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# Effect of NaBH<sub>4</sub> on properties of nanoscale zero-valent iron and its catalytic activity for reduction of *p*-nitrophenol

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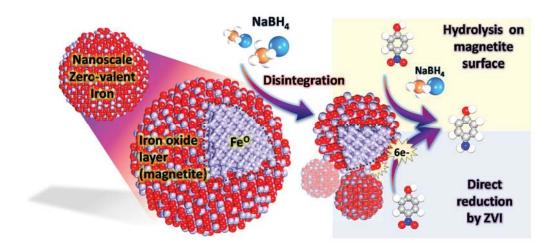
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#### **Graphical abstract**



#### **Highlights**

- ➤ NaBH<sub>4</sub> disintegrated NZVI to much smaller sized NZVI.
- NZVI/NaBH<sub>4</sub> system showed a remarkable catalytic reduction of p-NP.
- A complete reduction of p-NP was observed during four recycling tests.
- NaBH<sub>4</sub> can prevent the oxidation of NZVI during the catalytic reduction process. 34
- ➤ DFT showed magnetite on NZVI also played an important role for p-NP reduction.

#### **Abstract**

The reduction of p-Nitrophenol (p-NP) to p-Aminophenol (p-AP) by nanoscale zero-valent iron 38 (NZVI)/NaBH<sub>4</sub> system in an oxygen environment was studied by means of liquid 39 chromatography, spectroscopy (vibration and X-ray photoelectron), solid analyses 40 (transmission electron microscopy and X-ray diffraction) and density functional theory (DFT) 41 calculations. Addition of NaBH<sub>4</sub> into NZVI suspension showed the disintegration of NZVI(60- 42 100 nm), resulting in the formation of much smaller particles (15-40 nm) due to the chemical

etching of outermost surfaces (i.e., magnetite). Interestingly, complete reduction of p-NP and high conversion efficiency of p-AP (> 98%) were observed in NZVI/NaBH<sub>4</sub> system even after four recycling which is quite comparable with widely used noble metallic catalysts. Surface 46 analysis confirmed that NaBH<sub>4</sub> can prevent the oxidation of NZVI surface, leading to the 47 continuous reduction of p-NP in oxygen environments. Experimental results and DFT 48 calculations suggested that not only the formation of smaller NZVI but also thermodynamic 49 preferences for reduction of p-NP on outermost surfaces of NZVI (i.e. magnetite) may significantly affect the reduction process of p-NP in NZVI/NaBH<sub>4</sub> system. These novel findings 51 can promote the development of new NZVI technologies which can be used for wastewater 52 reductive treatment in oxygen environments.

#### **Keywords**

Nanoscale zero-valent iron; NaBH<sub>4</sub>; p-Nitrophenol; oxygen environment; density functional 56 theory

#### 1. Introduction

*p*-Nitropheonol (p-NP) contamination of surface and groundwater has gradually increased due 63 to the excessive consumption of dyes, pesticides, and pharmaceuticals from industrial and 64 agricultural activities [1]. Because it has been considered as one of the toxic organic pollutants 65 responsible for eye and skin irritation and methemoglobinemia potentially causing cyanosis, confusion, and unconsciousness [1,2], United States Environmental Protection Agency set a 67 guideline restricting the contaminant level of p-NP to below 10 ng/L in natural water [3]. There

is therefore an extensive effort to effectively treat the wastewater contaminated by p-NP. 69 Among many treatment technologies, catalytic reduction of p-NP to *p*-Aminophenol (p-AP) in 70 the presence of sodium borohydride (NaBH<sub>4</sub>) has been intensively investigated [4-11]. This 71 catalytic reaction has become one of the most widely used model reactions due to the easy 72 measurement of both p-NP and p-AP by UV-vis spectroscopy and the complete conversion 73 without production of by-products [12]. Furthermore, the conversion of p-NP to p-AP has great 74 commercial relevance because p-AP is an important intermediate for the manufacture of 75 analgesic/antipyretic drugs, paracetamol, acetanilide, and aniline [13,14].

Since Pradhan et al. have reported the catalytic reduction of p-NP by Ag nanoparticle [15], various noble metallic nanoparticles (i.e., Au, Pt, and Ag) were produced by chemical and 78 biological synthesis [4,5]. These noble metals were usually immobilized on other supports (i.e., 79 carbon materials, polymers, and metal oxides) [5-10] for the effective, stable, and recyclable 80 catalysis for p-NP reduction. However, most noble metals are extremely expensive (despite of 81 its usage in low concentration) and involve potential loss of the noble metals during recycling 82 process. In addition, most synthesis methods of catalysts are usually very complicated, time- 83 consuming, and labored processes. Therefore, it is timely to develop a novel reduction process 84 of p-NP to p-AP, which could be cheap, environmental-friendly, and readily available for all.

Herein, a simple method for conversion of p-NP to p-AP by using NZVI and NaBH<sub>4</sub> was developed. In the past two decades, NZVI has attracted a great attention as a promising reactant 87 for reductive removal of various environmental contaminants in agricultural and industrial 88 wastewaters [16-22]. Although NZVI has shown a remarkable reduction potential in laboratory 89 and field studies, most processes performed in anoxic environments due to the rapid surface 90 oxidation of NZVI to iron oxides by oxygen. Furthermore, passivation of NZVI surface is also 91 generally observed during the reduction of contaminants even in anoxic environments [18,20].

Therefore, the surface passivation is one of the urgent problems to be overcome for wide application of NZVI to other oxygen environments.

