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## **The ins and outs of microbial-electrode electron transfer reactions**

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### **Abstract**

Microbial-electrode electron transfer is a mechanism by which microbes make their living coupling to electronic circuits, even across long distances. From a chemistry perspective, it represents a model platform that integrates biological metabolism with artificial electronics, and will facilitate the fundamental understanding of charge transport properties within these distinct chemical systems and particularly at their interfaces. From a broad standpoint, this understanding will also open up new possibilities in a wide

range of high impact applications in bioelectrochemical system based technologies, which have shown promise in electricity, biochemical, chemical feedstock production but still require many orders of magnitude improvement to lead to viable technologies. Here we review opportunities to understand microbial-electrode electron transfer to improve electrocatalysis (bioelectricity) and electrosynthesis (biochemical and chemical production). We discuss challenges and the ample interdisciplinary research opportunities and suggest paths to take to improve production of fuels and chemicals at high yield and efficiency and the new applications that may result from increased understanding of the microbial-electrode electron transfer mechanism.

Bio-electrochemical system (BES) can be expressed as the bidirectional electron transports between biotic and abiotic components, where the redox-active microorganisms or bio-macromolecules act as the catalysts that facilitate the exchange process<sup>1</sup>. A glossary of important terms is provided in box 1. A model system of BES that has been widely studied is the **Microbial Fuel Cell (MFCs)**. Similar to the conventional fuel cell, the microorganisms can transport electrons to the anodes of MFC after oxidizing the electron donors, thus generating the electrical flow toward the cathode<sup>2</sup>. Meanwhile, certain microorganisms are also known for their capability to reduce the electron acceptors such as nitrate, perchlorate or metals in the cathodes<sup>3</sup>. Other BESs such as Microbial electrolysis cells (MEC), Microbial electrosynthesis (MES), Microbial solar cells (MSCs), and Plant microbial fuel cells (PMFCs) also share similar electron transport strategy. These direct electron transport processes created a novel and promising possibility to bridge the fundamental researches in microbiology, electrochemistry, environmental engineering, material science and the applications in waste remediation & resource recovery, sustainable energy production, and bio-inspired material development. The basic working principles and the applications of these

different BESs have been comprehensively reviewed by many different groups<sup>4-7</sup>.

### **Bioelectrochemical systems**

Enzymatic electron transport process is one of the earliest BES models which received extensive attention due to the interests in development of amperometric biosensors and enzymatic fuel cell in late 20<sup>th</sup> century<sup>8-12</sup>. In this system, the electrons generated from specific enzymatic reactions can be either directly (tunneling) or indirectly (via foreign mediators) transported to the solid-state electrode and therefore be detected. The direct electron transport of enzyme can only occur within electron tunneling distance of a few nanometers if no foreign mediator is involved<sup>9</sup>. In most cases, the redox centers of enzymes are deeply embedded in the insulated protein matrix which limited the electron transport toward solid-state electrode. Therefore the electron transport efficiency is largely restricted by this less-than-effective electrical coupling. Specific strategies to immobilize enzymes to electrodes are inevitable to facilitate direct electron transfer for practical applications<sup>13</sup>. Furthermore, the three-dimensional structure is essential to the catalytic activity of the enzyme. However, these structures are vulnerable which are very sensitive to the variation of temperature, pH, and chemical components of the surrounding environment<sup>14</sup>. Although the many immobilization techniques (enzyme-electrode; enzyme-conductive support-electrode; enzyme-cofactor-mediator complexes-electrodes) do extend the active time of enzymatic electron transport, the maximum lifetime of the effective enzymatic electron transport system is hours up to day<sup>10,15</sup>. All of these limitations prohibit the application of this BES model in its applications in both energy generation and biosensor.

Unlike isolated enzymatic molecules, certain microorganisms, usually named as electrochemically active bacteria (EAB), are able to self-amend to overcome

the incompatibilities between the biological/ inorganic interfaces and achieve effective, long-term, and wide-range electron transport. **Extracellular Electron Transfer (EET)** is the key process that links the solid state electron donors/acceptors and the microorganisms. In the circumstance that soluble electron acceptors, oxygen in most of case, are depleted, EAB are able to transport the metabolism-generated electrons to external acceptors outside the cell. The concept of EET is brought up in early 19's when Potter<sup>16</sup> and Cohen<sup>17</sup> demonstrated the electricity harvested from the metabolism of microorganisms. In 1960's, the growing demands in sustainable energy augment the interest in understanding the fundamentals of EET<sup>6</sup>. Following this development, in early 2000's, several different mechanisms have been proposed which suggest that microorganisms can naturally transport electrons to the electron acceptor through both direct and indirect pathways. The direct EET relies on outer membrane cytochromes to couple the internal metabolism with external charge transport, and generally requires direct contact between cell membrane and the solid-state electron acceptors. Additionally, certain EAB are also known for their capability to generate conductive Pili or pilus-like structures under acceptor limited conditions, which serve as an alternative electron pathway to extend the direct EET distance and maximize the transport efficiency. These pili or pilus-like structures are usually referred as microbial nanowires<sup>18,19</sup>. In the case of indirect EET, some EAB are able to secrete redox materials such as phenazines, flavins, and quinones<sup>1,20,21</sup> to carry the inner electron to diffuse toward the electron acceptor outside. These redox materials first diffuse into the cell to be reduced which carry the electron to the solid state electron acceptor and then be oxidized thus complete the electron transport and transfer back to original form for next duty. Ideally, these redox materials can be utilized repeatedly thus been named as "electron shuttles."<sup>1</sup>

While significant progress has been made in understanding and exploiting EET, the detailed mechanisms, e.g. protein-protein interaction<sup>22</sup>, electron transport inside microbial nanowires<sup>23</sup> and bacterium-solid state material interaction<sup>24</sup> are still vague and actively debated. The purpose of this review is to provide an overview of the current state-of-art understanding in bioelectrochemical systems and EET and present the obstacles that need be overcome to accomplish a comprehensive, unambiguous understanding of BES. Some earlier works in applying micro-/nano-technologies in single cell measurements are also introduced in this article which may bring some additional insights to current EET research. These efforts are expected to open whole new possibilities for researchers to design and optimize the BES, thus maximizing the EET efficiency for future applications.

### ***Extracellular Electron Transfer at Bioanodes***

For EET microorganisms, outward EET (electron transfer from microorganisms to extracellular electron acceptor) is a natural process for microorganisms to complete the respiration when there is limited access of soluble electron acceptor in the environment. In the artificial bioelectrochemical systems, most for energy harvest (e.g. MFC), microorganisms performing this outward EET act as the catalyst in the fuel cell anode; therefore, they are named as – **Bioanodes**.

