

# Electron-Rich 4-Substituted Spirobifluorenes: Toward a New Family of High Triplet Energy Host Materials for High-Efficiency Green and Sky Blue Phosphorescent OLEDs

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> Electron-rich 4-substituted spirobifluorenes: Towards a new family of high triplet energy host materials for high-efficiency green and sky blue phosphorescent OLEDs

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## **KEYWORDS**

Organic semiconductors, host materials, blue phosphorescent organic light-emitting diode, 4-substituted spirobifluorene, organic electronics, conjugation disruption

Abstract: We report herein a detailed structure-properties relationship study of the firstexamplesofelectron-rich4-substituted4-phenyl-N-carbazole-spirobifluorene(4-PhCz-SBF)and

4-(3,4,5-trimethoxyphenyl)-spirobifluorene (4-Ph(OMe)<sub>3</sub>-SBF) for organic electronic applications. The incorporation of the electron-rich moieties in the *ortho* position of the biphenyl linkage (position C4) induces unique properties, very different to those previously described in the literature for this family of semiconductors. Both dyes can be readily synthesised, possess high triplet energies, excellent thermal stability and their HOMO energy levels are highly increased compared to other 4-substituted SBFs. We also provide in this work the first rationalization of the peculiar fluorescence of 4-substituted SBFs. Finally, the present dyes have been successfully incorporated as host in green and blue Phosphorescent Organic Light-Emitting Diodes with high performance either for the green (EQE of 20.2%) or the blue colour (EQE of 9.6%). These performances are, to the best of our knowledge, among the highest reported to date for 4-substituted SBF derivatives.

## 1. INTRODUCTION

For the last twenty years, organic semi-conductors constructed on the spirobifluorene (SBF) scaffold have encountered a fantastic development for Organic Electronic applications such as blue fluorescent emitter in Organic Light-Emitting Diodes (OLEDs),<sup>1-21</sup> or in organic solar cells.<sup>22-27</sup> The very high performances obtained with some SBF based semi-conductors have hence turned spiroconfigured compounds as one of the most important family of compounds for electronics. 2-Substituted SBFs (see carbons labelling in Chart 1) are in this context the most developed class of SBF-based materials, the para linkage between the pendant substituent in position 2 and the constituted phenyl ring of the fluorene ensuring a good delocalization of  $\pi$ -

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electrons. However, more recently, the growing necessity to design organic host materials for blue phosphors in Phosphorescent OLEDs (PhOLEDs) has led to new generations of SBF based materials with a wide energy gap (ca 4 eV) and a high triplet energy ( $E_T$ ). These key properties are the consequences of a restricted  $\pi$ -conjugation within these materials. Thus, SBF compounds substituted in the ortho position of the biphenyl linkage (position C4, see carbons labelling in Chart 1) have encountered a great interest. Indeed, in 4-substituted SBFs, there is, due to the *ortho* linkage, a strong steric congestion which leads to an efficient  $\pi$ -conjugation disruption between the fluorene and its C4 pendant substituent. Despite these very appealing properties, only few examples of 4-substituted SBFs as host materials for blue and green PhOLEDs have been reported to date. These molecules incorporate either pure hydrocarbon fragments such as a phenyl<sup>28</sup> or a spirobifluorene core<sup>29-31</sup> or electron accepting moieties such as pyridine,<sup>32</sup> pyrimidine<sup>33</sup> or diphenylphosphine oxide.<sup>34, 35</sup> Thus, and despite the  $\pi$ -conjugation disruption between the fluorene and its substituent in C4, it has been shown in these previous works that electron-withdrawing groups such as pyridine isomers or pyrimidine (Chart 1) can selectively decrease the LUMO energy levels (localized on the electron poor moiety and/or on the adjacent fluorene core) keeping nevertheless the HOMO energy level (localized on the SBF core) unaltered.<sup>32, 33</sup> However, the opposite strategy, that is increasing the HOMO energy level of 4-substituted SBFs, has to the best of our knowledge never been explored.



Chart 1. Examples of 4-substituted SBFs previously reported in the literature.<sup>32-35</sup>

As it is known that the increase of HOMO energy levels through the incorporation of phenylacridine,<sup>36-39</sup> indoloacridine,<sup>40-42</sup> guinolinophenothiazine<sup>43</sup> or guinolinophenoxazine<sup>44</sup> moieties instead of a fluorene core has remarkable consequences on the device performance we wish to report herein the first examples of 4-substituted SBF derivatives incorporating electronrich fragments, namely N-phenylcarbazole in 4-PhCz-SBF and trimethoxyphenyl in 4-Ph(OMe)<sub>3</sub>-SBF, Scheme 1. Through a detailed structure-properties relationship study with the corresponding building blocks, namely 4-phenyl-spirobifluorene (4-Ph-SBF), spirobifluorene (SBF), 1,2,3-trimethoxybenzene (Ph(OMe)<sub>3</sub>) and N-phenyl-carbazole (N-PhCz), we highlight herein the remarkable influence of the C4-substituent on the electronic properties. Both dyes can be readily synthesised, possess high  $E_{T}$ , excellent thermal stability and their HOMO energy levels are highly increased compared to other 4-substituted SBFs reported to date. In addition, both dyes display very different fluorescence properties and we provide herein the first rationalization of the peculiar fluorescence of 4-substituted SBFs. Finally, both 4-PhCz-SBF and 4-Ph(OMe)<sub>3</sub>-SBF have been successfully incorporated as host in green and blue PhOLEDs displaying a high efficiency. Thus, the green and blue PhOLEDs using 4-Ph(OMe)<sub>3</sub>-SBF as host display a high EQE of 20.2% and 9.6% respectively. These performances are, to the best of our knowledge, among the highest reported to date for 4-substituted SBF derivatives and highlight the efficiency of the present design strategy.

2. EXPERIMENTAL SECTION

**2.1. General method.** Materials and methods for syntheses, X-Ray, thermal and electrochemical studies, photophysics, theoretical calculations and devices fabrication and characterization are provided in SI.

2.2 Synthesis. Synthesis of 4-PhCz-SBF. 4-Bromo-9,9'-spirobi[fluorene] 4-Br-SBF<sup>32</sup> (0.76 g, 1.9 mmol, 1.0 eq), 9-(4-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)phenyl)-9H-carbazole (0.71 g, 1.9 mmol, 1.0 eq), potassium carbonate (2.7 g, 20 mmol, 10 eq) and Pd(dppf)Cl<sub>2</sub> (0.083 g, 0.10 mmol, 0.05 eq) were dissolved in dry DMF (25 mL) under an argon atmosphere. The mixture was heated up to reflux and stirred overnight. After cooling to room temperature, saturated solution of ammonium chloride (50 mL) was added, and organic layer was extracted with dichloromethane  $(3 \times 50 \text{ mL})$ . The combined organic extracts were dried over magnesium sulfate, filtered, and concentrated under reduced pressure. The residue was purified by flash chromatography on silica gel to afford the title compound as a colourless solid (0.80 g, 1.4 mmol). [column conditions: Silica cartridge (24 g); solid deposit on Celite®; \detection: (254 nm, 280 nm); dichloromethane in light petroleum 1:9 at 20 mL/min; collected fraction: 20-35 min. Recristallized from ethanol. Yield: 75%; m.p: 198°C; IR (ATR, cm<sup>-1</sup>): v = 567, 621, 642, 677, 723, 746, 806, 839, 916, 1003, 1018, 1171, 1228, 1315, 1334, 1361, 1448, 1477, 1514, 1599, 2952, 3020, 3041, 3058; <sup>1</sup>H NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$ : 8.22 (ddd, J = 7.8, 1.2, 0.8 Hz, 2H, ArH,  $H_{14}$ ), 7.94 - 7.87 (m, 4H, ArH,  $H_{4'}$ ,  $H_{5'}$ ,  $H_9$ ), 7.80 (d, J = 8.6 Hz 2H, ArH,  $H_{10}$ ), 7.63  $(dt, J = 8.3, 0.9 Hz, 2H, ArH, H_{11}), 7.51 (ddd, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1, 1.2 Hz, 2H, ArH, H_{12}), 7.43 (td, J = 8.3, 7.1), 7.43 (td, J = 8.3, 7.1), 7.43 (td, J = 8.3, 7.1), 7.44 (td, J = 8.3, 7.1), 7.4$ 7.5, 1.1 Hz, 2H, ArH,  $H_{3'}$ ,  $H_{6'}$ ), 7.38 - 7.32 (m, 3H, ArH,  $H_3$ ,  $H_{13}$ ), 7.28 (ddd, J = 7.8, 1.2, 0.7 Hz, 1H, ArH, H<sub>5</sub>), 7.24 - 7.14 (m, 4H, ArH, H<sub>2</sub>, H<sub>2</sub>', H<sub>7</sub>', H<sub>6</sub>), 7.07 (td, J = 7.4, 1.3 Hz, 1H, ArH, H<sub>7</sub>), 6.82 (dt, J = 7.6, 1.0, 2H, ArH, H<sub>1</sub>', H<sub>8</sub>'), 6.73 (dd, J = 7.6, 1.1 Hz, 1H, ArH, H<sub>1</sub>), 6.69 (ddd, J = 7.5, 1.2, 0.7 Hz, 1H, ArH, H<sub>8</sub>), see labelling in SI; <sup>13</sup>C NMR (75 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ: 150.2 (C), 149.8 (C), 149.5 (C), 142.5 (C), 142.1 (C), 141.5 (C), 140.7 (C), 139.4 (C), 137.7 (C, 2 peaks), 131.4 (CH), 130.4 (CH), 128.47 (CH), 128.45 (CH), 128.3 (CH), 128.04 (CH), 127.97 (CH), 127.7 (CH), 126.6 (CH), 124.40 (CH), 124.37 (CH), 124.1 (C), 123.7 (CH), 123.6 (CH), 120.9