In this work, a systematic study was performed to investigate the reduction kinetic of p-NP by NZVI with NaBH<sub>4</sub> in oxygen environments and its possibility to be repeatedly used in 96 recycling tests. The impact of NaBH<sub>4</sub> on NZVI reactivity was evaluated by using a variety of 97 surface analysis techniques, density functional theory (DFT) calculations, and 98 spectrophotometric and chromatographic measurements. The driving mechanism of NaBH<sub>4</sub>- 99 enhanced reductive ability of NZVI was discussed. We note that, to the best of our knowledge,

this is the first study elucidating the effect of NaBH<sub>4</sub> on pure NZVI in oxygen environments for simultaneous contaminant degradation with production of useful organic resource.

#### 2. Experimental and theoretical methods

#### 2.1. Chemicals

All chemicals and solvents used in the experiment were of guaranteed analytical grade.

#### 2.2. Synthesis of NZVI and iron minerals

NZVI was synthesized by reducing FeCl<sub>3</sub>·6H<sub>2</sub>O (0.11 M) with NaBH<sub>4</sub> solution (0.9 M) in 109 anaerobic chamber (JACOMEX), modifying our previous method [18]. The precipitates in the 110 suspension were washed three times with deaerated deionized water (DDW), prepared using 111 ultra-pure water (18M $\Omega$ ·cm) purged with N<sub>2</sub> for 4 h, by centrifuging for 5 min at 4000 rpm. 112 NZVI was dried and stored in the anaerobic chamber. Other iron minerals were synthesized and characterized in the context of previous works (goethite ( $\alpha$ -Fe<sup>III</sup>OOH) [23], magnetite

 $(Fe^{II}_{1}^{III}_{2}O_{4})$  [24] and hematite  $(\alpha$ - $Fe^{III}_{2}O_{3})$  [24]). Maghemite was purchased from Sigma-Aldrich  $(\gamma$ - $Fe^{III}_{2}O_{3})$ .

#### 2.3. Reduction of p-NP

NZVI stock suspension (105 mg/L, 1.95 mM) containing in 100 mM NaBH<sub>4</sub> was prepared in 119 anaerobic chamber and taken out from anaerobic chamber for reduction experiment in oxygen 120 environment. For the p-NP reduction, 2.6 mL of NaBH<sub>4</sub> (50 mM) was added into a quartz 121 cuvette, then 0.1 mL of NZVI stock was added into the cuvette. The aqueous NZVI suspension 122 was sonicated for 3 sec and 0.3 mL of p-NP (1 mM) was transferred into the cuvette to initiate 123 the reduction of p-NP. Total volume of reaction mixture was 3 mL with initial concentrations 124 of 3.5 mg/L NZVI(0.065 mM) and 0.1 mM p-NP. The change in p-NP concentration was 125 monitored at 400 nm wavelength by UV-vis spectrophotometer (CARY 50 probe, Varian). 126 Control test using NZVI (0.065 mM) without NaBH<sub>4</sub> showed no reduction of p-NP in oxygen 127 environment (data not shown). For the recycling test, the used NZVI was magnetically collected 128 at the bottom of the cuvette by removing the aqueous solution. Then, collected NZVI was 129 washed with fresh NaBH<sub>4</sub> solution (100 mM) twice by magnetic separation as described above. 130 Finally, 2.7 mL of NaBH<sub>4</sub> (50 mM) and 0.3 mL of p-NP (1 mM) were added into a quartz 131 cuvette for recycling test. To investigate the reduction of p-NP by other iron minerals, we 132 prepared the stock suspensions by mixing with NaBH<sub>4</sub> (100 mM) in anaerobic chamber. The 133 reduction of p-NP was performed using 10 mg/L iron minerals, 50 mM NaBH<sub>4</sub>, and 0.1 mM p- 134 NP in an oxygen environment.

#### 2.4. HPLC and Fe measurement

The concentration of p-NP and p-AP in aqueous solution was measured by high performance 138 liquid chromatography (HPLC) (Waters) equipped with a C18 packed column (Waters) and UV 139 detector. After finishing each reduction experiment, the aqueous sample (around 2.7 mL) was 140 collected from the cuvette and 1.7 mL of sample was filtered by 0.2-µm membrane filter for 141 HPLC and Fe measurement. Mobile phase was a mixture of 50% deionized water (DIW), 50%

acetonitrile, and 1% CH<sub>3</sub>COOH. The p-NP and p-AP were measured at a flow rate of 1.0 mL min<sup>-1</sup> at wavelengths of 317 nm and 273 nm, respectively.

Fe loss during the reduction of p-NP and magnetic separation was measured by Ferrozine method using a UV-vis spectrophotometer (UV-1205, SHIMADZU) at the wavelength of 562 146 nm [25]. The membrane-filtered sample was used for measurement of dissolved Fe and 1 mL 147 of aqueous solution remained from magnetic separation was transferred to 1 mL of 6 M HCl for measurement of total Fe by adding 10% hydroxylamine solution [26]. No significant amount 149 of Fe was detected in membrane-filtered sample in most cases, indicating that dissolved Fe 150 during the reduction of p-NP can be negligible in this study due to the high pH (>10) of NaBH<sub>4</sub> 151 solution.

#### 2.5. Surface characterization

The changes in particle morphology of NZVI were identified by transmission electron 155 microscope (TEM, JEM-2100, JEOL). We prepared a variety of NZVI particles; 1) initial NZVI 156 (oxygen free environment), 2) reacted with NaBH<sub>4</sub> (100 mM, oxygen free environment), 3) 157 after the reduction of p-NP with NaBH<sub>4</sub> (five recycling, oxygen environment), and 4) without 158 NaBH<sub>4</sub> (one reaction, oxygen environment). After the reaction, the samples were transferred to 159 anaerobic chamber and washed by deaerated ethanol twice. We put one droplet of the diluted 160 suspension on Cu TEM grids and analyzed the samples by TEM at an acceleration voltage of 161 200 kV. X-ray photoelectron spectroscopy (XPS) was conducted to identify the redox states of 162 Fe on NZVI surface using the samples prepared for TEM. The samples were dried after the 163 reactions in anaerobic chamber for 24 h and carefully packed on XPS sampling template. Then, 164 they were transferred to the vials containing deaerated ethanol to avoid the oxidation of NZVI 165 during the installation of XPS template. XPS analysis was carried out using a Sigma Probe 166 (Thermo) with a Al kα X-ray (1486.7 eV). Surface charging effects were corrected with C 1s