The bioanode studies primarily focus on the dissimilatory metal reducing bacteria (DMRB). The DMRB can colonize on the inert electrode surface (carbon-based or gold) with positive potential bias (to serve as the electron acceptor). After colonization, DMRB start the metabolism and EET process for proliferation and form electrical connections between both bacteria-bacteria and bacteria-electrode. These connections can eventually construct an electrically conductive biofilm comprised of cells and extracellular substances

that can exceed 100  $\mu\text{m}$ . Recently, this extraordinarily long range of biological electron transport (i.e. electron transports (respirations) in other biological systems are limited to molecule-length scales<sup>25</sup>) attracts enormous attentions. Many studies have suggested that the redox protein such as c-type cytochrome (c-cyt) and iron sulfur protein presented in the EET system of DMRB are the key elements to link the electron transport across multiple length scales<sup>1,23,26</sup>. Most EET research to date has been focused on two prototype strains of DMRB – *Geobacter* and *Shewanella*. Other DMRB such as *Proteus vulgaris*, *Pseudomonas sp.*, *Klebsiella pneumonia*, *Bacillus subtilis*, and *Corynebacterium sp.* etc. can also perform EET, yet the fundamental mechanisms are still lack of systematic studies<sup>27</sup>. As mentioned in previous sections, researchers concluded three possible models for EET (Fig. 1): i) EET through outer membrane redox protein c type cytochromes (c-cyts) and other redox proteins, such as multi-copper proteins (OmpB and OmpC); ii) EET through pilus-like structures (nanowires) and iii) EET by utilizing extracellular or self-excreted small molecule as the electron shuttles <sup>20,21,28,29</sup>.

Both *Geobacter* and *Shewanella* use c type cytochromes (c-cyts) to transport electron to electron acceptor. C-cyts are the multi-heme containing proteins. *Geobacter sulfurreducens* contains 111 genes encoding c-cyts. 73 of these c-cyts contain two or more heme groups, with one containing as many as 27 heme groups. Similarly, *Shewanella oneidensis* has 39 genes encoding c-cyts and 14 of them contain 4 or more hemes. The detailed structures of these c-cyts have been discussed in previous reviews<sup>30</sup>. Through the regulation of gene expression, the key c-cyts of both bacteria models in performing EET have been identified and studied. In *Geobacter*, outer membrane EET is contributed by a variety of outer membrane c-cyts (OMCs), including OmcB, OmcE, OmcS, OmcZ. Mehta et al. suggested that OmcE and OmcS can facilitate ET to the type IV pili (discuss in next paragraph) for long-range electron transport while OmcB is the intermediary electron carrier from

periplasm to other OMCs<sup>31</sup>. However their following research proposed different mechanisms that i) OmcS and OmcE might also be able to directly transfer electrons to the electrodes and ii) the OmcB is only important in iron reduction but not essential in EET<sup>32</sup>. Lately, by combining the electrochemical and genetic approaches, Richter et al. from the same group concluded that: i) OmcZ is critical to outer membrane EET; genetic deletion of OmcZ in *Geobacter* resulted in >90% decrease in current<sup>33</sup>; ii) OmcB mediates the electron transport from periplasm to other OMCs; iii) OmcS support the outer membrane EET and; iv) OmcE is not participate in EET<sup>34</sup>.

The functions of c-cyts in the EET of *Shewanella* were also studied by genetic engineering approaches. Scientists conclude that the EET of *Shewanella* is accomplished by series of protein-protein interactions. First, CymA transport electrons generated from bacteria metabolism to the terminal reductases in periplasm. This step is considered inevitable in *Shewanella* EET as a deletion of CymA gene caused around 80% decrease in current generation<sup>26</sup>. Next, the reductases pass the electron to outer membrane protein such as MTRs and OMCs. These outer membrane proteins then transport electron to electron acceptors or electron shuttles to finish the EET process. MtrC is considered as one of the most important outer membrane proteins in the EET process of *Shewanella*, deletion of MtrC can lead to >90% of current decrease<sup>35</sup>. Detailed functions of each c-cyts involved in the outer membrane EET and the characterization methods are recently reviewed by different groups<sup>22,26,36,37</sup>.

The effective range of direct EET through outer membrane c-cyts is generally limited to nanometer scale<sup>38</sup>, which is similar to enzymatic systems. For long range EET, *Shewanella* can self-excrete some small molecules such as flavin and other quinone-type molecules to mediate wide range transport, which cannot be achieve by *Geobacter*<sup>20,21,38,39</sup>. However, the function of these small molecules in *Shewanella* EET remains unclear and several hypotheses have been proposed. including i) flavin serves as the EET cofactor which



facilitate EET process of c-cyts<sup>40</sup>; and ii) flavin is the electron shuttle which directly perform EET on outer membrane<sup>20</sup>.

Interestingly, both *Geobacter* and *Shewanella* are able to perform direct long range EET via self-assemble the c-cyts and form conductive pilus-like structures which can grow up to tens of micrometers. In *Geobacter*, the microbial nanowires (type IV pili) are found directly connecting the inner membrane to the outer electron acceptor. Other protein like OMCs may transport electron to electron acceptor<sup>22</sup> through type IV pili. The presence of type IV pili is found to be critical for biofilm to maximize the EET efficiency<sup>19</sup>. However, the underlying mechanisms of charge transport are still controversial and actively debated<sup>41,42</sup>. The “metallic like model” was proposed by Malvankar et al.<sup>43</sup>, which suggest that the electron are transported through the  $\pi$ - $\pi$  interactions of aromatic structures in type IV pili similar to the synthetic conducting polymers<sup>43-45</sup>. Their results demonstrated that the conductivity of type IV pili is both temperature and gate voltage dependent which is similar to the nanostructured organic semiconductors<sup>44</sup>. However, other results of electrochemical characterizations of *Geobacter* biofilm suggested that electron is transported through the electron hopping mechanism. Researchers developed a “superexchange model” based on this mechanism – similar to the redox polymers, electron is transported through a series of redox reactions of the discrete redox cofactors contained inside the type IV pili such as heme of c-cys<sup>46,47</sup>. Cyclic voltammetry (CV) of the *Geobacter* biofilm supports this mechanism by: i) at slow scan rate, the sigmoidal shape of CV curve demonstrates that the electrochemical activities on the biofilm follow the electrode catalytic (EC) reaction scheme which shows that the EET is coupled with redox cofactors<sup>48,49</sup>; (ii) in the absence of electron donor condition, the distinguishable symmetric CV peaks in both forward and backward scanning indicate the EET is a charging-discharging (pseudocapacitance) reactions of redox cofactors in the biofilm<sup>34,49-51</sup>; iii)

Multiple peaks on the CV curve indicate there may be multiple cofactors involved in the electron transport<sup>34,51</sup>. Other sophisticated bio-electrochemical characterizations<sup>52,53</sup> and charge storage measurements also support this hypothesis<sup>54</sup>.

In *Shewanella*, the microbial nanowire is first observed and electrically characterized using scan tunneling microscopy in 2006 by Gorby et al.<sup>18</sup>. The conductivity of the nanowire and the main contributing component - c-cyts - is also confirmed in the same work. The mutants deficient in c-cyts can only produce poorly conductive nanowires. To date, evidences have suggested that in the *Shewanella* nanowire, electron transfer via electron hopping through a cytochrome network<sup>23,55-57</sup>. Recent study of Pirbadian et al. further demonstrated that the *Shewanella* nanowire is the outer membrane and periplasmic extensions but not the pilin-based structures which also support the electron-hopping (cyts redox reactions) electron transport mechanism<sup>56</sup>.