(CH), 120.8 (CH), 120.7 (CH), 110.5 (CH), 66.4 (C Spiro); HRMS (ASAP+, 500°C) calculated for C<sub>43</sub>H<sub>28</sub>N: 558.2222, found: 558.2224 [M+H]<sup>+</sup>; Elemental analysis for C<sub>43</sub>H<sub>27</sub>N: C, 92.61%; H: 4.88%; N: 2.51%. Found: C; 92.58%; H, 4.99%; N: 2.50%;  $\lambda$ abs [nm] ( $\epsilon$ [10<sup>4</sup>.L.mol<sup>-1</sup>.cm<sup>-1</sup>]) = 293 (3.7), 309 (2.8), 340 (0.7)

Synthesis of 4-Ph(OMe)<sub>3</sub>-SBF. 4-Br-SBF (0.75 g, 1.9 mmol, 1.0 eq), (3,4,5-trimethoxyphenyl)boronic acid (0.54 g, 2.6 mmol, 1.4 eq), potassium carbonate (1.3 g, 9.5 mmol, 5.0 eq) and Pd(dppf)Cl<sub>2</sub> (0.066 g, 0.081 mmol, 0.04 eq) were dissolved in dry DMF (20 mL) under an argon atmosphere. The mixture was heated up to reflux and stirred overnight. After cooling to room temperature, saturated solution of ammonium chloride (50 mL) was added, and organic layer was extracted with dichloromethane (3×50 mL). The combined organic extracts were dried over magnesium sulfate, filtered, and concentrated under reduced pressure. The residue was purified by flash chromatography on silica gel to afford the title compound as a colourless solid (0.76 g, 1.6 mmol). [column conditions: Silica cartridge (24 g); solid deposit on Celite®; λdetection: (254 nm, 280 nm); dichloromethane in light petroleum 1:9 at 20 mL/min; collected fraction: 20-35 min. Yield: 83%; m.p: 215°C; IR (ATR, cm<sup>-1</sup>): v = 658, 669, 731, 748, 840, 899, 972, 997, 1043, 1122, 1167, 1255, 1303, 1359, 1377, 1452, 2838, 2871, 2885, 2908, 2943; <sup>1</sup>H NMR (300 MHz,  $CD_2Cl_2$ )  $\delta$ : 7.90 (ddd, J = 7.7, 1.1, 0.7 Hz, 2H, ArH, H<sub>4</sub>', H<sub>5</sub>'), 7.40 (td, J = 7.5, 1.1 Hz, 2H, ArH, H<sub>3'</sub>, H<sub>6'</sub>), 7.21 (m, 2H, ArH, H<sub>6</sub>, H<sub>3</sub>), 7.18 - 7.12 (m, 3H, ArH, H<sub>2'</sub>, H<sub>7'</sub>, H<sub>7</sub>), 7.12 - 7.07 (m, 1H, ArH,  $H_5$ ), 7.03 (td, J = 7.4, 1.4 Hz, 1H, ArH,  $H_2$ ), 6.83 (s, 2H, ArH,  $H_9$ ), 6.81 - 6.75 (m, 2H, ArH, H<sub>1</sub>', H<sub>8</sub>'), 6.69 - 6.62 (m, 2H, ArH, H<sub>1</sub>, H<sub>8</sub>), 3.92 (s, 3H, CH<sub>3</sub>, H<sub>11</sub>), 3.90 (s, 6H, CH<sub>3</sub>, H<sub>10</sub>), see labelling in SI; <sup>13</sup>C NMR (75 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ: 154.1 (C), 150.0 (C), 149.7 (C), 149.6 (C), 142.5 (C), 142.2 (C), 139.2 (C), 138.6 (C), 138.4 (C), 137.0 (C), 130.2 (CH), 128.4 (CH, 2 peaks), 128.1 (CH), 127.9 (CH), 127.8 (CH), 124.4 (CH), 124.2 (CH), 123.9 (CH), 123.4 (CH),

120.8 (CH), 107.0 (CH), 66.3 (C spiro), 61.3 (CH<sub>3</sub>), 56.8 (CH<sub>3</sub>); HRMS (ESI+, CH<sub>3</sub>OH/CH<sub>2</sub>Cl<sub>2</sub>: 95/5) calculated for C<sub>34</sub>H<sub>26</sub>O<sub>3</sub>Na: 505.1780, found: 505.1781 [M+Na]<sup>+</sup>; Elemental analysis for C<sub>34</sub>H<sub>26</sub>O<sub>3</sub>: C, 84.62%; H, 5.43%. Found: C, 84.24%; H, 5.51%; λabs [nm] ( $\epsilon$ [10<sup>4</sup>.L.mol<sup>-1</sup>.cm<sup>-1</sup>]) = 297 (1.0), 309 (1.7).

### **RESULTS AND DISCUSSIONS**



Scheme 1. Synthesis of 4-Ph(OMe)<sub>3</sub>-SBF and of 4-PhCz-SBF.

As shown in scheme 1, both molecules were readily synthesised by a Miyaura-Suzuki coupling between the key platform 4-bromo-9,9'-spirobi[fluorene] **4-Br-SBF** (synthesized from the 4-bromofluorenone derivative)<sup>32</sup> and either 9-(4-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)phenyl)-9H-carbazole or 3,4,5-trimethoxyphenylboronic acid providing with high yield 9-(4-(9,9'-spirobi[fluoren]-4-yl)phenyl)-9H-carbazole (**4-PhCz-SBF**, yield: 75%) or 4-(3,4,5-trimethoxyphenyl)-9,9'-spirobi[fluorene] (**4-Ph(OMe)\_3-SBF**, yield: 83%). This short and efficient synthetic approach allows a gram-scale preparation and purification, key feature for further device fabrication.

