealed at 325 °C for 1 h under a constant flow of argon atmosphere. The influence of excess Co or Sb on the thermoelectric property and micro-structure of the CoSb$_3$ thin films were investigated.

2. Experimental details
Thin film specimens were deposited on flexible substrate under argon atmosphere at room temperature by magnetron co-sputtering technique, which the based pressure was evacuated to an ultrahigh vacuum (6.0×10^{-4} Pa) prior to the deposition. High purity Co (99.99%), Sb target (99.99%) and CoSb₃ alloy target (99.5%) with a diameter of 60 mm were used. Before deposition, the substrates were ultrasonically cleaned in acetone, alcohol and deionized water for 15 min, respectively. A 5 min pre-sputtering process was performed to remove contaminants on the target surfaces. The working pressure was kept at 0.4 Pa with Ar of 40 sccm. The sputtering power of CoSb₃ was fixed at 55 W by radio frequency magnetron sputtering and the deposition time was 30 min. The Co or Sb was co-sputtering with the fixed sputtering power of 10 W by direct magnetron sputtering when the sputtering process of CoSb₃ is half completed and the co-sputtering time was 2, 4, 6 and 8 min, respectively. Then all the thin films was annealed at 325 °C for 1 h under Ar atmosphere after the sputtering finished.

The structure of the thin films was studied by X-ray diffraction technique (D/max2500 Rigaku Corporation) with the angle 2θ of 20-70° with Cu Kα radiation (λ = 0.15406 nm). The composition was characterized by energy dispersive X-ray spectrometer system (EDS, set in the Zeiss supra 55, Zeiss Corporation). The electrical conductivity of the thin films was measured using the four-probe technique with a Keithley 2400 current-voltage measurement (Keithley Corporation). The Seebeck coefficient was measured by Seebeck coefficient measurement system (Panco PSM, Quantum Design Corporation). The carrier concentration of the thin films was tested at room temperature by Van der Pauw Hall measurements (ET9000, Beijing Oriental Technology Corporation). The thin film thickness was obtained by using a DEKTAK3 ST surface-profile measurement system (Veeco).

3. Results and discussion

The composition and thickness of all the samples are summarized in Table 1 and Table 2. The Co-excess and Sb-excess samples were marked as S1~S4 and T1~T4, respectively. The undoped thin films which named as S0 or T0 has the Co:Sb atomic ratio of 25.5 : 74.5, which has little deflection from the stoichiometry and is Co-rich.
The composition of Co increases from 25.5 % to 40.6 % after the co-sputtering duration of Co increased and the Sb increases 74.5 % to 82.7 % after the co-sputtering duration of Sb increased.

Fig. 1 shows the XRD patterns of the Co-rich CoSb$_3$ thin films and Fig. 2 shows the XRD patterns of the Sb-rich CoSb$_3$ thin films. As can be seen from Fig. 1, the thin film S0 prepared by CoSb$_3$ alloy target without any co-sputtering has a main phase of CoSb$_3$ skutterudite. Besides, some impure peaks with very low intensity related to CoSb$_2$ phase can be found, which matched the composition test result. The composition test also indicates that the Sb content of the thin film is slightly less than the stoichiometric target. The XRD patterns of all the Co-excess samples reveal two main peaks which locate at $\sim$31 $^\circ$ and $\sim$33 $^\circ$. They are indexed as the (310) plane of CoSb$_3$ and the (-121) plane of CoSb$_2$. The intensity of CoSb$_2$ peaks increases with the increasing of Co content and it becomes to the primary phase when the Co content is in the range of 32% ~ 39%. However, the intensity of the (310) peak of S4 becomes the highest again when the content reaches 40.6 %. In contrast, this peak shifts slightly to larger angle and it tends to be intensified after increasing the Co content. In literature, a peak which relates to the CoSb (101) plane locates between the CoSb$_3$ (310) and the CoSb$_2$ (-121). Additionally, it is much close to the (310) plane. So it can be speculated that the peak might be a mixture peak when the Co content deflects largely from the stoichiometric ratio and leads to the big change of this phenomenon. In addition, it cannot be observed any Co phase in the Co-excess samples, indicating that the additional Co might stay in the compound in the thin film. But more likely, some excess Co might fill into the aforementioned empty cages which leads to better thermoelectric properties [20]. Similarly, the Sb-excess thin films show two main phases (Fig. 2), the skutterudite CoSb$_3$ as the primary phase and CoSb$_2$ as the secondary phase. The intensity of the CoSb$_3$ (310) peak increases with the increasing of Sb content. When the Sb content reaches 79.9 % (T3 and T4), the characteristic diffraction peaks of CoSb$_2$ disappear and some peaks related to Sb phase can be observed, suggesting that the excess Sb carries as the free substance in the thin film. In literature, the litter excess Sb can lead to better thermal stability and thermoelectric
properties [21].

The carrier concentration and carrier mobility of the thin films with different Co and Sb deposition time are shown in Figure 3. From Fig. 3 (a), we can find that the carrier concentration and carrier mobility of the thin film specimens increase firstly and then decrease when the Co deposition duration increases to 8 min. However, the carrier mobility of the thin film shows much stability at the range of $\sim 0.10 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$. In contrast, a strong increase of the carrier concentration can be observed. The sample S3 reaches to $1.5 \times 10^{23} \text{ cm}^{-3}$ when the Co content is above 39.7 % and it shows a typical behavior of heavily doped semiconductor. As it can be seen, higher Co-excess can have higher carrier concentration and leads to the better electrical property. Different result is obtained from Fig. 3 (b), that the carrier concentration of Sb-rich series T1 ~ T4 decreases rapidly from $\sim 1.3 \times 10^{22} \text{ cm}^{-3}$ to $0.1 \times 10^{22} \text{ cm}^{-3}$ when the Sb content increases. In contrast, the mobility increases sharply and the sample T4 achieves to a big value of $\sim 11 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ which is almost 100 times bigger than the stoichiometric CoSb$_3$. Generally, higher carrier mobility will lead to a better thermoelectric property [22].