The application of bioanodes to date has been largely limited by its very low power density, which can be attributed to (a) the limitation of the natural metabolic rate of DMRB; (b) the restriction of cytochrome based cross membrane EET and (c) the ineffective EET within the evolutionally developed electron transport pathways, especially at large length-scales. Several strategies have been proposed to overcome this key limitation: i) exploiting synthetic biology: the expression of specific genes which regulate the production of electron shuttles or electron transfer protein can be in the DMRB thus promoting the EET efficiency. For example, the synthetic flavin biosynthesis pathway from *Bacillus subtilis* was expressed in *Shewanella* MR-1 which lead to 25.7 times more flavin secretion than wild-type *Shewanella* and consequently 13.2 times increasing in current production<sup>58</sup>. Similarly, the expression of five riboflavin synthesis genes in *E. coli* BL-21 was reported to induce a 9.5 times increase in EET outcome<sup>59</sup> and the overexpression of the NAD synthetase gene in *P. aeruginosa* enhanced the current production for

more than three times<sup>60</sup>. ii) Facilitating cross membrane EET by conjugated oligoelectrolytes (COE): COEs are the water-soluble oligomers with  $\pi$ -delocalized electronic structure and pendant groups. Certain COEs are able to spontaneously “insert” and align within the bacteria membranes which facilitate the electrons to transfer through this lipid bilayer. 4, 4'-bis (4'-(N, N-bis (6''-(N, N, N-trimethylammonium) hexyl) amino)-styryl) stilbene tetraiodide (DSSN) is one of the most common used conjugated oligoelectrolytes in bacterial EET studies which shows negligible toxicity effects to bacteria<sup>65</sup>. Previous research suggested that both cytochrome-based direct electron transfer and flavin-based mediated electron transfer of *Shewanella* MR-1 can be promote by the addition of DSSN<sup>65</sup>. Moreover, a 25-fold improvement in *E-Coli* based MFC power density can also be obtained by adding the DSSN <sup>66</sup>. However, the functions of COE in the facilitation of bacterial EET are under debate<sup>67</sup>. iii) Facilitating EET through hybrid electron pathways. Various nanoscale conducting/semiconducting materials, including carbon nanotubes<sup>61</sup>, graphene<sup>62</sup>, Fe<sub>2</sub>O<sub>3</sub><sup>63</sup> and FeS<sup>64</sup> nanoparticles, have been formulated and seamlessly integrated with the natural biofilms, which have shown significantly improved EET at both cell/electrode and cell-cell interfaces.

In summary, there are many milestones of bioanode researches are accomplished in last decades as summarized in box 2. The genetic engineering approaches provide extensive scientific evidences of the functions of individual proteins in EET processes. The applications of novel microscopies such as scanning electron microscope (SEM), atomic force microscopy (AFM) and scanning tunneling microscope (STM) revealed the unique structural, morphological and electrical properties of key EET components such as whole biofilm, outer membrane cytochromes<sup>68</sup>, and microbial nanowires. The electrochemical studies concluded the possible mechanisms of how the electrons are transported in the bacterial EET system. The recent advancement of micro-/nano-technologies has provided additional insights

about EET under controlled microenvironment and across multiple biological length scales (Figure 2). Li et al. demonstrated the measurement of *Geobacter* cultured in microfluidic device (L=20 mm, W=0.5 mm, H=0.1 mm). This small size *Geobacter* biofilm demonstrated rapid respond (21 minutes) to ambient environment changes as compare with bulk biofilm (6 hours). This allows relatively high-throughput experiments in study the effect of various stimuli (e.g. O<sub>2</sub> and anthraquinone disulfide (AQDS)) in current generation of *Geobacter* biofilm. Their results further confirm the finding at biofilm levels that i) the minor toxicity of short term oxygen exposure to *Geobacter*; and ii) AQDS can be used as the electron shuttle for *Geobacter* EET<sup>69</sup>. Following the similar strategy, many micro-scale MFC and biosensors are developed<sup>70</sup>. Gross et al. achieve the measurement of EET current of single *Shewanella* in vivo by their sophisticate device which combined infrared optical tweezers, indium tin oxide (ITO) microelectrodes<sup>71</sup>. Their measurements suggest that the EET current of single *Shewanella* is in the range between 15 -100 fA as well as confirming the important role of c-cyts in *Shewanella* EET. This approach not only provides the information of the current generation of single *Shewanella* EET which can be used to determine the maximum current output of *Shewanella* biofilm; but also, it brings the in situ studies of the electron transport mechanism down to single bacterium level which is expected to solve some current debates such as the functions of Flavin and nanowire. Jiang et al. exploited a nanotechnology-enabled platform and a bottom-up approach to tackle EET at single- through multi- bacterium levels<sup>72,73</sup>. Nanostructured electrodes with controlled cellular interfaces have been designed to unambiguously demonstrate EET mechanism in both *Geobacter* and *Shewanella*. The real-time longitudinal monitoring of localized current generation and cell-electrode interaction further provided alternative insight about EET that is difficult to achieve in population-level experiments, such as the quantized current “steps” as individual cells initially attach to electrode,

as well as the dramatic current increase as cells get closely packed and form into electrically-connected networks.

Generally, these emerging cell-measurement techniques are expected to open up new possibilities for precisely probing and regulating electron transport at bioanode interface<sup>74</sup> and elucidate the fundamental limits and factors determining bioelectrical power extraction, which will in turn help the design of more efficient BES.

### **Microbial biocathode**

Lithotropic microbes have long been known to exploit iron oxidation for growth (1). Certain sulphate-reducing microbes, for example, use electrons, or electron carrier intermediates, harvested from solid iron as reducing equivalents for energy generation (2). This process, commonly referred to as 'biocorrosion', presents a considerable challenge to the maintenance of iron-based installations, such as gas pipelines, located in suboxic sulfur rich environments (2). Although a comprehensive understanding of biocorrosion remains elusive, three metal oxidising mechanisms are proposed; i) microbial consumption of 'cathodically generated' H<sub>2</sub> at the metal surface ii) chemical corrosion by biogenic H<sub>2</sub>S, and iii) direct uptake of electrons from the metal (2). The third, and arguably, most interesting mechanism from an ET point of view, was proposed for sulphate-reducing *Delsulfobacterium*- and *Methanobacterium*-like microbes which were shown to accept electrons from solid iron at a rate unachievable by H<sub>2</sub> scavenging alone (3). Although a more direct route for electron uptake is thus implied, the complete ET mechanism remains unsolved as the exclusion of H<sub>2</sub> involvement in this process has yet to be verified (2).