peak at 285 eV as a reference. We used Shirley baseline and a Gaussian-Lorentzian peak shape 168 for fitting the data. NZVI with/without NaBH<sub>4</sub> were also identified by X-ray diffraction (XRD) 169 (D8, BRUKER). The NZVI suspensions prepared in ethanol were used for XRD analysis. The 170 suspension were transferred to XRD holder and dried for 2 h in anaerobic chamber. Then, the 171 dried samples were treated with 1:1 (v:v) glycerol solution to avoid the oxidation of Fe(0) 172 during the analysis of XRD [20]. Attenuated total reflectance-Fourier transform infrared (ATR- 173 FTIR) spectra were recorded between 4000 and 650 cm<sup>-1</sup> on a Nicolet iS50 FT-IR spectrometer 174 system (Thermo Scientific Inc.) equipped with a MCT/A detector cooled with liquid N<sub>2</sub>. A nine- 175 reflection diamond ATR accessory was used for acquiring spectra of the samples. One droplet 176 of p-NP (10 mM) was first put onto the diamond ATR crystal, and then followed by adding one 177 droplet of NZVI suspension (10 g/L) prepared in NaBH<sub>4</sub> (100 mM). ATR-FTIR spectra were 178 recorded at room temperature at a 4 cm<sup>-1</sup> resolution by averaging 100 scans.

#### 2.6. Computational details

We performed DFT calculations to understand the reduction pathway of p-NP using the Vienna 182 Ab-initio Software Package (VASP) program [27] with the choice of Perdew-Burke-Emzerhof 183 (PBE) exchange-correlation functional [28]. According to the results obtained from our 184 experiments and other references [29], the outer shell of NZVI seemed to be covered by iron 185 oxides especially magnetite form. We thus used a slab model of magnetite that is a  $(4 \times 4)$  Fe<sub>3</sub>O<sub>4</sub> 186 (111) surface where bottom 1/3 layer is fixed at a lattice point to assume it as the bulk, whereas 187 the upper layers were allowed to be relaxed (Fig. S1). To avoid the interaction between slab 188 models over the periodic boundary cell, we included a vacuum slab of  $\sim$  20A along the c- 189 direction of our simulation cell. Due to the limited computational cost as well as the large 190 enough simulation cell of 12 Å  $\times$  12 Å  $\times$  25 Å, only Gamma point is sampled in the reciprocal 191 space, and an energy cutoff of 450 eV is used for the plane wave basis set.

#### 3. Results and discussion

#### 3.1. Effect of NaBH<sub>4</sub> on NZVI properties.

In the absence of NaBH<sub>4</sub>, TEM images of NZVI showed chain-like aggregates consisting of 196 spherical shape of particles (60-100 nm) (Fig. 1a). Each individual particle was covered by 197 2.5–3.5 nm of iron oxide shell (Fig. 1b). It should be noted that the NZVI particles became 198 much smaller (15-40 nm) by addition of NaBH<sub>4</sub> (100 mM) (Fig. 1c), but kept the same 199 morphology (i.e., spherical shape of particles with 2.5–3.5 nm of iron oxide shell) (Fig. 1d). 200 The formation of smaller nanoparticles by NaBH<sub>4</sub> could be caused by oxidative dissolution of 201 NZVI or by chemical etching reaction on iron oxide layer. It is well-known that adsorption of 202 borohydride on the particle surface can negatively shift in the redox potential of metallic 203 nanoparticle, which can proceed oxidative dissolution of metals due to high susceptibility 204 toward oxidation by oxygen [30]. Then the dissolved metal ions can be also reduced by NaBH<sub>4</sub> 205 to form new nanoparticles once the oxygen level falls below a critical value, as previously 206 observed by silver nanoparticle [30]. In the present work, the formation of smaller nanoparticles 207 upon NaBH<sub>4</sub> addition was, however, observed in oxygen-free environment, ruling out the role 208 of oxidative dissolution. Consequently, we rather suspect that chemical etching reaction may 209 occur at magnetite surfaces which can lead to the disintegration of microsized magnetite into 210 nanosized magnetite following further by a magnetism-induced self-assembly of magnetite 211 [31]. This mechanism seems to happen here, as magnetite is supposed to be present in the 212 oxidized layer of NZVI. After forming of smaller NZVI particles by addition of NaBH<sub>4</sub> (Fig. 213 S2a and 2b), the particles are aggregated each other again, resulting in formation of flower-like 214 aggregates (Fig. S2c). In addition, XRD data of initial NZVI particles (Fig. 1e) revealed the 215 presence of peaks of α-Fe (44.6° 2θ), magnetite (57.0° 2θ), and broad peak of iron oxides 216 (hematite (33.5° 2θ) and magnetite (35.3° 2θ)). Interestingly, the broad peak of iron oxides

continuously decreased as the concentration of NaBH<sub>4</sub> increased from 1 to 100 mM (Fig. 1e), 218 indicating that characteristic of  $\alpha$ -Fe seems to be stronger at higher NaBH<sub>4</sub> concentration due 219 probably to the formation of many smaller NZVI particles as shown in TEM images, or the 220 reduction of oxide coatings to  $\alpha$ -Fe by NaBH<sub>4</sub>. XPS analysis was conducted to confirm the 221 reduction of NZVI surfaces by addition of NaBH<sub>4</sub> (Fig. 2). The XPS spectra for Fe(2p<sub>3/2</sub>) were 222 composed of four different peaks at 706.4–706.6, 709.2–709.7, 710.9–711.5, and 712.7–713.1 223 eV which were assigned to the binding energies for Fe<sup>0</sup> (706.4 eV), Fe<sup>2+</sup>-O (709–709.5 eV), 224 and Fe<sup>3</sup> +-O (711–714 eV), respectively [20]. The proportion of Fe<sup>3+</sup> on the NZVI surface 225 decreased from 68.1 to 57.4% upon NaBH<sub>4</sub> addition, while increasing in the proportion of Fe<sup>2+</sup> 226 (26.9  $\rightarrow$  32.0%) and Fe<sup>0</sup> (4.9  $\rightarrow$  10.5%) was observed (Fig. 2a and b). We also observed the 227 presence of two peaks at 187.9 and 191.7 eV (inset in Fig. 2b), which could be assigned to B in