At this stage, the fine analyses of the <sup>1</sup>H NMR spectra of **4-Ph(OMe)<sub>3</sub>-SBF** and **4-PhCz-SBF** can provide interesting information on the influence of the pendant substituent on the chemical shift of the hydrogen atoms of the fluorene. The complete assignments of all signals have been

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performed by 2D NMR spectroscopy experiments (HMBC, HSQC, <sup>1</sup>H/<sup>1</sup>H COSY, see SI). Thus, in the <sup>1</sup>H NMR spectrum of **4-Ph(OMe)**<sub>3</sub>-**SBF**, the hydrogen atom H3 of the fluorene (see chart 1) in  $\alpha$  position of the 3,4,5-trimethoxyphenyl linkage is detected at 7.21 ppm, that is identical to that of the model compound **4-Ph-SBF** ( $\delta_{H3}$ = 7.21 ppm), see <sup>1</sup>H NMR spectra in SI. Switching from a 3,4,5-trimethoxyphenyl moiety to a *N*-phenylcarbazole moiety in **4-PhCz-SBF** leads to a non-negligible deshielding of 0.15 ppm ( $\delta_{H3}$  = 7.36 ppm), highlighting the different influence of the C4 substituent on the hydrogens chemical shifts of the fluorene backbone. This feature can be correlated to the angle formed between the fluorene and its substituent (see below the X-Ray structures). Indeed, the wider the angle, the weaker the electronic effects on the chemical shifts of the hydrogen atoms. One can note that this effect decreases as the distance to the linkage increases ( $\delta_{H1}$  = 6.65 ppm for **4-Ph(OMe)**<sub>3</sub>-SBF, 6.67 ppm for **4-Ph-SBF** and 6.73 ppm for **4-PhCz-SBF**).



Figure 1. TGA (left) and DSC curves (right) of 4-Ph(OMe)<sub>3</sub>-SBF (blue line) and of 4-PhCz-SBF (red line).

The thermal properties of **4-Ph(OMe)<sub>3</sub>-SBF** and of **4-PhCz-SBF** were investigated by thermogravimetric analysis (TGA, figure 1 left) and differential scanning calorimetry (DSC, figure 1 right). The decomposition temperatures,  $T_d$ , corresponding to 5% mass loss were recorded at 268°C for **4-Ph(OMe)<sub>3</sub>-SBF** and at 311°C for **4-PhCz-SBF**. The two compounds appear therefore more stable than their constituted building blocks **SBF** ( $T_d$ : 234°C) and **4-Ph-SBF** ( $T_d$ : 254°C)<sup>28</sup> and also more stable than other SBF compounds substituted in position 4 with pyridine isomers ( $T_d$  between 217 and 242°C).<sup>32</sup> Moreover, as the mass loss appears total around 340°C for **4-Ph(OMe)<sub>3</sub>-SBF** and around 410°C for **4-PhCz-SBF**, we believe that the sublimation occurs at these temperatures without a real decomposition of the compounds.<sup>36</sup>

DSC measurements were performed for the two dyes between 20 to 230°C. At the first heating curve (see SI), the two compounds present a sharp endothermic peak at 223°C (4-Ph(OMe)<sub>3</sub>-SBF) and at 206°C (4-PhCz-SBF), associated with the melting of the two compounds ( $T_m$ : 215 and 200°C respectively from the peak onset). When both liquids were cooled down at the same rate from 230 to 20°C, no recrystallization occurs and the cooling leads to amorphous solids. At the second heating curve (figure 1, right), a glass transition phenomenon was observed at 100°C for 4-Ph(OMe)<sub>3</sub>-SBF and at 135°C for 4-PhCz-SBF ( $T_g$ : 90°C and 127°C *resp.* from the peak onset). As observed above for the  $T_d$ , the  $T_g$  of 4-PhCz-SBF appears therefore significantly higher than that of their building blocks SBF and 4-Ph-SBF<sup>28</sup> and also higher than those of SBFs substituted in C4 with pyridine and pyrimidine units ( $T_g$  of these compounds range between 76 and 92°C).<sup>32, 33</sup> Thus, the bulkiness induced by the presence of the phenylcarbazole group leads to excellent thermal properties, key feature for the further device lifetime. The  $T_g$  of 4-Ph(OMe)<sub>3</sub>-SBF is lower, 90°C, but remains in the same range than that of the other dyes exposed above. Finally, one can note that, contrary to SBF and 4-Ph-SBF which both present a

 crystallization transition at  $T_c=135$ °C (upon cooling) and at  $T_c=115$ °C (upon heating),<sup>28</sup> neither **4-Ph(OMe)**<sub>3</sub>-SBF nor **4-PhCz-SBF** present any crystallization phenomena whatever the DSC cycles, which is highly beneficial for further devices incorporation.



**Figure 2.** Different views of molecular structures of **4-PhCz-SBF** (left) and of **4-Ph(OMe)<sub>3</sub>-SBF** (right) obtained by X-Ray diffraction on single crystals

X-Ray data on single crystals of **4-PhCz-SBF** and **4-Ph(OMe)<sub>3</sub>-SBF** are presented figure 2. Structures of **4-PhCz-SBF** and **4-Ph(OMe)<sub>3</sub>-SBF**, respectively, reveal one molecule in the P 2<sub>1</sub>/n and P 2<sub>1</sub>/c space groups, respectively. Several important structural features need to be stressed. First, the angle between the plane of the fluorene and the one of its C4-substituent needs to be evaluated as it drives the electronic coupling between the two fragments. Thus, for **4-PhCz-SBF**, an angle of 45.4° is measured between the pendant phenyl ring (labelled 5) and the substituted phenyl ring of the fluorene (labelled 4), whereas the corresponding angle of **4-Ph(OMe)<sub>3</sub>-SBF** is impressively larger, evaluated at 78.0°. Thus, despite a larger substituent attached, **4-PhCz-SBF** displays a smaller angle than that of **4-Ph(OMe)<sub>3</sub>-SBF**. This finding indicates that the substitution pattern, *i.e. meta/para vs para*, of the pendant phenyl ring 5 has a stronger impact on the angle than the bulkiness of the substituent itself. This is an interesting feature to finely control in the future the fluorene-phenyl angle and hence the resulting electronic properties (see below).

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Compared to unsubstituted **4-Ph-SBF** (fluorene/phenyl angle was measured at 51.2° for one molecule and at 56.6° for the other; two molecules were indeed present in the asymmetric unit),<sup>28</sup> the fluorene/phenyl angle is slightly smaller for **4-PhCz-SBF** and much larger for **4-Ph(OMe)<sub>3</sub>-SBF**. As this angle drives the intensity of the  $\pi$ -conjugation between the fluorene and the substituent, this signs herein a weaker (respectively higher)  $\pi$ -conjugation breaking between the two  $\pi$ -systems (fluorene and phenyl) in **4-PhCz-SBF** (respectively **4-Ph(OMe)<sub>3</sub>-SBF**) than in **4-Ph-SBF**.

Another interesting and uncommon structural feature in 4-substituted SBFs is linked to the deformation of the substituted fluorene moiety. Indeed, in the case of 4-PhCz-SBF, one can note a strong deformation of the substituted fluorene core, 11.2° between rings 3 and 4, whereas the unsubstituted fluorene presents a small angle between rings 1 and 2 of  $4.0^{\circ}$ . This is a very rare structural feature as the fluorene moiety due to its ring bridging has most of the time a flat and undistorted structure. This clearly shows the significant impact of the C4-substitution on the folding of the fluorene.<sup>45</sup> A high value was also reported for **4-Ph-SBF** with an angle between ring 3 and ring 4 as high as 12.7°.<sup>28</sup> However, it is important to mention that it remains difficult to perfectly rationalize these structural deformations since the substituted fluorene of 4-Ph(OMe)<sub>3</sub>-SBF is almost flat (angle between ring 3 and ring 4 of 2.7° almost identical to that of its non-substituted fluorene, *i.e.* 3.2°). This feature may be assigned to the different intermolecular packing observed for the two molecules (See SI). Indeed, in the packing diagram of 4-PhCz-SBF, short C/C intermolecular distances are observed (dC/C = 3.28 and 3.32 Å, see SI) between carbazole units and the unsubstituted fluorene unit (rings labeled 1 and 2). These distances are shorter than the sum of the van der Waals radii<sup>46</sup> and translate significant intermolecular interactions between 4-PhCz-SBF molecules in the solid state. In addition, some

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 short C/H intermolecular distances are also detected (dC/H = 2.84-2.89 Å, see SI), being slightly shorter than the sum of the van der Waals radii.<sup>46</sup> However, in the case of **4-Ph(OMe)<sub>3</sub>-SBF**, no short C/C intermolecular contacts are observed in the packing diagram and only some short C/H intermolecular distances (dC/H = 2.64-2.88 Å, see SI) between the fluorene units are detected. Finally, some short H/H and O/H intermolecular distances have also been measured (dH/H=2.28 Å, dO/H =2.63 Å, see SI). Thus, the very different molecular packing of the two compounds leads to different structural features such as the deformation of the fluorene and will also have interesting electronic consequences (See solid sate emission below)



**Figure 3.** Cyclic voltammetry at 100 mVs<sup>-1</sup> in CH<sub>2</sub>Cl<sub>2</sub>/[NBu<sub>4</sub>][PF<sub>6</sub>] 0.2 M in the presence of **4-Ph-SBF** (black line), **4-PhCz-SBF** (red line), **N-PhCz** (red dotted line), **4-Ph(OMe)<sub>3</sub>-SBF** (blue line) and **Ph(OMe)<sub>3</sub>** (blue dotted line).