At about the same time that biocorrosive 'DET' mechanism was first proposed, *Geobacter sp.* dominated biofilms were shown to accept electrons directly from a solid graphite electrode for respiration (4). Subsequent

*Geobacter sp.* (4) and *Shewanella sp.* (5) pure culture studies showed that both organisms, whilst forming thinner films than their bioanodic counterparts (6), could directly harvest electrons from electrodes. Genomic analysis revealed that a periplasmic monoheme cytochrome, PccH, is essential for electron uptake by *G. sulfurreducens* (6), though gaps remain in the identification of additional proteins required for ET across both membranes. Significantly, PccP is not required for EET to electrodes showing that two distinct ET pathways are utilised by *G. sulfurreducens* for inward and outward electron flow (6). In contrast, the OmcA-MtrABC respiratory pathway of *Shewanella sp.* is capable of facilitating electron flow in both directions (5).

The ability of microbial biocathodes to reduce low value, or polluting, reactants to higher value, or less-harmful, products is of great economic and environmental benefit (7). Reduction of nitrates (4), chlorinated solvents (8) and toxic metal ions (9, 10), by *Geobacter sp.* (4, 8, 10) and *Shewanella sp.* (9) biocathodes has highlighted their potential application in the treatment of contaminated environments (11). The inability of heterotrophic *Geobacter sp.* and *Shewanella sp.* to fix carbon, however, limits their application in microbial electrosynthesis (12). Autotrophic microbes, on the other hand, which utilise energy from inorganic chemical reactions (chemotrophs) or light (phototrophs) for carbon fixation, and can adapt to use an electrode as an electron source for growth (electrotrophs) are much more amenable. Cathodic biofilms of acetogenic bacterium *Sponosa ovata*, for example, were shown to convert CO<sub>2</sub> and electrons, supplied solely from an graphite electrode, to acetate with a > 85 % electron conversion efficiency (13). Other identified acetogenic electrotrophes include various *Sponosa* (14) and *Clostridium* (14, 15) species and *Mororella thermoacetica* (14). However, little is known about the electroaceteogenic ET pathways utilised by such microbes. Conversion of electrons and CO<sub>2</sub> to methane by *Methanobacterium sp.* dominated biocathodes has also been demonstrated (16). Although DET from the

electrode to the biofilm was initially speculated as the underlying ET mechanism, recent evidence shows that *Methanobacterium sp.*, secretes proteins which can catalyse H<sub>2</sub> formation at the electrode surface which may be rapidly consumed by the organism (17). Biocathodes composed of *Rhodopseudomonas palustris*, a natural Fe(II)-oxidising prototroph, have been shown to fix CO<sub>2</sub> under both light and dark conditions (18). The operon *PioABC*, encoding an OM porin, a periplasmic cytochrome and Fe-S cluster protein, was essential for *R. palustris* electrode growth (18). It is likely that numerous other, so far unharnessed, Fe(II)-oxidising autotrophs may be utilised at biocathodes for carbon fixation.

Although much progress has been made in microbial electrosynthesis, a deeper understanding of EET pathways is necessary to improve rates and yields. Many microorganisms which induce iron corrosion have also been shown to harvest electrons from electrodes, either directly (19) or indirectly (17). Whilst detrimental to solid iron, such corroding biofilms, if harnessed at an electrode, may sustain rapid formation of added value products indefinitely. In addition, mechanistic insights gained from biocorrosion studies may benefit the advancement of microbial electrosynthesis applications, particularly with regard to ET pathways necessary for rapid electron uptake (17). Advancements in bio-engineering of autotrophs to produce bulk chemicals and biofuels from syngas (20), may be extended to electrotrophs, with an initial report showing the potential of an engineered *Clostridium ljungdahlii* strain for butyrate production (21). Whilst in their early stages of development, microbial biocathodes, due to their self-generating properties, may also overcome the stability limitation of more traditional enzyme electrodes as electrocatalysts for reduction reactions (22). However, for successful implementation of microbial biocathodes as alternatives to existing technologies, improvements in the substrate diversity, turnover rate and product yield is essential.

## ***Surface chemistry in Microbial BES design***

Understanding the fundamental chemistry of cell attachment, interconnection, and charge transport at electrode interface is essential to achieve rational optimization of BES technologies and represents a rich multi-disciplinary research frontier. The physico-chemical property of a surface, such as composition, roughness, charge density, or hydrophobic/hydrophilic and lipophobic/lipophilic nature, is known to influence biofilm formation<sup>93</sup>. Furthermore, the molecular structure of the surface functional groups could be closely associated with electron transfer rate at biofilm-electrode interface and further interfere with the natural EET process. Although un-modified carbon-based electrodes are the most widely used substrates for formation of electrocatalytically active biofilms, researchers have recently begun to probe the effect of surface treatments on biofilm performance in an effort to enhance the biofilm-electrode interaction. As noted previously *Shewanella*, will not form an electrocatalytic biofilm on gold, highlighting the importance of the nature of the electrode surface with respect to microbial BES applications.

An important and easily addressable factor for promoting biofilm development is increased surface roughness, as near-atomically flat surfaces generally take more time to be colonized than those with roughness at least on the order of magnitude of the average bacterium size (ca. 1 micrometer)<sup>78</sup>. Highly porous rough electrode materials thus show significantly improved biomass concentrations (mass of cells and extracellular substances per unit projected/geometric surface area) and current generation compared to smooth and planar electrodes<sup>76,79</sup>. Other factors that can influence microbial electrode colonization/biofilm formation include the nature, amount and physico-chemical properties of the chemical group(s) present on the electrode surface. Studies have demonstrated that electrode pretreatment (heat, acid, plasma treatment or less frequently, uncontrolled chemical grafting) has an effect on biofilm development and performance. For example, pretreatment



of graphite electrodes by electrochemical oxidation in sulfuric acid affects the microbial composition of biofilms formed on graphite electrodes imbedded in marine sediment<sup>28</sup>. An increased nitrogen to carbon ratio of carbon-based electrodes appears to favor biofilm development and electrocatalytic performance<sup>80</sup>. There is little indication provided, in these initial studies, on the physical, chemical or biochemical basis for the effect on biofilm development and performance; nor is the amount and/or the nature of the modification precisely known. Studies, outlined below, on deliberate controlled modification of surfaces, can be undertaken to increase knowledge of the surface chemistry required to favor biofilm development and EET.

