

Analysis of prophages harbored by the human-adapted subpopulation of Staphylococcus aureus CC398

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1	Analysis of prophages harbored by the human-adapted subpopulation
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ABSTRACT

30 *Staphylococcus aureus* clonal complex 398 is a livestock-associated pathogen that 31 poses a worldwide threat because of its ability to colonize and infect both humans and 32 animals. We used high-resolution whole-genome microarrays, prophage profiling, immune 33 evasion cluster characterization and whole-genome sequencing to investigate the roles of 34 prophages in the emerging human-adapted subpopulation of CC398 that has been 35 associated with invasive infections in humans living in animal-free environments.

36 We characterized one phage and two prophages specifically harbored by CC398 37 isolates belonging to the emerging subpopulation. We introduced the phage into permissive 38 prophage-free isolates. We investigated the effects of lysogeny on the host ability to resist 39 further phage infection and transformation, to acquire the capacity to invade human cells, 40 and to express virulence factors encoded by prophages. We report evidence of a defective 41 φMR11-like helper prophage, named StauST398-5pro, specifically associated with the 42 emerging non-LA CC398 subpopulation. StauST398-5pro confers substantial protection 43 against horizontal genetic transfer to its host. It interacts with a human-associated β -44 converting prophage encoding immune-modulating proteins such that virulence genes are 45 expressed during stress situations.

Our findings provide insight into the role of phages in the expression of virulence and in the spread of genetic information among new host-adapted *S. aureus* isolates. We demonstrate that functional prophage elements can condition host specificity and confer new virulence traits on emerging intra-species clones of bacteria.

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51 Keywords: *Staphylococcus aureus*, sequence type 398, prophage, virulence, gene expression,
52 host specificity, transformation, transduction.

INTRODUCTION

Originally detected in pig farmers in Europe (Witte, 2007), S. aureus belonging to 54 55 CC398 has become a worldwide threat associated with livestock, their human contacts and food products (van Belkum, 2008). Microarrays and whole-genome sequencing approaches 56 57 applied to a large number of CC398 isolates distinguished two clades within the CC398 58 lineage (Price, 2012; Uhleman, 2012; McCarthy, 2011): the classical LA clade, isolates of 59 which have long been responsible for frequent and transient colonization, and rare 60 infections, of farmers and veterinarians (Huijsdens, 2006); and a human clade. This human clade is comprised of two subpopulations: the ancestral human subpopulation, and the 61 emerging human-adapted non-LA CC398 subpopulation that has recently and increasingly 62 63 been causing invasive infections worldwide in humans living in animal-free environments 64 (Price, 2012; Valentin-Domelier, 2011; Jimenez, 2011; Stegger, 2010), and that readily 65 colonize and spread between humans (Uhleman, 2012).

Phages serve as a driving force in bacterial pathogenesis, contributing both to the 66 67 evolution of bacterial hosts through gene transfer, and to bacterial pathogenesis at the time 68 of infection (Wagner, 2002). S. aureus is highly lysogenic. S. aureus phages are double-69 stranded DNA phages belonging to the Siphoviridae family (Goerke, 2009). Comparative 70 genomic analyses have revealed substantial diversity of these phages; nevertheless, they 71 also display extensive mosaicism, with genes organized into functional modules that are 72 frequently exchanged between phages (Kahankova, 2010; Xia, 2013). Temperate phages play 73 an important role in the pathogenicity of S. aureus. Some carry genes encoding diverse 74 virulence factors including panton-valentine leukocidin, staphylokinase, enterotoxins, 75 chemotaxis-inhibitory proteins, and exfoliative toxins. Other phages have inserted into and

76 consequently interrupt chromosomal virulence genes such as those for β -hemolysin (*hlb*) 77 and lipase (*geh*) (Goerke, 2009).

78 The two clades of the CC398 lineage are characterized by different prophages. LA 79 CC398 isolates commonly carry phages ϕ 2 and ϕ 6 (Schijffelen, 2010; Hallin, 2011; McCarthy, 80 2011; Hallin, 2011), or a $\phi Av\beta$ prophage (Price, 2012). By contrast, isolates belonging to the human clade contain β -converting ϕ 3 prophage variants that encode two immune-81 modulating proteins (Goerke, 2006; McCarthy, 2011; Price, 2012; Uhleman, 2012). These 82 83 proteins are the staphylococcal complement inhibitor, SCIN, that prevents opsonophagocytosis and killing of S. aureus by human neutrophils; and the chemotaxis 84 inhibitory protein of S. aureus, CHIPS. There is now evidence that the emerging 85 86 subpopulation differs from the ancestral human LA subpopulation by additional prophage 87 features (ϕ 1, ϕ 2, ϕ 5 or ϕ 7) relevant to its epidemiology (Uhleman, 2012; McCarthy, 2011). 88 However, this issue has not been thoroughly investigated.