Electrochemical properties of the two compounds have been investigated by cyclic voltammetry (CV) recorded in CH<sub>2</sub>Cl<sub>2</sub> both in reduction and in oxidation. Their electronic properties were compared to those (i) of **4-Ph-SBF** to shed light on the effect of electron donating fragments (methoxy and carbazole) and (ii) of their constituted building blocks, *N*-phenylcarbazole (**N-PhCz**) for **4-PhCz-SBF** and 1,2,3-trimethoxybenzene (**Ph(OMe)**<sub>3</sub>) for **4-Ph(OMe)**<sub>3</sub>-SBF in order to investigate the effect of their incorporation on the SBF core.

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First, in reduction (figures in SI), no wave was detected in dichloromethane and the LUMO energy levels of the two compounds were determined from the onset reduction potentials at -1.97 eV for **4-PhCz-SBF** and at -1.91 eV for **4-Ph(OMe)\_3-SBF**, that means close to the LUMO of **4-Ph-SBF** (-1.95).<sup>47\*</sup> Thus, compared to **4-Ph-SBF** one can note that the onset potential of **4-Ph(OMe)\_3-SBF** is slightly lower and that of **4-PhCz-SBF** significantly higher. This in accordance with the theoretical calculations presented below (figure 5), which show for **4-Ph(OMe)\_3-SBF** a weak contribution of the phenyl ring in the LUMO distribution due to the large fluorene/phenyl angle and a strong contribution of the phenyl ring for both **4-PhCz-SBF** and **4-Ph-SBF** due to the small fluorene/phenyl angle. Thus, electrochemical measurements and theoretical calculations show the same trend for the LUMO energy levels of the three compounds: **4-PhCz-SBF** LUMO level < **4-Ph-SBF** LUMO level < **4-Ph(OMe)\_3-SBF** LUMO level < **4-Ph(OMe)\_3-**

In oxidation, both compounds present successive oxidation waves with peak potentials at 1.21, 1.64, 1.86 V for **4-PhCz-SBF** (figure 3-left, red line) and at 1.33, 1.72/1.80 (very close waves), 2.1 V for **4-Ph(OMe)<sub>3</sub>-SBF** (figure 3-right, blue line). Compared to **4-Ph-SBF**, which first oxidation is centred at 1.68 V (figure 3, black line), both **4-PhCz-SBF** and **4-Ph(OMe)<sub>3</sub>-SBF** are clearly more easily oxidized. Furthermore, compared to the oxidation of their respective donor fragment, **N-PhCz** (figure 3-left, red dotted line) and **Ph(OMe)<sub>3</sub>** (figure 3-right, blue dotted line), the first oxidation of **4-PhCz-SBF** and **4-Ph(OMe)<sub>3</sub>-SBF** is slightly shifted to less anodic values ( $E_{ox}^1$ : 1.21 V vs 1.29 V for **4-PhCz-SBF** vs N-PhCz and  $E_{ox}^1$ : 1.33 V vs 1.37 V for **4-**

<sup>&</sup>lt;sup>\*</sup> It should be mentioned that, in DMF, well defined waves are obtained (see SI) with peak potentials recorded at -2.44 V for **4-PhCz-SBF** (LUMO: -2.10 eV), -2.55 V for **4-Ph-SBF** (LUMO: -2.00 eV) and -2.57 V for **4-Ph(OMe)<sub>3</sub>-SBF** (LUMO: -1.98 eV). Thus, the same potentials trend is obtained (see SI) both in DMF and in  $CH_2Cl_2$ .

**Ph(OMe)**<sub>3</sub>-**SBF** *vs* **Ph(OMe)**<sub>3</sub>). For both **4-PhCz-SBF** and **4-Ph(OMe)**<sub>3</sub>-**SBF**, the first oxidation is therefore assigned to the oxidation of the corresponding electron-rich moieties with a different influence of the spirofluorene core due to the different conjugation disruption. Thus, **4-Ph(OMe)**<sub>3</sub>-**SBF** is only shifted by 40 mV compared to **Ph(OMe)**<sub>3</sub> whereas **4-PhCz-SBF** is anodically shifted by 80 mV compared to its constituted unit **N-PhCz**. This difference can be again correlated to the fluorene/phenyl angle which is strongly larger in the case of **4-Ph(OMe)**<sub>3</sub>-**SBF** (78.0°) than in the case of **4-PhCz-SBF** (45.4°), therefore inducing a more intense conjugation disruption between the fluorene and the pendant phenyl for the former.

The second and third oxidations occur at 1.64, 1.86 V for 4-PhCz-SBF and at 1.72/1.80 V for 4-Ph(OMe)<sub>3</sub>-SBF, that means in the same potential range than the two successive oxidations of 4-Ph-SBF (1.68 and 1.88 V) and have been assigned to the oxidation of the fluorene units.<sup>2</sup> Additionally, differential pulse voltammetry (DPV, see figure in SI) recorded for each compound showed a three successive 1e<sup>-</sup>/1e<sup>-</sup>/3e<sup>-</sup> oxidations for **4-PhCz-SBF** and a four successive 1e<sup>-</sup>/1e<sup>-</sup> /1e<sup>-</sup>/2e<sup>-</sup> oxidation process for 4-Ph(OMe)<sub>3</sub>-SBF. Reaching the third oxidation process for 4-PhCz-SBF and the fourth one for Ph(OMe)<sub>3</sub>-SBF lead to an electropolymerization process, observed along successive CVs by the appearance and regular increase of new redox processes (see figures in SI) and by the coating of the electrode surface by insoluble electroactive deposits. The electrodeposition process, classically observed along oxidation of SBF derivatives, is assigned to carbon-carbon coupling at the C2-C7 and C2'-C7' carbon atoms of the SBF units.<sup>2</sup>, <sup>48-51</sup> As no polymerization process was observed by oxidation of **Ph(OMe)**<sub>3</sub>, the electrochemical behaviour of the polymer obtained by anodic oxidation of 4-Ph(OMe)<sub>3</sub>-SBF is very similar to that of **poly**(4-Ph-SBF), both polymers possessing a HOMO level close to -5.5 eV (see figure in SI). Contrariwise, as the anodic oxidation of N-PhCz at potential more positive than 2.2 V vs

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SCE leads to the formation of an electroactive polymer (see figure in SI), the phenyl/carbazole core is also surely involved in the electropolymerization process of **4-PhCz-SBF**. The HOMO energy level of the corresponding polymer **poly**(**4-PhCz-SBF**) lye between that of **poly**(**N-PhCz**), -5.25 eV, and that of **poly**(**SBF**), -5.57 eV.<sup>28</sup> A comparison of the electrochemical behaviour of the polymers is presented in SI.