Fe<sup>0</sup>-B and BO<sub>2</sub><sup>-</sup>, respectively [31]. The results from TEM, XRD, and XPS confirmed that NaBH<sub>4</sub> can effectively interact with NZVI leading to the disintegration of NZVI into much smaller particles and reduction of outermost surfaces to Fe<sup>2+</sup> and/or Fe<sup>0</sup>-B.

#### 3.2. Reduction of p-NP by NZVI with NaBH<sub>4</sub>

The concentration of NaBH<sub>4</sub> (1-100 mM) used in this study was not able to reduce p-NP. On 234 the contrary, addition of p-NP into NZVI-NaBH<sub>4</sub> suspension showed the color change from 235 yellow to colorless in 30 min (Fig. 3a), indicating a complete reduction of p-NP. Fig. S3 shows 236 UV-vis spectra during the reduction. The initial absorption peak of p-NP is shifted from 317 to 237 400 nm after addition of NaBH<sub>4</sub> (pH = 10.4) due to the formation of *p*-nitrophenolate ions [10]. 238 The continuous decrease in absorption peak of the ionized p-NP at 400 nm was observed with 239 formation of new peak at 300 nm, which is known to be p-AP [14,32]. We noticed fluctuation 240 of the baseline (Fig. S3) due to H<sub>2</sub> production from the NaBH<sub>4</sub> solution (BH<sub>3</sub> + 3H<sub>2</sub>O  $\rightarrow$  H<sub>3</sub>BO<sub>2</sub>

+ 3H<sub>2</sub>) and its enhanced production by catalyst (i.e., nanoscale zero-valent iron). Indeed, a 242 vigorous production of H<sub>2</sub> bubbles was observed during the reaction. However, a complete 243 removal of p-NP was clearly observed at an identical baseline (Fig. 3b) and HPLC analysis 244 confirmed the 98% of p-NP removal with 97% of p-AP production after 30 min (Fig. 3c, inset). 245 The decrease in absorbance at 400 nm (Fig. 3c) was also observed due to the initial mixing of 246 p-NP in NZVI suspension. Because the complete reduction of p-NP to p-AP needs six electrons 247 from NZVI (HOC<sub>6</sub>H<sub>4</sub>NO<sub>2</sub> + 6e<sup>-</sup> + 6H<sup>+</sup>  $\rightarrow$  HOC<sub>6</sub>H<sub>4</sub>NH<sub>2</sub> + 2H<sub>2</sub>O), 0.1 mM p-NP cannot be 248 completely reduced by 0.065 mM NZVI. Indeed, 2.8 times higher NZVI concentration (0.18 249 mM) without NaBH<sub>4</sub> was able to reduce only 0.01 mM p-NP in O<sub>2</sub>-free environment (Fig. S4). 250 The results suggest that addition of NaBH<sub>4</sub> into NZVI suspension can overwhelm theoretical 251 reduction capacity of NZVI toward p-NP. The reduction of p-NP by NZVI was able to be 252 described by pseudo-first-order kinetic model ( $R^2 = 0.98$ ), as previously reported for other 253 metallic noble catalysts (Fig. 3c) [9,10,32]. The rate constants with NaBH<sub>4</sub> in an oxygen 254 environment ( $k_{obs-p-NP} = 3.1 \times 10^{-1} \text{ min}^{-1}$ ) were approximately three orders of magnitude higher 255 than that without NaBH<sub>4</sub> in an oxygen free environment ( $k_{obs-p-NP} = 7.1 \times 10^{-4} \text{ min}^{-1}$ ), indicating 256 that addition of NaBH<sub>4</sub> can significantly enhance the reduction rate of p-NP to p-AP by NZVI 257 even in oxygen environments.

Interaction of target molecules with NZVI surfaces is a prerequisite to induce electron 259 transfer, leading to reductive transformation of the sorbed molecules. To investigate the surface 260 mediated reactions, *in-situ* ATR-FTIR analysis was carried out by recording the spectra of 261 NZVI reacted with NaBH<sub>4</sub> and p-NP (Fig. 4). It is note-worthy that NO<sub>2</sub> stretch band at 1114 262 cm<sup>-1</sup>, CH/OH bend at 1171 cm<sup>-1</sup>, and CC/C-H bend at 1295 cm<sup>-1</sup> appeared at the initial stage (2 263 min), indicating that p-NP can be initially adsorbed on NZVI surfaces through both NO<sub>2</sub> and 264 oxygen of the phenolate group [33]. However, these three main peaks continuously decreased 265 as reaction proceeded and finally disappeared after 78 min with increase of peaks at 1240 cm<sup>-1</sup>

(C-NH<sub>2</sub> stretch) [34]. This indicates that p-AP may be formed in the vicinity of NZVI surfaces, 267 before being released into solution. The latter has been confirmed by checking the mass balance 268 in aqueous solution, where conversion yield of p-NP to p-AP lied at around 95%.