The first test of this effect for BES involved grafting of aminophenyl functional groups onto graphite and subsequent use of these modified graphite electrodes as anodes in microbial fuel cells, with variations to this grafting approach shown in Figure 5. This electrode modification results in reduced colonization time and improved electrocatalytic performance observed over un-modified electrodes<sup>37,82</sup>. The reason for the beneficial effect is not unequivocally established, but can be inferred in part to the tuning of the charge and hydrophilicity of the carbon electrode surface. Electrodes grafted with negatively charged carboxylate surface groups result in decreased colonization and improved electrocatalytic performance of bioanodes, presumably due to electrostatic repulsion between the charged electrode surface and the similarly charged *Geobacter* bacterial surface. In contrast, triphenylphosphonium functional groups on electrode surfaces proved beneficial with respect to colonization and electrocatalytic performance, producing denser biofilms that are enriched in *Geobacter* species. This result is intriguing since effect of surface modification appears not to be confined to the biofilm/electrode interface but propagates into the biofilm itself. The triphenylphosphonium group is widely used as a drug carrier functionality as its positive charge and lipophilicity is suitable for solubilization within and thus

crossing cell or mitochondrial membranes<sup>83</sup>. It is thus likely that the effect of surface chemistry on biofilm response is a complex combination of electrostatic interaction and lipophilicity. Additional studies demonstrate phenylboronic acid group on electrodes, presumably through specific binding with carbohydrates on the outer membrane of cells<sup>84</sup>, significantly diminishes the time required for biofilm colonization in a mixed culture inoculum. The resulting bioanodes perform better than unmodified electrodes, consistent with the carbohydrate-boronate affinity hypothesis, although a more subtle combination of interactions with outer membrane of bacteria and exopolymeric biofilm scaffold cannot as yet be ruled out. A recent study confirms enhanced current produced by *Shewanella loihica* biofilms formed on modified indium tin oxide electrodes with increased degree of wettability. This is attributed to a shift in the redox potentials of outer membrane cytochrome heme(s) brought about by the more polar environment thus resulting in increased current at the same applied potential for the biofilms<sup>85</sup>.

Beyond cell attachment, a more promising and less well explored area are surface modifications specifically intended to improve electron transfer rates between biofilms and the electrodes. There is a wealth of information on controlling protein interactions with surfaces<sup>98</sup> and on optimizing electron transfer between isolated redox proteins, particularly *c*-Cyt, and various electrode materials<sup>87</sup>. It has been shown that, for *c*-Cyt, not only is the distance between the heme and the electrode important but also the orientation of the heme group relative to the electrode<sup>19,88</sup>. Heme groups orientated parallel to the surface display greater ET rates compared to perpendicular heme groups, suggesting that ET pathway through the heme axial ligand is preferential compared to ET through the porphyrin ring<sup>89</sup>. Surface wettability was identified as a key parameter for heme orientation with parallel orientation favored on a hydrophilic surface whilst perpendicular orientation favored on a hydrophobic surface. This observation may partially

account for the enhancement of electrocatalytic biofilm performance observed on hydrophilic surfaces and highlights the potential mechanistic insights that may be gained from such studies. In addition to modifying electrode surfaces, use of redox and/or conducting polymers<sup>90-91</sup> and/or nanomaterials could also be explored to electrically wire microorganisms to electrodes, including connecting metabolic processes inside cells to electrodes outside cells in a manner analogous to that used to wire redox enzymes to electrode surfaces<sup>92-93</sup>. This is an under-exploited approach to engineering microbial BES which may expand the scope of useable microorganisms to those with interesting/useful catalytic properties but that lack ability to electrically wire themselves to electrodes<sup>94-95</sup>.

Although the EET mechanisms may be different, surface modifications that promote biofilm formation on anodes tend to benefit biofilm formation on cathodes as well. For instance, introduction of positive charged functional groups at carbon cloth electrodes significantly improves formation and performance of *Sporomusa ovate* films used for electrosynthetic production of acetate in a microbial electrolysis cell<sup>96-97</sup>. Carbon nanotube (CNT) modified electrodes prove superior to planar electrodes for mixed consortia biofilm formation and acetate production rates<sup>75</sup>. This improvement was attributed to more favorable microbial adhesion provided by the CNT network and not simply due to increased surface area.

An important issue in developing surface engineering approaches to optimization of microbial BES will be clarification of the effect of surface modification on the physico-chemical properties of the electrode and the impact on biofilm development and its subsequent electrical/catalytic properties. To this end, studies on ET to redox proteins on such surfaces will continue to provide mechanistic insights into the effect of surface modifications. Approaches to effectively 'wire' microbial layers to the electrode surface through the use of chemical modifications and addition of redox

mediators to surfaces should be investigated. This represents a significant challenge as defined surface modifications capable of specifically binding such species have yet to be identified.

### **Chemistry considerations of other BES components**

Microbial bioanodes need to be partnered with a cathode to operate as a microbial fuel cell or microbial electrolysis cell. Oxygen in air is the most plentiful (and cheap) oxidant. Electrocatalytic bacteria however require anaerobic conditions to encourage electron transfer to the electrode. Most bioanodes therefore are typically coupled with abiotic oxygen-reducing cathodes using a separator such as Nafion, either as a separate component or integrated with the cathode as an air cathode, to isolate the bioanode from oxygen while maintaining ionic continuity between the electrodes. Oxygen reducing cathodes perform poorly at neutral pH conditions required by electrocatalytic bacteria<sup>102</sup>. Moreover, separator ion permeability can be limited by relatively low temperature conditions and complex electrolytes required by electrocatalytic bacteria. Approaches that identify electrocatalytic organisms able to operate under conditions more beneficial for oxygen reduction at cathodes and/or ion transport through separators, as well as approaches to protect existing electrocatalytic organisms under such conditions, could have significant impact on the development of more effective BES. Development of cathodes able to operate optimally at neutral pH and separators able to operate optimally at lower temperature could also have significant impact. Analogous requirements exist for microbial biocathodes which need to be partnered with an anode to operate. Here bulk water oxidation appears to be the dominant anode reaction and catalysts able to perform this reaction at fast rates and (ideally) low overpotential under

physiological conditions required by biocathodes are sought for improved utilization.

## **Outlook**

An essential component to improving low reaction rates and yields of bioelectrodes is improved understanding of the composition and spatial organization of all the extracellular substances under physiological relevant conditions. While Raman microscopy has been utilized to determine presence of redox proteins in *Geobacter* bioanodes at the single cell level<sup>103</sup>, imaging at the single-molecule level is required. Complementary approaches for identification, isolation and characterization of the key redox pathways for EET, including genetic mutation, differential proteomic and metabolomic studies, structural studies using crystallography and NMR on isolated protein and complexes, are required. Application of other advanced *in-situ* analytical tools (such as conducting-probe atomic force microscopy, electrostatic force microscopy, electrochemical-surface plasmon resonance and electrochemical quartz crystal microbalance) to be applied under physiological relevant conditions (i.e, performed on living biofilms) will elucidate conductive pathways. Approaches to wire microbial layers to the electrode surfaces through the use of chemical surface modifications and addition of redox mediators should be further studied. Approaches in which the microbes are encapsulated in a protective matrix that does not inhibit their electrocatalytic activity, including the ability to perform EET, may prove a viable strategy to expand conditions under which microbial electrocatalysts can operate. Additionally strategies that identify exoelectrogenic organisms able to operate under conditions more beneficial for oxygen reduction at cathodes and/or ion transport through separators, as well as approaches to protect existing exoelectrogenic organisms under such conditions, could have significant impact. Development of cathodes able to operate optimally at neutral pH and

separators able to operate optimally at lower temperature could also have significant impact.