The HOMO energy levels of 4-PhCz-SBF and 4-Ph(OMe)<sub>3</sub>-SBF determined from the onset potential of their first oxidation (1.12 and 1.22 V respectively) lye at -5.52 eV and -5.62 eV, respectively. Those HOMO energy levels are very close to those of their corresponding electron donating fragment, N-PhCz (-5.59 eV) and Ph(OMe)<sub>3</sub> (-5.67 eV), confirming the key importance of these fragments on the HOMO energies. However, and as above mentioned, the C4 substituted fluorene has a non-negligible influence on the HOMO energy levels as the conjugation is not completely broken. In addition, the HOMO energy levels of both 4-PhCz-SBF and 4-Ph(OMe)<sub>3</sub>-SBF lye ca 0.3/0.45 eV higher than that of 4-Ph-SBF (-5.95 eV) due the electron-rich character of the carbazole or the methoxy group. Thus, due to the conjugation disruption at the C4 position of SBF, the electrochemical data indicate that HOMOs are mainly governed by the electron-rich building blocks with nevertheless an influence of the SBF core. In case of **4-PhCz-SBF**, this fact is clearly confirmed by molecular modelling. Indeed, the HOMO of 4-PhCz-SBF is almost exclusively spread out on the N-phenylcarbazole fragment (figure 5-left) whereas that of 4-Ph-SBF on the SBF core (figure 5-right). 4-Ph(OMe)<sub>3</sub>-SBF seems to be an intermediate case, with its HOMO delocalized both on SBF and Ph(OMe)<sub>3</sub> moieties (figure 5-middle). Depending on both the strength of the donor group and the fluorene/phenyl angle, the HOMO (energy and shape) can hence be more or less governed by the electron donating fragment. This particularity appears very interesting to finely tune the

electronic properties of 4-substituted SBFs. Finally, the electrochemical energy gaps  $\Delta E^{el}$  of 4-PhCz-SBF and 4-Ph(OMe)<sub>3</sub>-SBF are respectively evaluated at 3.55 eV and at 3.71 eV for 4-Ph(OMe)<sub>3</sub>-SBF, being shorter than that of 4-Ph-SBF (4.0 eV) due to their strong increase of the HOMO energy level without significant modification of the LUMO energy levels.

Table 1. Electrochemical and spectroscopic properties of 4-PhCz-SBF and 4-Ph(OMe)<sub>3</sub>-SBF.

|                  |                                                      | 4-PhCz-SBF             | 4-Ph(OMe) <sub>3</sub> -SBF |  |
|------------------|------------------------------------------------------|------------------------|-----------------------------|--|
| Electrochemistry | Oxidation peaks (V)                                  | 1.21, 1.64, 1.86       | 1.33, 1.72, 1.80, 2.1       |  |
|                  | E <sub>onset</sub> <sup>ox</sup> (V)                 | 1.12                   | 1.22                        |  |
|                  | HOMO (eV)                                            | -5.52                  | -5.62                       |  |
|                  | E <sub>onset</sub> <sup>red</sup> (V)                | -2.43                  | -2.49                       |  |
|                  | LUMO (eV)                                            | -1.97                  | -1.91                       |  |
|                  | $\Delta E^{\text{elec}}(\text{eV})$                  | 3.55                   | 3.71                        |  |
|                  | $\lambda_{abs} \left(nm\right)^{a}$                  | 293, 309, 325(sh), 340 | 297, 309                    |  |
|                  | $\epsilon (10^4 \text{ L.mol}^{-1}.\text{m}^{-2})^a$ | 3.7, 2.8, 1.0, 0.7     | 1.0, 1.7                    |  |
|                  | $\Delta E^{opt} (eV)^{g}$                            | 3.58                   | 3.82                        |  |
|                  | $\lambda_{em-liq} (nm)^{a}$                          | 345, 361               | 375                         |  |
| y                | φ (%) <sup>b</sup>                                   | 54                     | 60                          |  |
| cob              | $\lambda_{em-film}$                                  | 378                    | 378                         |  |
| ectro            | $\tau (ns)^{c}$                                      | 4.01                   | 2.84                        |  |
| Sp               | $k_r (s^{-1})^c$                                     | 1.2×10 <sup>8</sup>    | $2.1 \times 10^{8}$         |  |
|                  | $k_{nr} (s^{-1})^{c}$                                | 1.2×10 <sup>8</sup>    | $1.4 \times 10^{8}$         |  |
|                  | $\lambda_{phospho} (nm)^d$                           | 442, 473 <sup>f</sup>  | 437, 453, 466               |  |
|                  | $E_{T}(eV)^{e}$                                      | 2.81                   | 2.84                        |  |
|                  | $\tau \left( s \right)^{h}$                          | 4.0                    | 4.6                         |  |

a. in cyclohexane. b. Calculated from a quinine sulfate solution in 1N sulfuric acid solution. c. $\lambda_{exc}$ =375 nm,  $k_r$ =  $\phi/\tau$  and  $k_{nr}$ =(1/ $\tau$ )×(1- $\phi$ ) d. in 2-methyl-THF. e.  $E_T$  = 1239.84/ $\lambda$  (in nm), f. It

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should be mentioned that two other bands at higher energies (at ca 407, 421 nm) were detected for **4-PhCz-SBF**, assigned through decay curves to fluorescence contributions, g. from the onset of the last band in cyclohexane, h.  $\lambda_{exc}$ =312 nm

The UV-vis absorption spectra of the two compounds recorded in cyclohexane are presented figure 4 (left). Both compounds present the same absorption band with a maximum at 309 nm (molar absorption coefficient is higher in the case of 4-PhCz-SBF) similar to the main absorption band of SBF and of 4-Ph-SBF. Hence, this band is due to transitions occurring on the SBF unit. For 4-Ph(OMe)<sub>3</sub>-SBF and 4-Ph-SBF, the contribution at 309 nm presents the same wavelength tail at lower energy, leading to an optical gap  $\Delta E^{opt}$  determined from the onset of the absorption band of 3.82 eV. This tail translates a certain degree of conjugation between the fluorene and its C4-substituent and it has been recently shown that it is possible to modify its intensity by the nature of the substituent borne by the fluorene. Indeed, with pyridine isomers attached (chart 1), this tail displays different intensities translating more or less longer  $\pi$ conjugated pathways. <sup>32</sup> In the present case, the intensity of the tail (and hence the  $\pi$ -conjugation between the phenyl and the fluorene) is almost identical between 4-Ph-SBF and 4-Ph(OMe)<sub>3</sub>-SBF clearly showing that the trimethoxy units have a very weak influence on the absorption spectrum. This conjugation effect cannot be explored in the case of 4-PhCz-SBF because of the presence of (i) a shoulder at 325 nm and of (ii) an additional band at 340 nm. The comparison of the spectrum of 4-PhCz-SBF and the sum of spectra of each unit 4-Ph-SBF and **N-PhCz** (figure 4, right) shows a significant difference in the domain 295-330 nm. Indeed, in that domain, 4-PhCz-SBF displays higher absorption molar coefficients than the sum of its building blocks. This feature indicates that the absorption of 4-PhCz-SBF in the 295-330 nm range is not only due to the sum of the absorption of 4-PhCz-SBF and N-PhCz but is also induced by the interactions between these two units. Thus, a charge transfer band between the N-

phenylcarbazole and phenyl-substituted SBF units is responsible of absorption in the 295-330 nm range leading to the shoulder at 325 nm and to the higher intensity of the band at 309 nm. Regarding the band at 340 nm, the difference between the spectrum of **4-PhCz-SBF** and the sum of the spectra of each constituted unit remains weak (figure 5, right). It should be noted that **4-Ph-SBF** has no absorption in this range whereas the absorption spectrum of **N-PhCz** (see SI) consists of three bands in the 290-350 nm range with maxima at 292, 327 and 339 nm. This comparison allows assigning for **4-PhCz-SBF** the band at 340 nm to the absorption of the *N*-phenylcarbazole fragment. The optical gap  $\Delta E^{opt}$  of **4-PhCz-SBF**, 3.58 eV, has been determined from the onset of the absorption band at 340 nm.