#### 3.3. Recycling tests and effect of NaBH<sub>4</sub> concentration

The stability and recyclability of NZVI with NaBH<sub>4</sub> was evaluated by monitoring the reactivity 272 of NZVI during five times of reaction cycles (Fig. 5). UV-vis analysis showed complete 273 reduction of p-NP in 30 min during four reaction cycles (Fig. 5a). HPLC analysis also showed 274 the removal of p-NP over 98% with the production of p-AP over 92% (Fig. 5b), thereby 275 underscoring the excellent stability of NZVI reactivity. The very little loss of p-AP production 276 may be caused by the adsorption of p-AP on NZVI surfaces, as it is expected based on FTIR 277 data. Similarly to previous studies showing a continuous decrease in catalytic activity with 278 increase in number of recycling [10,29], the reduction extent slightly decreased to 93% of p- 279 NP removal (vs 89% of p-AP production) over 5 cycles (Fig. 5b). This slight decrease in 280 reduction efficiency may be attributed to the loss of NZVI particles during the magnetic 281 separation. Doong's group has also reported that the catalytic activity of Au-magnetite catalyst 282 continued to decrease due to the loss of nanoparticles during the separation process [7,10]. 283 Because we used an extremely small amount of NZVI (0.065 mM) for the reaction, even small 284 loss of NZVI during the magnetic separation can significantly influence the next reaction 285 performance. Indeed, we observed a small loss of Fe (5-8 μM) during the magnetic separation 286 process at each cycle (Fig. 6a). A relationship between the pseudofirst-order kinetic rate 287 constant after the second cycle and Fe remained at each cycle was properly fitted by a linear 288 regression ( $R^2 = 0.99$ ) (Fig. 6b), confirming that Fe loss during the recycling test was a primary 289 reason for decrease in NZVI reactivity. The enhancement of reduction rate constant observed 290 between the first and the second cycle may be attributed to the higher ratio of NaBH<sub>4</sub>: **NZVI** 

during washing process. We prepared the NZVI stock suspension (1.95 mM) in 100 mM of 292 NaBH<sub>4</sub> (51:1 molar ratio of NaBH<sub>4</sub>:NZVI), then 0.1 mL of stock suspension transferred to the 293 cuvette (0.065 mM of NZVI) for the first reduction cycle of p-NP. After second cycle, the molar 294 ratio of NaBH<sub>4</sub>:NZVI increased to 1538:1 by washing with 100 mM of NaBH<sub>4</sub>, which can 295 significantly enhance the reaction between NaBH<sub>4</sub> and NZVI. The rate constant at second cycle 296 after washing by 10 mM NaBH<sub>4</sub> (k<sub>obs-p-NP</sub> = 3.8 × 10<sup>-1</sup> min<sup>-1</sup>) was 2.4 times lower than that by 297 100 mM NaBH<sub>4</sub> (k<sub>obs-p-NP</sub> = 9.1 × 10<sup>-1</sup> min<sup>-1</sup>) (Fig. 6b), indicating the effect of NaBH<sub>4</sub> 298 concentration on NZVI reactivity. To investigate the effect of NaBH<sub>4</sub> concentration, lower 299 NaBH<sub>4</sub> concentrations (5 and 25 mM) for p-NP reduction were investigated (Fig. S5). p-NP 300 reduction in 25 mM NaBH<sub>4</sub> showed similar reduction kinetics as for 50 mM NaBH<sub>4</sub> at first 301 cycle, but lower reduction kinetics at second cycle. This may be caused by the prevention of 302 NZVI oxidation at higher NaBH<sub>4</sub> concentration in oxygen environments. In the case of 5 mM 303 NaBH<sub>4</sub>, only 39% of p-NP was reduced in 60 min, which confirms that higher concentration of 304 NaBH<sub>4</sub> can positively act not only for enhancement of catalytic activity but also for effective 305 preservation of NZVI during the reduction of p-NP to p-AP.

TEM, XRD, and XPS analyses were carried out to compare the NZVI particles after five reaction cycles with NaBH<sub>4</sub> and after one reaction cycle without NaBH<sub>4</sub> (Fig. 7 and Fig. 2d). 308 TEM images showed that small NZVI particles were preserved even after five recycling in an 309 oxygen environment (Fig. 7a and b), and XRD diffractogram was very similar to that of NZVI 310 in 100 mM NaBH<sub>4</sub> before the reaction (Fig. 7e). The result from XPS also revealed that the 311 surface of NZVI was composed of approximately 7.2% of Fe<sup>0</sup>, 36.0% of Fe<sup>2+</sup>, and 56.8% of 312 Fe<sup>3+</sup> (Fig. 2c). This indicates that the proportion of Fe<sup>3+</sup> was very similar to that of NZVI in 100 313 mM NaBH<sub>4</sub> (Fig. 2b), but that of Fe<sup>2+</sup> slightly increased (32.0  $\rightarrow$  36.0%) as well as for that of 314 Fe<sup>0</sup> (10.5  $\rightarrow$  7.2%). The slight increase in Fe<sup>2+</sup> after the five recycling may be induced by the 315 continuous electron transferring from Fe<sup>0</sup> to p-NP, which can be re-reduced to Fe<sup>0</sup>-B by NaBH<sub>4</sub>

as shown in Fig. 2b. In contrast, NZVI sample without NaBH<sub>4</sub> (right after first reaction) showed 317 the presence of non-uniform platy particles (20–40 nm) and needle-shaped particles (>100 nm) 318 in TEM images (Fig. 7c and d). These shapes may correspond to magnetite and lepidocrocite, 319 which have been previously observed for the oxidation of NZVI by carbon tetrachloride and 320 oxygenated water, respectively [20,35]. Consistently, XRD diffractogram revealed the presence 321 of magnetite (30, 35.3, 57.0, and 62.3° 2θ) and lepidocrocite (27.1, 43.5 and 46.9° 2θ) (Fig. 7e) 322 and XPS spectra showed a significant increase in proportion of Fe<sup>3+</sup> (72%) with a disappearance 323 of peak for Fe<sup>0</sup> (Fig. 2d). Therefore, these results confirm the rapid oxidation of NZVI to Fe- 324 oxides or Feoxyhydroxides during the reduction of p-NP without NaBH<sub>4</sub> in oxygen 325 environments.