Understanding the ins and outs of microbe-electrode electron transfer reactions requires a combined truly inter-disciplinary approach that holds out a promise of improved EET to result in more competitive BES approaches in emerging technologies such as generating energy and chemical feedstocks from waste or renewables.

### **Conflict of financial interest statement**

**We don't have a conflict of financial interest.**

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### **Box 2. A timeline showing evolution of major achievements of microbial catalysis at the bioanode**

1988: First time demonstrations of metal oxide reduction by *Geobacter*<sup>75</sup> and *Shewanella*<sup>76</sup> via EET. These two literatures initiated the field of bioanode research.

2002-2003: Foreign electron shuttle can drive long-range EET in both *Geobacter* and *Shewanella* biofilms was confirmed experimentally<sup>77,78</sup>.

2005-2010: Series of genetic-based research to reveal the EET function of c-cyts on the outer membrane of *Geobacter* and *Shewanella*<sup>22,26</sup>.

2005: First systematic study of *Geobacter* nanowire. The nanowire based long-range EET was also proposed in this work<sup>19</sup>.

2006: First observation of *Shewanella* nanowire. The conductivity and the composition of *Shewanella* nanowire are also analyzed<sup>18</sup>.

2008: Flavin was suggested as the electron shuttle for long range *Shewanella* EET<sup>20</sup>.

2008: The application of microbial fuel cell (MFC) as batteries to power up multiple sensors for measuring air temperature, pressure, relative humidity, and water temperature. This work is the first particle application of the MFC system<sup>79</sup>.

2011-current: Two debating models for long-range electron transport in *Geobacter* nanowire are presented: i). "metallic-like" model conductivity proposes electron transport based on  $\pi$ - $\pi$  interactions of c-cyts<sup>43</sup>; ii) "superexchange" model the EET is driven by series of redox reactions of c-cyts<sup>57</sup>. Many following researches are devoted to provide experimental evidences for each model.

2014-2015 EET current measurements were performed in both single *Geobacter*<sup>72</sup> and single *Shewanella*<sup>71</sup>. Results reveal the EET current of single-bacterium is around 100 fA which help to estimate the maximum current generation of biofilms.

**Box 3. A timeline showing evolution of major achievements towards biocathode applied technologies including microbial electrosynthesis**

2004: *Geobacter* biofilm shown to harvest electrons directly from biocathode for respiration (1).

2005: *Geobacter sulfurreducens* biofilm reduces uranium, from soluble U(VI) to insoluble U(IV), showing potential of biocathodes in bioremediation (2)

2009: Mixed consortia biofilm, dominated by *Methanobacterium palustre*, converts CO<sub>2</sub> and current into methane (3).

2010: Pure culture acetogenic *Sporomusa ovata* biofilm converts CO<sub>2</sub> and current directly to acetate (4).

2011: The identification of PccH, a cytochrome essential for cathodic but not for anodic *Geobacter sulfurreducens* respiration, shows that distinct EET pathways are used by the bacterium depending on electron flow to/from electrode (5).

2011: *Shewanella oneidensis* shown to utilise MtrABC 'cytochrome-porin' conduit for bi-directional EET (6).

2014: Biofilms of phototrophic bacterium *Rhodospseudomonas palustris* shown to harvest electrons from electrode using CO<sub>2</sub> as sole carbon source/electron acceptor (7).

2014: Genetically engineered *Clostridium ljungdahii* biofilm converts CO<sub>2</sub> and current to butyrate (8).



2015: Methanogenic *Methanococcus maripaludis* biofilms shown to secrete proteins which facilitate electron uptake for biocathodic CO<sub>2</sub> fixation (9).

(a)

(b)

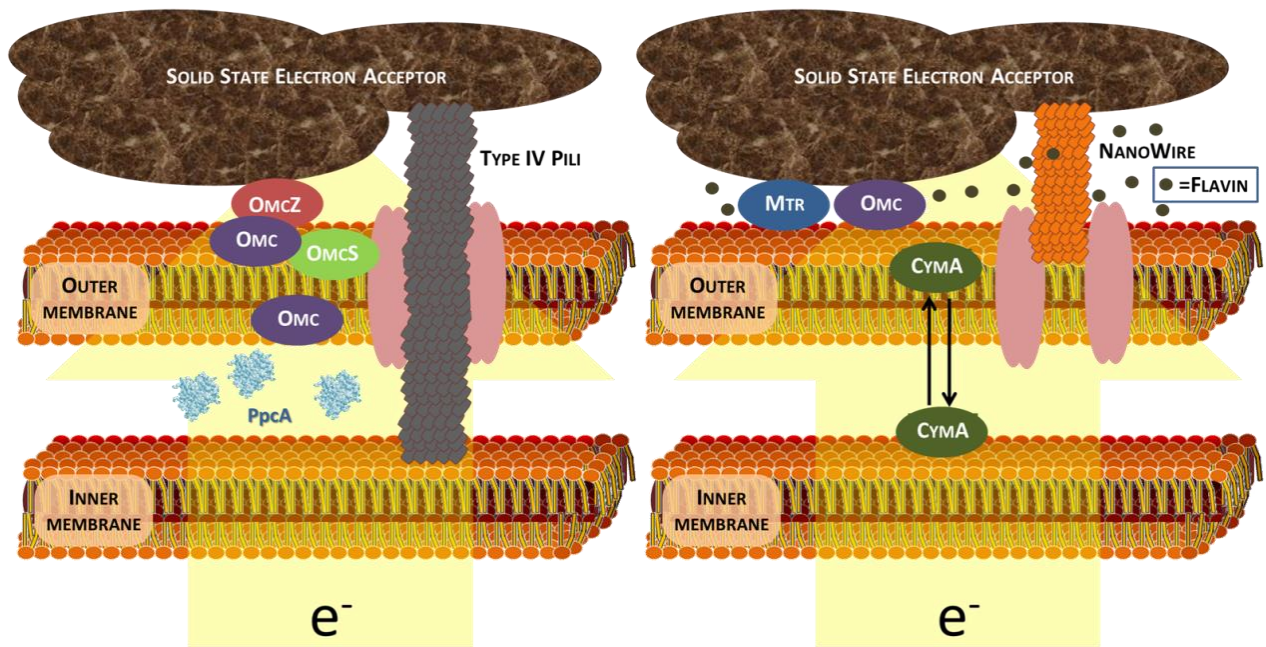


Fig. 1. Schemes of EET in (a) *Geobacter* and (b) *Shewanella*; in (a) *Geobacter*, type iv Pili can directly transport electron from inner membrane to electron acceptor. OmcZ mainly contributes to the outer membrane EET while other OMCs support the EETs of both type iv Pili and OmcZ. In (b) *Shewanella* the electron generated on inner membrane is transport by CymA to outer membrane then be transported to electron acceptor by MTRs and OMCs to complete EET. The nanowires are considered as the extension of outer membrane and perform EET by electron hopping. Self-excrete Falvin also involved in the EET process as the electron shuttle or cofactors.