**Figure 4.** Absorption spectra of **4-Ph-SBF** (black), **4-PhCz-SBF** (red), **4-Ph(OMe)<sub>3</sub>-SBF** (blue) and the sum of the absorption spectra of **N-PhCz** and **4-Ph-SBF** (purple) in cyclohexane

In order to shed light on the origin of these bands, time-dependant density functional theory (TD-DFT) calculations have been performed with the 6-311+g(d,p) basis set using four different hybrid exchange-correlation functionals: B3LYP, PBE0, CAM-B3LYP and M06-2X. The B3LYP and PBE0 levels of theory give best matches in terms of wavelength range compared to CAM-B3LYP and M06-2X when looking at the band experimentally found at 309 nm (Table 2).

For all functionals, this band experimentally found at 309 nm is due to two transitions ( $\lambda_1$  and  $\lambda_2$ , Table 2)

**Table 2.** Calculated wavelengths corresponding to the band at 309 nm for **4-PhCz-SBF** and **4-Ph(OMe)<sub>3</sub>-SBF** using the four different hybrid exchange-correlation functionals: B3LYP, PBE0, CAM-B3LYP and M06-2X

|                             |                  | B3LYP | PBE0 | CAM-B3LYP | M06-2X |
|-----------------------------|------------------|-------|------|-----------|--------|
| 4-PhCz-SBF                  | $\lambda_1 (nm)$ | 304   | 295  | 267       | 268    |
|                             | $\lambda_2 (nm)$ | 293   | 284  | 265       | 263    |
| 4-Ph(OMe) <sub>3</sub> -SBF | $\lambda_1 (nm)$ | 299   | 289  | 268       | 267    |
|                             | $\lambda_2 (nm)$ | 297   | 286  | 265       | 264    |

However, the charge transfer transition, experimentally found at 325 nm, is not well modelized by the B3LYP and PBE0 functionals. Indeed, according to the TD-DFT calculations obtained with these functionals, the charge transfer transition is found at a higher wavelength than the transition localized on the phenylcarbazole unit (experimentally found at 340 nm). By contrast, CAM-B3LYP and M06-2X functionals modelize a charge transfer transition at a lower wavelength than the transition localized on the phenylcarbazole unit. This finding is in agreement with what we experimentally observed as previously discussed (*i.e.* a charge transfer shoulder at 325 nm and a band at 340 nm localized on the phenylcarbazole part). For this reason, TD-DFT diagram (figure 5) obtained by M06-2X level of theory is shown despite the fact that the calculated transitions are underestimated by 50 nm.



**Figure 5**. Representation of the energy levels and the main molecular orbitals involved in the electronic transitions of **4-PhCz-SBF** (left), **4-Ph(OMe)<sub>3</sub>-SBF** (middle) and **4-Ph-SBF** (right) obtained by TD-DFT M06-2X/6-311+G(d,p), shown with an isovalue of 0.04 [e bohr<sup>-3</sup>]<sup>1/2</sup>. For clarity purpose, only the main contribution of each transition is shown (see SI for details).

In the case of **4-Ph(OMe)<sub>3</sub>-SBF**, the HOMO is delocalized on the whole molecule (high coefficients on the SBF unit and weak coefficients on the C4 substituent). It is thus similar to the HOMO of **4-Ph-SBF** (delocalized on the SBF part, figure 5 right), with a small influence of the methoxy groups. The corresponding orbital delocalized on the SBF core in the case of **4-PhCz-SBF** is the H-1 orbital, since the HOMO is localized on the phenylcarbazole moiety. The LUMO of both compounds are delocalized on the C4 substituted fluorene unit and on the pendant phenyl ring, similarly to **4-Ph-SBF**. Likewise, the L+1 orbitals of the three compounds are delocalized on the non-substituted fluorene unit. A last orbital plays an important role in the case of **4-PhCz-SBF**, that is the L+2 orbital which is fully delocalized on the carbazole unit.

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The main absorption band of **4-Ph(OMe)<sub>3</sub>-SBF**, observed at 309 nm, has been attributed to two  $\pi$ - $\pi$ \* transitions, both from the HOMO (delocalized on the whole molecule) to the LUMO (delocalized on the phenyl-fluorene unit) and L+1 orbital (delocalized on the unsubstituted fluorene unit). **4-PhCz-SBF** displays the same band at 309 nm, which is due to the similar two  $\pi$ - $\pi$ \* transitions: both from the H-1 orbital (delocalized on the SBF core) to the LUMO (delocalized on the phenyl-fluorene unit) and to the L+1 orbital (delocalized on the unsubstituted fluorene unit). The attributions for both molecules are in perfect agreement with the previous observation of a band due to transitions occurring on the SBF unit.

The shoulder at 325 nm of **4-PhCz-SBF** is due to a transition from the HOMO (delocalized on the phenylcarbazole unit) to the LUMO (delocalized on the phenyl-fluorene unit) in accordance with the previous hypothesis stating a band with a significant charge transfer character.

The band at 340 nm of **4-PhCz-SBF** is due to a transition from the HOMO to the L+2 orbital, both localized on the phenylcarbazole unit, as expected by the presence of this band in the absorption spectrum of its constituted building block **N-PhCz**. The apparent paradox of a higher energy for the HOMO $\rightarrow$ LUMO transition than for HOMO $\rightarrow$ L+2 transition is due to an inversion of the energy levels of the locally excited state and the charge transfer excited state during the structural reorganizations of the excited states from the ground state geometry to the corresponding relaxed geometries (see details in SI).

The HOMO $\rightarrow$ LUMO transition induces the band at 309 nm in the case of **4-Ph(OMe)<sub>3</sub>-SBF** and the band at 325 nm in the case of **4-PhCz-SBF**. Finally, the optical gaps  $\Delta E^{opt}$  of **4-Ph(OMe)<sub>3</sub>-SBF** and **4-PhCz-SBF** respectively give us an evaluation of the difference between the HOMO/LUMO and HOMO/L+2 orbitals respectively since these transitions are responsible of the bands localized at low energy. Therefore the optical energy gap modulation between the two compounds is 0.23 eV larger than the modulation between the energy gap obtained from electrochemical measurements (0.10 eV), which involve HOMO and LUMO.



Figure 6. Normalized emission spectra of **4-Ph-SBF** (black,  $\lambda_{exc}$ =309 nm), **4-PhCz-SBF** ( $\lambda_{exc}$ =295 nm, red), **4-Ph(OMe)\_3-SBF** (blue,  $\lambda_{exc}$ =309 nm) and **N-PhCz** (pink,  $\lambda_{exc}$ =280 nm) recorded in cyclohexane, A( $\lambda_{exc}$ )<0.1

The fluorescence spectra of **4-PhCz-SBF**, **4-Ph(OMe)**<sub>3</sub>-**SBF** and **4-Ph-SBF** recorded in cyclohexane are presented figure 6 (left). First, the fluorescence spectrum of **4-Ph(OMe)**<sub>3</sub>-**SBF** is structureless and large ( $\lambda$ = 375 nm) and very similar to those previously reported for other 4-substituted SBFs.<sup>28-31, 35, 52, 53</sup> In addition, the fluorescence spectrum of **4-Ph(OMe)**<sub>3</sub>-**SBF** exacly displays the same shape than that of **4-Ph-SBF** but shifted by 17 nm ( $\lambda$ =359 nm). Thus, both molecules possess a very large Stokes shift, which is one of the characteristics of the uncommon fluorescence of 4-substituted SBFs previously reported but still not understood to date.<sup>28-31, 35, 52, 53</sup>We want to provide herein the beginning of an answer. We indeed believe that this large Stokes shift can be explained by the significant differences between the geometries of the ground (S0) and first singlet excited (S1) states observed for both **4-Ph(OMe)**<sub>3</sub>-SBF (Figure 7-left) and **4-Ph-SBF** (Figure 7-right) through theoretical calculations. In addition, one can note

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that the geometry difference between S0 and S1 is more pronounced for **4-Ph(OMe)**<sub>3</sub>-SBF than for **4-Ph-SBF**, clearly explaining the difference observed in term of Stokes shift. Furthermore, this low rigidity (allowing an important rearrangement at the excited state) could be the reason of the large and unresolved fluorescence spectra of these two molecules. Thus, we believe that the very unusual fluorescence of 4-subsituted SBFs finds its origin in this strong geometry difference between S0 and S1.