3.4. Borohydride-induced catalytic p-NP reduction on magnetite surface (experimental and 328 theoretical studies).

As an attempt to elucidate the borohydride-induced catalytic p-NP reduction mechanism, the 330 ability of four iron oxy(hydr)oxides, suspected to coat the spherical NZVI particles, to reduce 331 p-NP in the presence of NaBH4 was investigated (Fig. 8). First, a slight decrease in absorbance 332 at 400 nm and its rebound to initial concentration of p-NP was observed in maghemite, 333 hematite, and goethite suspensions as similar to p-NP alone, due to the initial mixing effect of 334 p-NP solution (see experimental section). HPLC analysis confirmed that the removal of p-NP 335 were 0, 2, and 8% by maghemite, hematite, and goethite, respectively, while no p-AP was 336 detected, suggesting that the p-NP decay may be caused by its adsorption on the surface of 337 hematite and goethite. In contrast, a significant decrease in p-NP absorbance was observed in 338 magnetite suspension, resulting in 72% of p-NP reduction with 65% of p-AP production. 339 However, we observed a little effect on the reduction of p-NP by magnetite at low NaBH4 340 concentration (1-10 mM). It is worth noting that only magnetite showed the formation of H2

bubbles during the reduction of p-NP, corroborating the occurrence of a chemical reaction. This 342 catalytic activity of magnetite may be attributed to the structural modifications occurred on 343 magnetite surfaces, such as generation of smaller crystals and formation of Fe<sup>0</sup>-B amorphous 344 alloy [31] and/or the catalytic activity of magnetite for p-NP induced by the presence of NaBH<sub>4</sub>. 345 The former has been already shown to exhibit a catalytic activity such as dehydrogenation of 346 ethanol [36].

To investigate the catalytic activity of magnetite for p-NP induced by the presence of NaBH4, DFT calculations were performed (Fig. 9 and Table S1). Although the existence of p- 349 nitrophenolate anion was observed in our experimental conditions, we used a protonated form 350 of the phenol group for DFT calculations to maintain the simulation cell neutrality without 351 including explicit solvent molecules. Considering that the reaction center locates at the opposite 352 functional group of  $NO_2$ , we expect that the deprotonation of the phenol group will barely 353 influence the relative energetics. We note that tetrahedral  $Fe^{3+}$  sites are exposed at the magnetite 354 (111) surface, which has been often investigated as a rational structure of magnetite (111) for 355 catalytic purposes in both theoretical and experimental studies [37-40]. In our systems, the 356 adsorption energy of p-NP on top of the tetrahedral  $Fe^{3+}$  site is also calculated as favorable 357 ( $\Delta$   $E_{ads} = -0.38$  eV (Table S2)), which is followed by the first nucleophilic attack of hydride (H<sup>-</sup>)

of NaBH4 to the nitrogen atom with the low electronegativity, yielding NHO $_2$  group ( $\Delta E_{hyd}$ -

 $_{trs(1st)} = -0.91$  eV). Then, the NHO<sub>2</sub> group is dehydroxylated into NO group to form pnitrosophenol ( $\Delta E_{dehydr(1st)} = -0.46$  eV downhill), which is further converted into NHO group 361 by the second hydride transfer from NaBH<sub>4</sub> ( $\Delta E_{hyd-trs(2nd)} = -1.95$  eV). The strong Brønsted 362 acidity NHOgroup induces hydrolysis to NH<sub>2</sub>O of form group, producing 363 hydroxyaminophenol ( $\Delta E_{hydrol} = 0.11$  eV). The third hydride transfer from NaBH<sub>4</sub> further 364 reduces the NH<sub>2</sub>O group to NH<sub>3</sub>O group ( $\Delta E_{hyd-trs(2nd)} = -0.39$  eV), which is followed by the 365 second dehyroxylation, eventually yielding the final product of p-AP ( $\Delta E_{dehydr(2nd)} = -2.11 \text{ eV}$ ).

We note that our overall mechanism (HOC<sub>6</sub>H<sub>4</sub>NO<sub>2</sub> + 3NaBH<sub>4</sub> + H<sub>2</sub>O  $\rightarrow$  HOC<sub>6</sub>H<sub>4</sub>NH<sub>2</sub> + 3NaOH 367 + 3BH<sub>3</sub>) is mediated by the intermediate species of *p*-nitrosophenol and *p*- 368 hydroxyaminophenol, which is consistent with previous studies (Fig. 10) [12, 41, 42]. In 369 addition, once BH<sub>3</sub> is formed, it can be further oxidized to H<sub>3</sub>BO<sub>3</sub> (BH<sub>3</sub> + 3H<sub>2</sub>O  $\rightarrow$  H<sub>3</sub>BO<sub>3</sub> + 370 3H<sub>2</sub>) by water in alkaline aqueous environment [43]. Therefore, we propose the entire reaction 371 pathway for NaBH<sub>4</sub> induced p-NP reduction on magnetite surface by adding the oxidation of 372 BH<sub>3</sub> (HOC<sub>6</sub>H<sub>4</sub>NO<sub>2</sub> + 3NaBH<sub>4</sub> + 10H<sub>2</sub>O  $\rightarrow$  HOC<sub>6</sub>H<sub>4</sub>NH<sub>2</sub> + 3NaOH + 3H<sub>3</sub>BO<sub>3</sub> + 9H<sub>2</sub>). To 373 identify the role of magnetite surface, we compared the energetics for p-NP to p-AP reduction 374 pathway when the reaction proceeds without magnetite surface (Fig. 9 and Table S2). We found 375 that the first and second hydride transfer steps become energetically disfavored ( $\Delta$ E<sub>hyd-trs(1st)</sub> = 376 +1.01 eV and  $\Delta$ E<sub>hyd-trs(2nd)</sub> = +0.55 eV), indicating that reduction of p-NP cannot occur in NaBH<sub>4</sub> 377 solution without magnetite, as it was experimentally observed. The experimental results and 378 DFT calculations suggest that the combination of disintegration of NZVI into smaller size, 379 formation of Fe<sup>0</sup>-B, and catalytic activity of magnetite may be responsible of the enhanced 380 reduction of p-NP to p-AP.