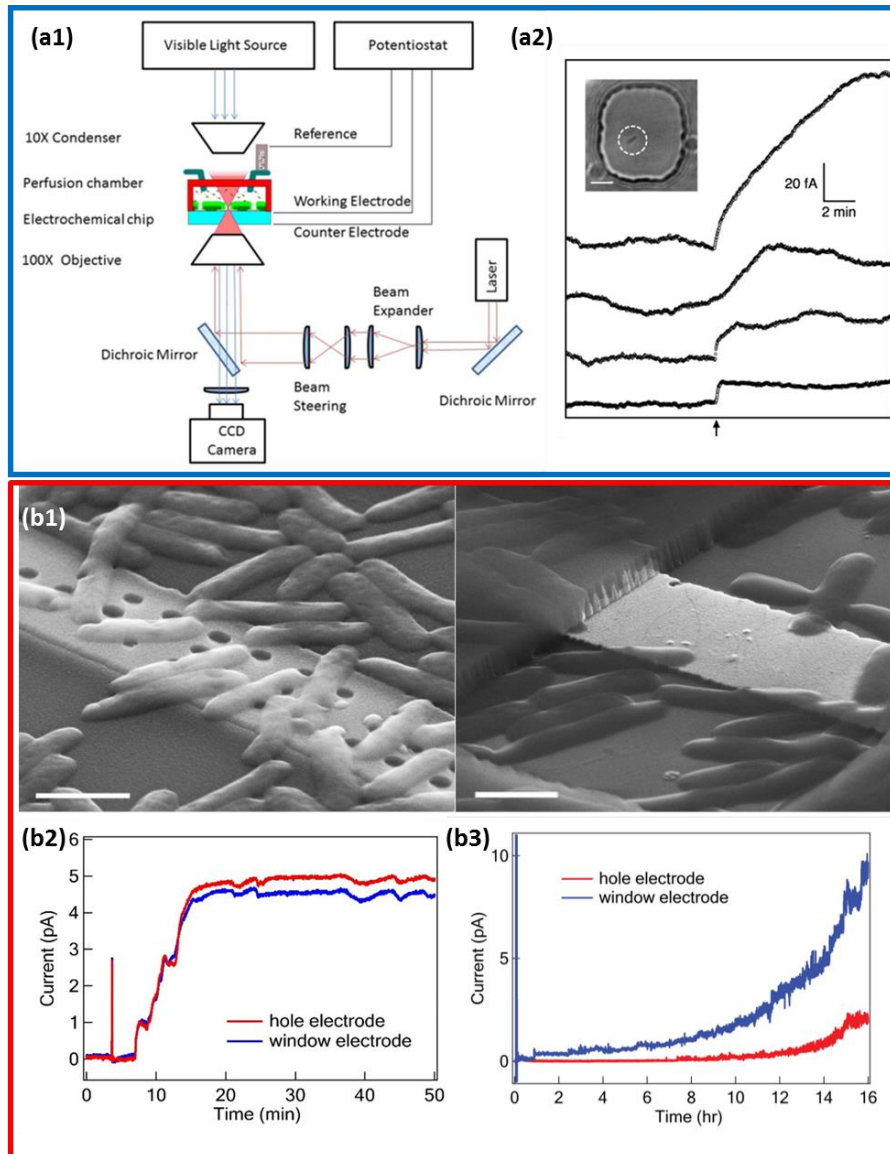
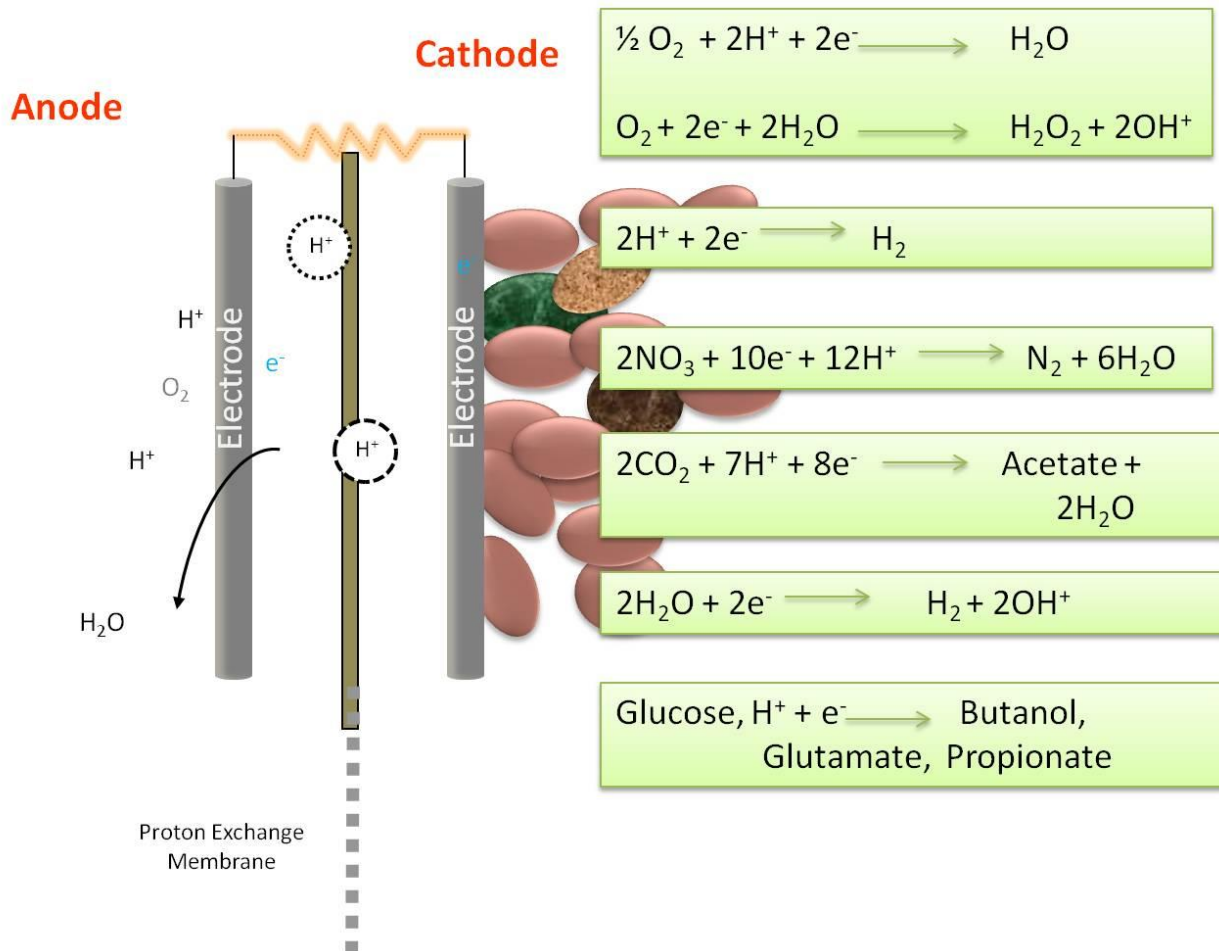


Fig. 2 Micro-scale EET studies: (a) optical tweezers entrapped single *Shewanella* for in situ EET current measurement: (a1) experimental setup of optical tweezers, perfusion chamber, and electrochemical measurement, (a2) image of entrapped single *Shewanella* and the EET current measurements (15 -100 fA); (b) Probing EET mechanisms of both *Shewanella* and *Geobacter* in microscale; (b1) is the images of bacteria on electrodes with nanoholes and window, respectively (Scale bar, 1  $\mu\text{m}$ ); (b2) and (b3) are the simultaneously

short-circuit current measurement on electrodes with nanoholes (red) and large window (blue). The results in (b2) indicate that the mediators dominate the *Shewanella* EET whereas the current differences between window and nanoholes electrodes in (b3) demonstrate that the direct connection with electrode can facilitate EET of *Geobacter*. Reprint with permission<sup>70-73</sup>



**Figure 3.** The cathode as an electron donor and the biochemical reactions that lead to the production of products and reactions.