Figure 7. Superposition of the S0 (ground state) and S1 (first singlet excited state) molecular structures obtained by molecular modelling of 4-Ph(OMe)<sub>3</sub>-SBF (left, S0: sky blue, S1: green),
4-PhCz-SBF (middle, S0: pink, S1: orange) and 4-Ph-SBF (right, S0: grey, S1: black)

**4-PhCz-SBF** appears as a unique example in the 4-substituted SBFs family reported to date in the literature. Indeed, **4-PhCz-SBF** presents a well resolved emission spectrum with maxima at 345, 361 and a shoulder at 380 nm. The domain of emission wavelengths is similar to that of **4-Ph-SBF** (Figure 6-right). The structured shape is very similar to that of its constituted building block **N-PhCz** (which emits at 341 nm with a fine vibronic structure at 357 nm and a shoulder

around 375 nm, figure 6-right) but the ratio between the bands is different (1/1 for **4-PhCz-SBF** and 3/2 for **N-PhCz**). In addition, the small Stokes-Shift of 5 nm, calculated from the difference between the lowest energy absorption band (340 nm) and the highest energy emission band (345 nm), is perfectly explained by the similar geometries of S0 and S1 (figure 7-middle). This is a significant difference with **4-Ph(OMe)<sub>3</sub>-SBF**, **4-Ph-SBF** and all the 4-substituted SBF reported to date, which explained the different emission spectra of these molecules. Thus, the presence of the pendant carbazole in **4-PhCz-SBF** has hence a key role in the peculiar fluorescence of **4-PhCz-SBF**, by avoiding strong molecular rearrangements between S0 and S1. Indeed, **4-PhCz-SBF** seems to have a high rigidity preventing reorganization at the excited state and this high rigidity could also explain the highly structured fluorescence spectrum compared to the other 4-substituted SBFs and notably **4-Ph(OMe)<sub>3</sub>-SBF** and **4-Ph-SBF** presented above. This is to the best of our knowledge the first rationalization of the peculiar fluorescence of this family of dyes.

The quantum yield  $\phi$  of **4-Ph(OMe)\_3-SBF** was calculated in solution at 60% and that of **4-PhCz-SBF** at 54% (reference : quinine sulphate). Both quantum yields are higher than those of their constituted units ( $\phi_{4-Ph-SBF}$ =40%,  $\phi_{N-PhCz}$ =34% and  $\phi_{Ph(OMe)3}$ <1%). It shows the strong impact of the SBF core on the fluorescence quantum yield, especially in the case of **4-Ph(OMe)\_3-SBF**.



**Figure 8.** Left: Fluorescence decay curves of **4-PhCz-SBF** (red line) and **4-Ph(OMe)<sub>3</sub>-SBF** (blue line) in cyclohexane ( $\lambda_{exc}$ = 300 nm,  $\lambda_{em}$ = 375 nm), right: solid state emission of **4-PhCz-SBF** (red line,  $\lambda_{exc}$ = 280 nm) and **4-Ph(OMe)<sub>3</sub>-SBF** (blue line,  $\lambda_{exc}$ = 280 nm).

The fluorescence decays were recorded in cyclohexane and successfully fitted by single exponentials (figure 8-left) indicating a unique emission from the excited state  $S_1$  to  $S_0$ . The lifetime of **4-PhCz-SBF**, 4.0 ns, is close to that reported for **SBF** and **4-Ph-SBF** (4.6 and 4.2 ns, *resp.*<sup>28</sup>) and shorter than that reported for **N-PhCz** (6.44 or 6.3 ns).<sup>54, 55</sup> The lifetime of **4-Ph(OMe)**<sub>3</sub>-**SBF** is shorter (2.8 ns) than those exposed above. For both **4-Ph(OMe)**<sub>3</sub>-**SBF** and **4-PhCz-SBF**, the non-radiative rate constant ( $k_{nr}$ ) was calculated at 1.4/1.2×10<sup>8</sup> s<sup>-1</sup> resp., similar to those of **SBF** or **4-Ph-SBF** (1.3 or  $1.4 \times 10^8$  s<sup>-1</sup>). This shows that the substitution of the phenyl unit by three methoxy groups or by a carbazole unit does not allow additional non-radiative pathways of the excited state deactivation process. The radiative rate constant ( $k_r$ ) of **4-Ph(OMe)**<sub>3</sub>-**SBF** is however twice that of **4-PhCz-SBF** (2.1 vs  $1.2 \times 10^8$  s<sup>-1</sup>) and hence at the origin of the higher quantum yield of the former.

The solid state emission of **4-Ph(OMe)<sub>3</sub>-SBF** ( $\lambda$ max= 378 nm) is very similar to that of its solution spectrum with only a slight red shift of 3 nm (figure 8 right). Contrariwise, the emission spectrum of **4-PhCz-SBF** losses its resolution and appears red-shifted by ca 33 nm compare to

its solution spectrum. This may indicate strong interactions in the solid state, which can be attributed to the phenyl-carbazole fragment in accordance with the short C/C distances observed in the crystal packing (see above). The triplet energies  $(E_T)$  were determined from the emission spectra at 77 K in 2-Me-THF (Figure 9-top). Both 4-PhCz-SBF and 4-Ph(OMe)<sub>3</sub>-SBF display a similar emission spectrum with a first phosphorescence band recorded at 442 and 437 nm leading to E<sub>T</sub> of 2.80 eV and 2.84 eV respectively. The E<sub>T</sub> of both 4-Ph(OMe)<sub>3</sub>-SBF and 4-PhCz-SBF are very similar to those of **SBF** and **4-Ph-SBF** (2.87 and 2.77 eV *resp.*).<sup>28</sup> This is due to a similar localization of the triplet exciton, delocalized on the substituted fluorene core with a weak contribution of the pendant 

phenyl ring (Figure 9-bottom). This is very different to the HOMO and LUMO distribution, in which the pendant phenyl ring has a significant contribution for both molecules (Figure 5). This finding could be very useful to further tune the singlet and triplet energies of 4-substituted SBFs. Phosphorescence decay was measured and the lifetime of the T1 state of **4-Ph(OMe)<sub>3</sub>-SBF** and **4-PhCz-SBF** was found to be very long, 4.6 and 4 s, respectively. Finally, both **4-Ph(OMe)<sub>3</sub>-SBF** and **5BF** and **4-PhCz-SBF** possess an  $E_T$  higher than that of the blue phosphor FIrpic ( $E_T$ : 2.62 eV)<sup>56</sup> being hence perfectly adapted to host it in optoelectronic devices.



Figure 9. Top: Emission spectra at 77 K in 2-Me-THF of 4-PhCz-SBF (red line) and 4-Ph(OMe)<sub>3</sub>-SBF (blue line); bottom: Spin density distributions at the T1 state for 4-PhCz-SBF, 4-Ph(OMe)<sub>3</sub>-SBF and 4-Ph-SBF (isovalue of 0.004)

Green (dopant Ir(ppy)<sub>3</sub>: tris[2-phenylpyridinato- $C^2$ ,*N*]iridium(III)) and sky blue (dopant FIrpic: bis[2-(4,6-difluorophenyl)pyridinato- $C^2$ ,*N*](picolinato)iridium(III)) PhOLEDs with **4-PhCz-SBF** or **4-Ph(OMe)<sub>3</sub>-SBF** as the host were fabricated, characterised and their performances compared to that of other PhOLEDs using different 4-substituted-SBFs as host. The device architecture is given in the experimental part. In order to accurately compare the efficiency of the hosts in the device, we deliberately use the same PhOLED structure as that used in previous works.<sup>28, 32, 40</sup> It should be also mentioned that the devices are fabricated without light extraction enhancement system and the phosphorescent emitters are not particularly oriented. We believe that a device optimisation with these specific hosts will lead to even further higher efficiencies.