#### 4. Conclusions

Although NZVI technologies have been extensively studied for reduction of environmental 384 contaminants, the rapid passivation of NZVI surface limits its application in ambient 385 environments (21% O<sub>2</sub> condition). Herein, we have demonstrated that the NaBH<sub>4</sub> enhanced 386 significantly the reactivity of NZVI in oxygen environments. Our surface and solution 387 characterization and DFT calculations revealed that the NaBH<sub>4</sub> acts through one or combination 388 of the following mechanisms: (i) disintegration of NZVI into much smaller particles increasing 389 reactive surface available for NP reduction, (ii) reaction with oxides coatings in the NZVI shell 390 leading to the formation of new surface sites with a high reductive ability and (iii) hydrolysis

of p-NP to p-AP by interaction between magnetite shell and NaBH<sub>4</sub>. Moreover, the reduction 392 of p-NP to p-AP in an oxygen environment was significantly enhanced by NZVI with NaBH<sub>4</sub> 393 compared to NZVI without NaBH<sub>4</sub> in an oxygen-free environment. Therefore, NZVI-NaBH<sub>4</sub> 394 system can be supposed to preserve the NZVI reactivity in contaminated systems containing 395 naturally occurring oxidant species (e.g. O<sub>2</sub> and/or nitrate). The high conversion efficiency, and 396 good reactivity/reusability are likely comparable with the widely used noble metallic catalysts 397 (e.g., Au, Ag, and Pt). Although NaBH<sub>4</sub> should be carefully handled due to its production of 398 flammable and explosive H<sub>2</sub> gas during the reaction with water or moist air [44], the new 399 findings obtained from NZVI, produced by easy and cheap methods, can provide a novel 400 possibility for mass production of p-AP from p-NP in NZVI-NaBH<sub>4</sub> system. These results can 401 also help researchers and technicians for the development of novel NZVI technologies, which 402 can apply to the reductive degradation of environmental contaminants in oxygen environments.

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- **Fig. 1.** TEM images showing the NZVI particles without NaBH<sub>4</sub> (a and b) and with NaBH<sub>4</sub> (c and d) and (e) XRD patterns of NZVI in different concentrations of NaBH<sub>4</sub>.
- **Fig. 2.** XPS spectra for the narrow scan of Fe(2P<sub>3/2</sub>) on the surface of (a) initial NZVI, (b) NZVI reacted with NaBH<sub>4</sub> (Inset: narrow scan of B(1s)), (c) NZVI after five reaction cycles with p- 497 NP in the presence of NaBH<sub>4</sub>, and (d) NZVI after one reaction cycle with p-NP in the absence 498 of NaBH<sub>4</sub>.
- **Fig. 3.** (a) Color change of p-NP suspension during the reaction and NZVI separation by 501 magnetism. (b) Background compensated UV-vis spectral change in p-NP (0.1 mM) in the 502 presence of NZVI (3.5 mg/L, 0.065 mM). (c) Concentration changes of p-NP analyzed by UV- 503 vis data and the conversion efficiency after finishing the reaction (inset). The pH is highly 504 alkaline (~11) due to the addition of NaBH<sub>4</sub> solution.
- **Fig. 4.** ATR-FTIR spectra during the reduction of p-NP (10 mM) by NZVI (10 g/L) with NaBH<sub>4</sub> (100 mM).
- **Fig. 5.** (a) Reduction kinetics of p-NP (0.1 mM) by NZVI (3.5 mg/L, 0.065 mM) during the recycling test and (b) the conversion efficiency after finishing each cycle. The pH is highly 511 alkaline (~11) due to the addition of NaBH<sub>4</sub> solution.
- **Fig. 6.** (a) Fe loss during the separation process and (b) change in  $k_{\text{obs-p-NP}}$  with respect to the Fe(0) concentration remained at each cycle.

- **Fig. 7.** TEM images showing the NZVI particles after five reaction cycles with p-NP in the 517 presence of NaBH<sub>4</sub> (a and b) and after one reaction cycle in the absence of NaBH<sub>4</sub> (c and d) 518 and (e) their XRD patterns
- **Fig. 8.** Removal kinetics of p-NP by Fe minerals (maghemite, hematite, goethite, and magnetite) and (b) their conversion efficiencies
- **Fig. 9.** Hydrolysis mechanism of p-NP to p-AP on magnetite surface based on the DFT calculations. Black lines show the energetics with magnetite (111) surface, while the energetics 525 without magnetite surface are shown as grey lines.
- **Fig. 10.** Reaction mechanism proposed by this study for p-NP reduction to p-AP on magnetite surface by NaBH<sub>4</sub>.