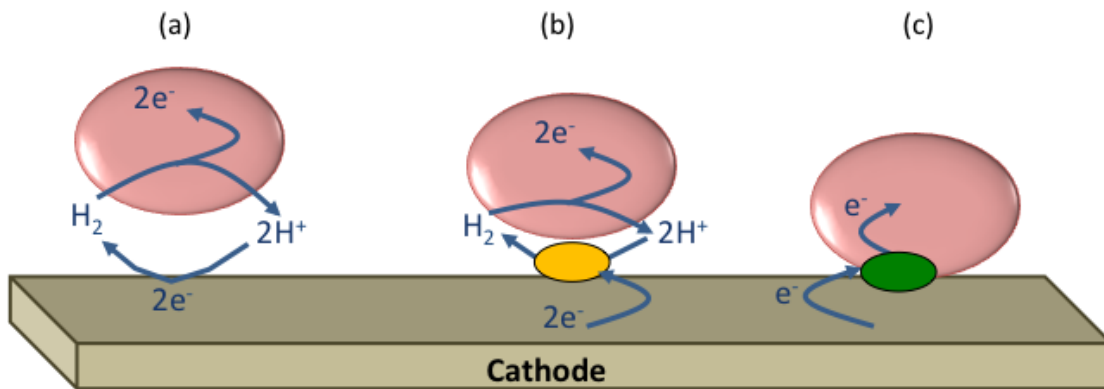
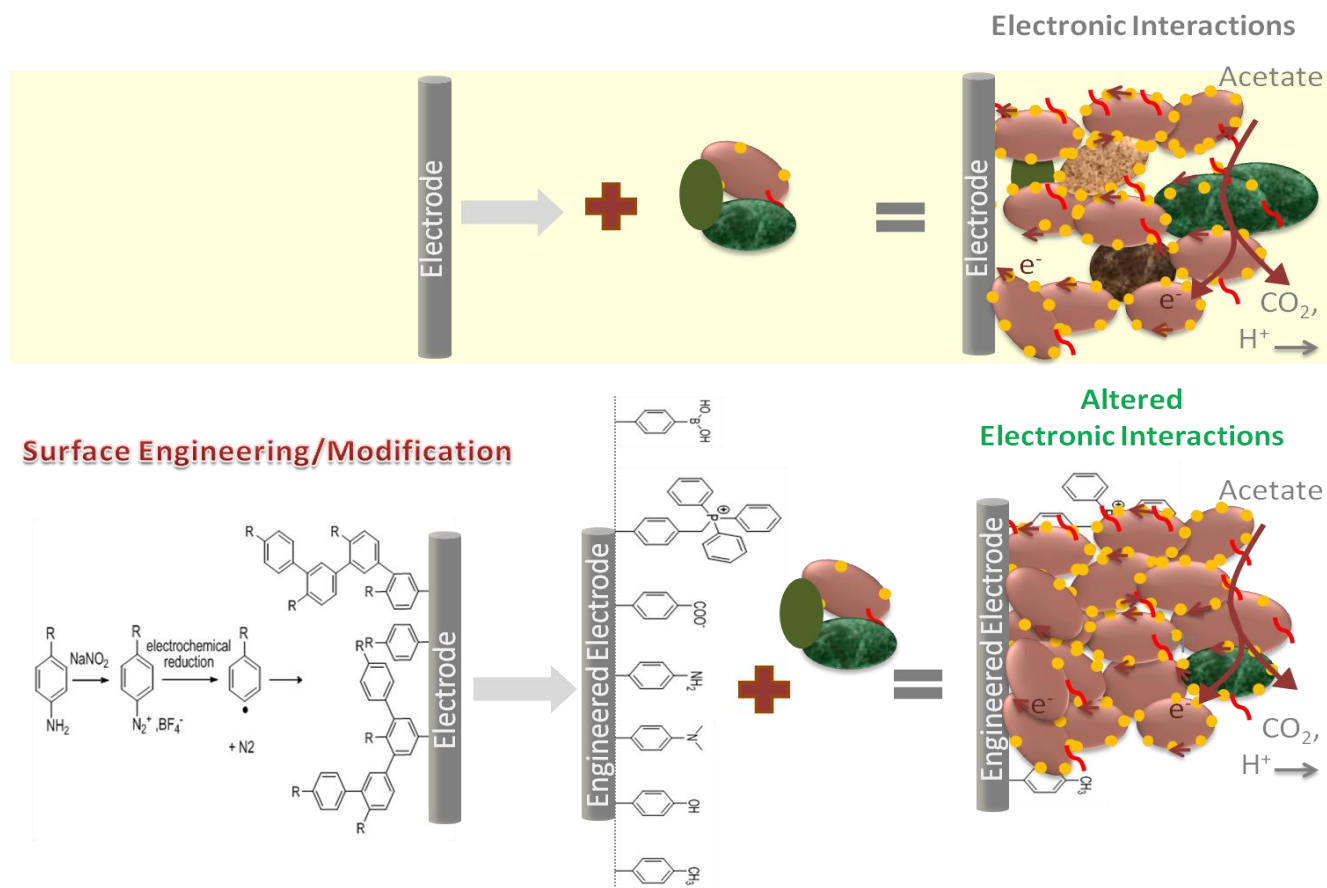


Figure 4. Proposed electron transfer pathways utilised by microbes for extracellular uptake of electrons; (a) scavenging of cathodically generated  $H_2$  at electrode surface, (b) uptake of  $H_2$  generated by secreted redox proteins e.g. hydrogenases and (c) direct uptake of electrons by outer membrane bound redox proteins e.g. cytochromes.



**Figure 5.** Surface engineering of the microbe-electrode interface alters microbial-electrode interactions for acetate-oxidizing bioanodes. A functional group, R (where R is boronic acid, triphenylphosphine, carboxylate, amine, dimethylamine, hydroxyl or methyl groups from top to bottom on the engineered electrode), is grafted over the electrode surface via *in-situ* diazotization of an arylamine and subsequent electrochemical reduction, providing an engineered electrode with physico-chemical characteristics that can alter microbial-electrode interactions, as described in the text.

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