Table 3. Green (Ir(ppy)<sub>3</sub>) and blue (FIrpic) devices performances

|                            | 4-Ph(OMe) <sub>3</sub> -SBF |             | 4-PhCz-SBF               |             |
|----------------------------|-----------------------------|-------------|--------------------------|-------------|
| dopant                     | Ir(ppy) <sub>3</sub> 10%    | FIrpic 20%  | Ir(ppy) <sub>3</sub> 10% | FIrpic 17%  |
| Von @L=1                   | 4.3                         | 4.2         | 3.7                      | 3.75        |
| CE (@J=1)                  | 56.4                        | 22.5        | 51.4                     | 17.6        |
| CE (@J=10)                 | 43.3                        | 19.7        | 44.7                     | 16.5        |
| CE <sub>max</sub> (@ J=x)  | 78.0 (0.03)                 | 24.2 (2.66) | 67.9 (0.04)              | 18.0 (1.8)  |
| PE (@J=1)                  | 27.0                        | 12.2        | 26.6                     | 10.1        |
| PE (@J=10)                 | 16.6                        | 8.2         | 17.9                     | 7.5         |
| $PE_{max}(@J=x)$           | 48.1 (0.03)                 | 13.9 (0.34) | 45.4 (0.04)              | 11.0 (0.14) |
| EQE (@J=1)                 | 14.6                        | 8.9         | 13.2                     | 6.5         |
| EQE (@J=10)                | 11.2                        | 7.8         | 11.5                     | 6.1         |
| EQE <sub>max</sub> (@ J=x) | 20.2 (0.03)                 | 9.6 (2.66)  | 17.5 (0.04)              | 6.7 (1.8)   |

Von (V); CE (cd/A); PE (lm/W); EQE (%); L (cd/m<sup>2</sup>); J (mA/cm<sup>2</sup>)

Green PhOLEDs (figure 10 and SI) with **4-PhCz-SBF** as the host present a high maximum External Quantum Efficiencies (EQE) reaching 17.5%. The corresponding Current Efficiency (CE) and Power Efficiency (PE) are recorded at 67.9 cd/A and 45.4 lm/W for **4-PhCz-SBF** (figure 10). Device based on **4-Ph(OMe)<sub>3</sub>-SBF** displays higher performance in identical experimental conditions with a very high EQE of 20.2% and corresponding CE of 78.0 cd/A and PE of 48.1 lm/W for **4-Ph(OMe)<sub>3</sub>-SBF** (figure 10). One can note that the turn-on voltage Von (defined as the driving voltage to achieve the brightness of 1 cd/m<sup>2</sup>) of **4-Ph(OMe)<sub>3</sub>-SBF** (4.3V) is higher than that of **4-PhCz-SBF** (3.7 V), translating a better charges injection in the former in accordance with the different energy gap of the molecules (Table 1). Finally, the electroluminescent spectra of both devices exclusively display the emission of the phosphor Ir(ppy)<sub>3</sub> in accordance with the emission of the pure Ir(ppy)<sub>3</sub>.<sup>57</sup>



**Figure 10.** Left: Normalized electroluminescent spectrum at 10 mA/cm<sup>2</sup> of the green devices using **4-PhCz-SBF** (red) or **4-Ph(OMe)<sub>3</sub>-SBF** (blue) as host doped with  $Ir(ppy)_3$  (10% in mass) as emitting layer; right: Current efficiency (CE, Cd/A, ×) and power efficiency (PE, Im/W, +) versus current density of the green devices using **4-PhCz-SBF** (red) or **4-Ph(OMe)<sub>3</sub>-SBF** (blue) as host doped with  $Ir(ppy)_3$  (10% in mass) as emitting layer.

Sky Blue PhOLEDs were also fabricated and characterized (figure 11 and SI). With **4-PhCz-SBF** as the host, the maximum EQE reaches 6.7% with corresponding CE and PE of 18.0 cd/A and 9.8 lm/W. As observed above for green devices, PhOLEDs based on **4-Ph(OMe)<sub>3</sub>-SBF** display even higher performances with a maximum EQE as high as 9.6% with corresponding CE and PE of 24.2 cd/A and 12.0 lm/W. Similar conclusion than those exposed above can be drawn for Von. The EL spectra display exclusively the emission of the blue dopant at 474/498 nm for **4-Ph(OMe)<sub>3</sub>-SBF** and 475/500 nm for **4-PhCz-SBF** in accordance with photoluminescence of pure FIrpic film (475/500 nm).<sup>56</sup> The performance of **4-Ph(OMe)<sub>3</sub>-SBF** is hence higher than those previously reported for 4-substituted SBF incorporating electron poor fragments.<sup>33,32</sup> The hole carrier mobility of **4-PhCz-SBF** and **4-Ph(OMe)<sub>3</sub>-SBF** have been finally determined by Space Charge Limited Current (SCLC) measurements. Thus, the hole mobility of **4-PhCz-SBF** and **4-Ph(OMe)<sub>3</sub>-SBF** extracted from the Mott-Gurney law (see SI) is about 4.1×10<sup>-6</sup> and

 $1.1 \times 10^{-5}$  cm<sup>2</sup>/V.s respectively. The hole mobility of **4-Ph(OMe)<sub>3</sub>-SBF** appears hence significantly higher than that of **4-PhCz-SBF**. This feature can be one of those involved in the higher PhOLEDs performance observed for **4-Ph(OMe)<sub>3</sub>-SBF** compared to **4-PhCz-SBF**.



**Figure 11.** Left: Normalized electroluminescent spectrum at 10 mA/cm<sup>2</sup> of the blue devices using **4-PhCz-SBF** (red) or **4-Ph(OMe)<sub>3</sub>-SBF** (blue) as host doped with FIrpic (17-20% in mass) as emitting layer; right: Current efficiency (CE, Cd/A,  $\times$ ) and power efficiency (PE, Im/W, +) versus current density of the green devices using **4-PhCz-SBF** (red) or **4-Ph(OMe)<sub>3</sub>-SBF** (blue) as host doped with FIrpic (17-20% in mass) as emitting layer

The performances of **4-Ph(OMe)**<sub>3</sub>-**SBF** appear promising for a non-bipolar molecule. Indeed, the high performances are often obtained with molecules incorporating both electron-donating and electron-withdrawing fragments. Herein, with only an electron-donating unit, the corresponding devices display high performance, which could be surely further increased by device engineering or incorporation of an acceptor unit to decrease the LUMO energy level.

#### 4. CONCLUSIONS

 In summary, this work reports the first examples of electron-rich 4-substituted-SBFs, **4-PhCz-SBF** and **4-Ph(OMe)<sub>3</sub>-SBF**, for green/blue PhOLED applications. Thanks to a detailed

structure-properties relationship study, this work shows that the incorporation of electron-rich moieties in C4 of the SBF core induces unique properties, very different to those previously reported for this family of molecules. Thus, the electronic properties of these dyes can be modulated not only by the nature of the fragment borne by the SBF but also by the angle formed between this fragment and the SBF moiety. Of particular interest, the structured emission of 4-PhCz-SBF with a short Stokes shift appears to be unique in the 4-substituted SBFs family. The very similar geometry of its ground and first excited state appears herein as the first step in the understanding of the peculiar fluorescence of 4-substituted SBFs. Due to their high  $E_T$  and high HOMO energy levels, both 4-PhCz-SBF and 4-Ph(OMe)<sub>3</sub>-SBF have been successfully incorporated as host in green and blue PhOLEDs with high performance (EQE of 20.2% and 9.6% respectively) and low threshold voltages. These performances are, to the best of our knowledge, among the highest reported to date for 4-substituted SBF derivatives, highlighting the strong potential of 4-Ph(OMe)<sub>3</sub>-SBF (and more generally of the global approach) as host in blue PhOLEDs. Bipolar hosts constructed on a similar design are currently developed in our laboratories.

## ASSOCIATED CONTENT

Supporting Information: Details on material and methods, thermal properties, electrochemical properties, structural properties, photophysical properties, molecular modelling, device fabrication and characterization, copy of NMR spectra.

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## **Author Contributions**

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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