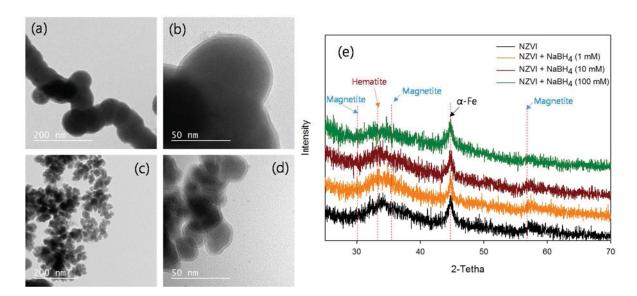


Fig. 1

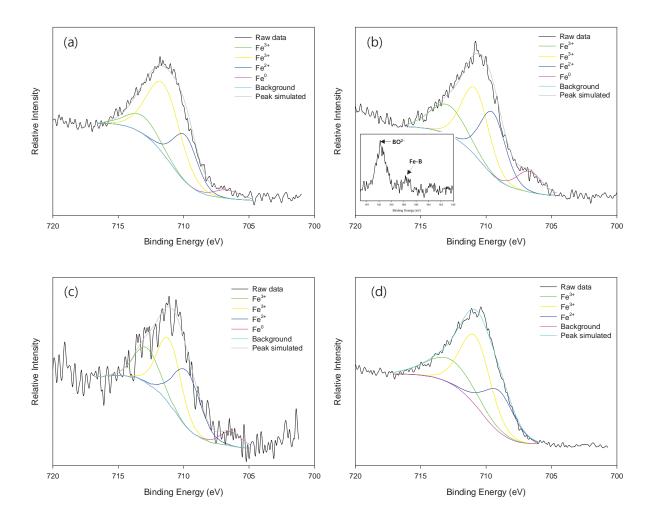


Fig. 2

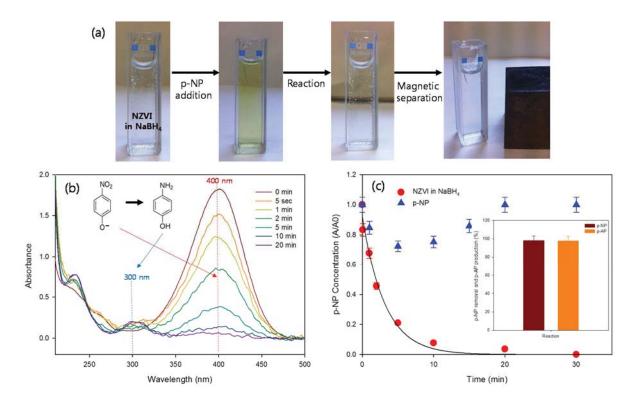


Fig. 3

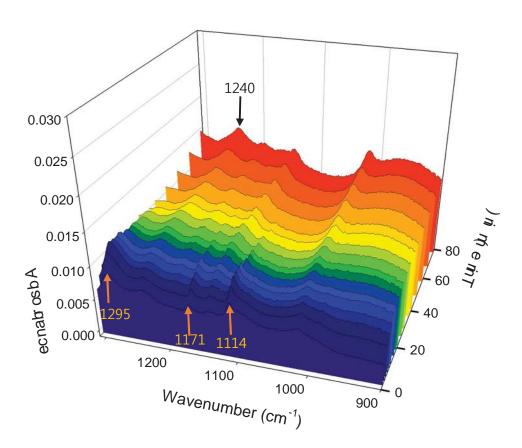
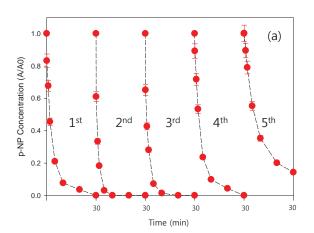


Fig. 4



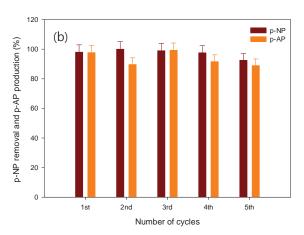


Fig. 5

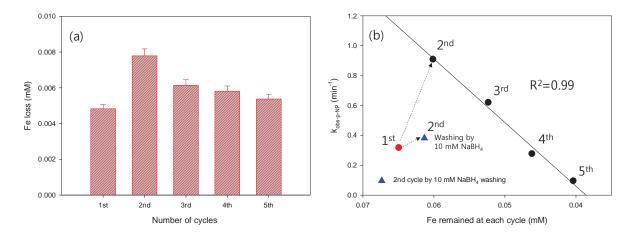
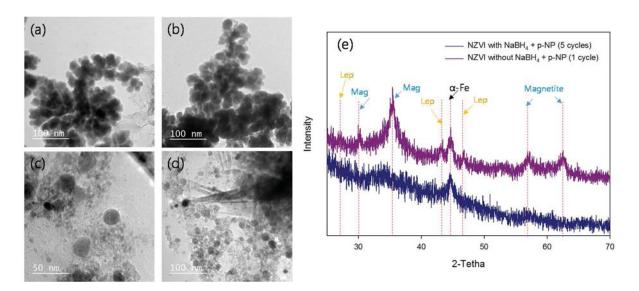


Fig. 6



**Fig. 7** 

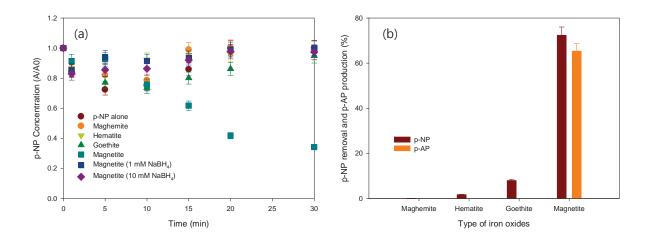


Fig. 8.

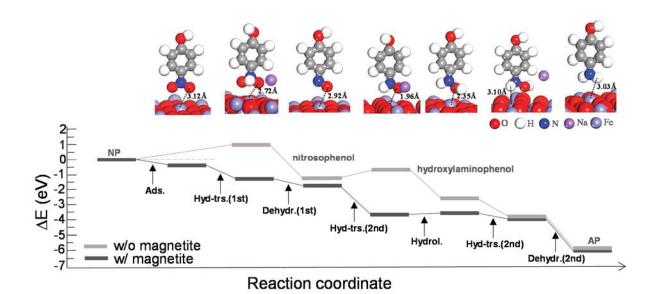


Fig. 9

Fig. 10.