We aimed to characterize the prophage elements specifically associated with the *emerging* subpopulation. We applied whole genome analysis techniques to study the prophage content of isolates recovered in livestock environments and from cases of invasive infections in humans in animal-free environments. We studied the contribution of prophage content to phage resistance, competence for transformation and ability to invade human cells. We demonstrate that phages can condition host specificity and confer new virulence traits on pathogenic and host-adapted *S. aureus* strains.

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MATERIALS AND METHODS

98 Ethics Statement. The human isolates from The Netherlands were obtained during 99 prospective surveillance, approved by the medical ethics committee of the Sint Elisabeth 100 Hospital, at Tilburg, The Netherlands (protocol number 0749). All participants provided 101 written informed consent. The strains isolated from animals were obtained by non-invasive 102 sampling during a field study. This study was performed in accordance with the Dutch 103 guidelines and as such did not require the approval of an ethics committee. The farmers 104 gave informed consent and agreed to the collection of samples. The French isolates were 105 obtained from clinical samples obtained during annual surveillance studies that were run 106 according to the French Healthcare recommendations for prevention of infection. Ethical 107 approval of the surveillance programs was obtained at the national level from the Réseau 108 Alerte Investigation Surveillance des Infections Nosocomiales (RAISIN). In accordance with 109 national French legislation and following the national procedure established by RAISIN, the 110 surveillance study was run jointly with the regional surveillance coordinator, the director of 111 the participating healthcare institutions and the physicians responsible for caring for the 112 patients. The director and the physicians provided written consent for participation in the 113 study. All patients or their relatives were individually approached for oral consent for 114 participation in the study.

Bacterial isolates. Twenty-one CC398 isolates were studied (Tab. 1), comprising two populations of isolates: (i) ten isolates representative of the diversity of the LA clade, including nine Dutch and one French isolates, recovered from humans (n=4) or animals (n=6), and belonging to the major *spa*-types t899 (n=2), t011 (n=2), t034 (n=2), t571 (n=2) and t108 (n=2); (ii) eleven isolates recovered over a four-year period in three geographically separated French regions (Valentin-Domelier, 2011) from patients with no LA risk factors and diagnosed with bloodstream infections (n=10) or urinary tract infection (n=1). These
isolates belonged to six different *spa*-types: t899 (n=1), t1451 (n=2), t571 (n=5), t6605 (n=1),
t5635 (n=1) and t9378 (n=1).

124 DNA microarray experiments. The microarray has been described previously 125 (Charbonnier, 2005). Microarrays were produced by in situ synthesis of a set of 15,600 60-126 mer long oligonucleotide probes (Agilent, Palo Alto, CA, USA). The probe set included 8,877 probes covering approximately 95 % of all ORFs annotated in strains N315 and Mu50 127 128 (Kuroda, 2001), MW2 (Baba, 2002), COL (Gill, 2005), NCTC 8325 (Gillaspy, 2006), USA300 129 (Diep, 2006), MRSA252 and MSSA476 (Holden, 2004) and including those on their plasmids. 130 Each gene was covered by one to 12 probes depending on gene length. Each test DNA (test 131 channel) was hybridized against the reference DNA (a mixture of DNA from all four strains 132 used to design the array) in a single hybridization reaction. Fluorescence intensities were 133 extracted using Feature Extraction software (Agilent, version 6.1.1). The signal was analyzed 134 with in-house software that estimates the presence probability (EPP) for the sequence of 135 each oligonucleotide probe, as previously described (Charbonnier, 2005). EPP values ≤1% were extracted and considered to indicate the absence of the sequence. 136

Prophage profiling and immune evasion cluster (IEC) characterization. A PCR-based
assay for 47 prophage genes belonging to nine main phage modules was used (Kahankova,
2010). To characterize the IEC of the prophages, PCR was used to test for sequences
corresponding to the prophage genes *hlb*, *scn*, *chp*, *sak*, *sep* and *sea* by the method
developed by van Wamel (van Wamel, 2006). The primers described in Suppl. File Tab. 2
were used to test genomic and phage lysate DNA for *chp* and *scn*.