The Seebeck coefficient and electrical conductivity of the Co-excess and Sb-excess thin films are shown in Fig. 4 and Fig.5. It can be seen from Fig.4 that all the Co-excess thin films have a negative Seebeck coefficient indicating they are n-type semiconductor. By prolonging the Co deposition time, the Seebeck coefficient of the CoSb$_3$ thin films decreases and achieves to a very low value of $-11 \mu\text{VK}^{-1}$ when the Co content is above $\sim 39.7 \%$. However, the electrical conductivity of the Co-rich samples increases, and can reach a very high value. This can be explained by the strong increase of the carrier concentration. Similarly, the electrical conductivity of the Sb-excess thin films is enhanced to a very high value of $1.0 \times 10^5 \text{ S}\text{m}^{-1}$ when the Sb content reaches $\sim 82.7 \%$. This is due to its very large carrier mobility. But unlike the result of the Co-excess samples, the Seebeck coefficient of the Sb-excess samples transforms from negative to positive, indicating the thin films change from n-type conduction behavior to p-type conduction behavior. It can be explained by self-doping of the Sb-rich samples by the excess Sb. When the Sb content is 82.7%, the absolute
value is about 26 μVK⁻¹ which is the largest value within the entire sample group. The PF (σS²) has been calculated and the highest value of the Co-rich samples is $2.4 \times 10^{-4}$ Wm⁻¹K⁻² and the Sb-rich samples is $6.9 \times 10^{-4}$ Wm⁻¹K⁻², while the pristine sample is $0.6 \times 10^{-4}$ Wm⁻¹K⁻². It can be acquired that both of the Co and Sb excess can improve the thermoelectric property of the CoSb₃ thin film. Moreover, the Sb excess is more effective to improve the thermoelectric property of the CoSb₃ thin film.

4 Conclusions

The Co-excess and Sb-excess CoSb₃ based thin films were prepared to study the thermoelectric property and micro-structure behavior. Appropriate Co or Sb can improve the electrical conductivity of the thin films. Especially, the Sb-excess thin films have large carrier mobility which leads to better electrical property and higher Seebeck coefficient. The power factor of the Sb-excess sample has a high value of $6.9 \times 10^{-4}$ Wm⁻¹K⁻² which is ten times of the CoSb₃ thin film. So it can be speculated that the Sb might be the key decisive on the thermoelectric property and micro-structure behavior of the thin film.

Acknowledgements

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References

1253041-1253017.


Figure Captions

Fig. 1 X-ray diffraction patterns of the CoSb$_3$ based thin films as a function of Co co-sputtering time.

Fig. 2 X-ray diffraction patterns of the Sb excess thin films as a function of Sb co-sputtering time.

Fig. 3 The carrier concentration and Hall mobility of the thin films as a function of deposition time. (a) Co-excess, (b) Sb-excess.

Fig. 4 The Seebeck coefficient and Electrical conductivity of the Co rich thin films as a function of Co co-sputtering time.

Fig. 4 The Seebeck coefficient and Electrical conductivity of the Sb excess thin films as a function of Sb co-sputtering time.
**Table 1** The composition and thickness of the samples as a function of Co and CoSb$_3$ co-sputtering time by EDS and surface-profile measurement system analyzed.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Time (min)</th>
<th>Co (at.%)</th>
<th>Sb (at.%)</th>
<th>Thickness (nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>S0/T0</td>
<td>0</td>
<td>25.5</td>
<td>74.5</td>
<td>246</td>
</tr>
<tr>
<td>S1</td>
<td>2</td>
<td>31.6</td>
<td>68.4</td>
<td>270</td>
</tr>
<tr>
<td>S2</td>
<td>4</td>
<td>37.2</td>
<td>62.8</td>
<td>321</td>
</tr>
<tr>
<td>S3</td>
<td>6</td>
<td>39.7</td>
<td>60.3</td>
<td>360</td>
</tr>
<tr>
<td>S4</td>
<td>8</td>
<td>40.6</td>
<td>59.4</td>
<td>416</td>
</tr>
</tbody>
</table>

**Table 2** The composition and thickness of the samples as a function of Sb and CoSb$_3$ co-sputtering time by EDS and surface-profile measurement system analyzed.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Time (min)</th>
<th>Co (at.%)</th>
<th>Sb (at.%)</th>
<th>Thickness (nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>T1</td>
<td>2</td>
<td>23.7</td>
<td>76.3</td>
<td>253</td>
</tr>
<tr>
<td>T2</td>
<td>4</td>
<td>21.3</td>
<td>78.7</td>
<td>265</td>
</tr>
<tr>
<td>T3</td>
<td>6</td>
<td>20.1</td>
<td>79.9</td>
<td>288</td>
</tr>
<tr>
<td>T4</td>
<td>8</td>
<td>17.3</td>
<td>82.7</td>
<td>331</td>
</tr>
</tbody>
</table>
Fig. 3

(a) Carrier concentration and mobility over time.

(b) Carrier concentration and mobility over time.

Carrier concentration (×10^{22} cm^{-3}) vs. Time (min) for (a) and (b).

Carrier mobility (cm^{2} V^{-1} S^{-1}) vs. Time (min) for (a) and (b).

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Highlights

- The Co-excess and Sb-excess CoSb$_3$ thin films were prepared by co-sputtering method.
- Co or Sb riches can both improve the electrical conductivity of the thin films.
- The power factor of the Sb-excess sample is ten times of the CoSb$_3$ thin film.