Isolation, propagation and characterization of phages. The CC398 isolates were
each assessed for their potential as a donor strain. Cultures were treated with mitomycin C

145 as described previously (de Gialluly, 2003). The filtered supernatants, corresponding to 146 putative lysates, were stored at -80°C. The lytic activity of the putative lysates was tested 147 against the 27 strains of the international phage typing system (de Gialluly, 2003) and the 21 148 CC398 isolates used as indicator strains. A putative lysate was considered to be a phage 149 suspension if it provided at least 20 clear plaques on at least two indicator strains. Phages 150 were propagated using the non-LA isolate S100. Titrated phage preparations were kept at 151 -80°C. The induced phage preparations were centrifuged on a sucrose density gradient. 152 Phage particles were negatively stained with 2% uranyl acetate, examined in a JEOL 1230 153 transmission electron microscope at an accelerating voltage of 120 kV, and photographed. 154 Morphological types were defined on the basis of phage tail length.

Whole genome sequencing. The genomes of CC398 isolates S100, S124, S124/S100-φ
were sequenced as previously described (Gizard, 2013), and the prophage contents assessed
by searching for conserved phage genes and known phage-encoded virulence genes. The
sequences are available through GenBank resources (see Tab. 2).

159 Study of the effects of lysogeny on resistance to phages, competence for transformation and invasion of human cells. The two prophage-free isolates (S123 and 160 161 S124) were exposed to the lysate produced by the non-LA isolate S100. Cells surviving after 162 incubation at 30°C for 18 hours were plated on fresh agar plates, incubated at 37°C for 18 163 hours and screened for their prophage content by PCR as described above. A prophagecontaining isolate (transductant) was isolated. Phage resistance. To determine susceptibility 164 165 to S. aureus phages, the 21 CC398 isolates were phage-typed using the international set of 166 phages according to the procedure described previously (de Gialluly, 2003). Transformation 167 experiments. DNA of pCN38 was prepared from E. coli DH5a and Staphylococcus RN4220 168 (Lee, 1995; Gizard, 2013). DNA of pMW401 was prepared from Enterococcus faecalis as 169 previously described (Beaume, 2010). The media used for overnight culture and subsequent 170 mini-preps (Qiaprep Spin Miniprep Kit, Qiagen) included Luria Bertani Broth (LB Broth, 171 Miller, BD Difco) for *E. coli* DH5a, supplemented with 100 mg/L of ampicillin; Mueller-Hinton 172 broth (MHB; BD Difco) for S. aureus RN4220; and Tryptic soy Broth for E. faecalis (Soyban-173 Casein Digest Medium, BD Bacto). Chloramphenicol (10 mg/L) was included as appropriate. 174 Preparation of competent cells and transformation of RN4220, S100, S123, S124, S124/S100φ, S92, S93 and S94 were as described previously (Beaume, 2010). Following 175 176 electroporation, transformants were plated on Mueller-Hinton Agar, supplemented with 177 chloramphenicol: 10 mg/L for RN4220; 15 mg/L for S100, S123, S124, S124/S100- ϕ ; and 20 178 mg/L for S92, S93, S94. The number of transformants obtained per μ g of plasmid DNA was 179 calculated. Internalization experiments. The Cowan strain is internalized by human cells and 180 was therefore used as a positive control. Strain KH11 was used as a non-invasive, negative 181 control. The internalization procedure and the lysostaphin protection assay were based on a 182 published method (Sinha, 1999) with minor differences. Briefly, cultures of the cell line 293 183 were maintained under humidified air with 5 % CO₂ at 37°C in Dulbecco's minimal essential medium (DMEM)-nutrient mixture F-12 (nut mix F-12) containing Glutamax I, a stable 184 185 glutamine dipeptide, supplemented with 10 % fetal calf serum (FCS), 50 IU of penicillin per 186 mL, and 50 µg of streptomycin per mL. For the lysostaphin assay, 293 cells were plated in 187 poly-ornithine coated plates (1.8 cm diameter) and cultured for 24 hours, such that there were approximately 0.9 x 10⁵ cells/well. Bacterial were harvested from culture, washed, 188 189 counted and diluted (Sinha, 1999); these bacterial dilutions were added to the plates 190 containing 293 cells at a multiplicity of infection [MOI] of 15:1. The plates were incubated for 191 1 h at 37°C, and the bacterial suspensions were then replaced with lysostaphin medium 192 (DMEM-nut mix F-12, 10 % FCS, 20 µg of lysostaphin per mL). The plates were further 193 incubated for 30 min, and the 293 cells then lysed in 1 mL of sterile distilled H_2O . Appropriate serial dilutions of the cell lysate in PBS were plated on Mueller-Hinton agar or 194 195 TSA plates, and CFU were counted manually. Transcription experiments. Gene-specific 196 primers and probes were designed using Primer Express 3.0 (Applied Biosystems) and are 197 shown in Suppl. File Tab. 2. Oligonucleotide primers and probes were used at final 198 concentrations of 0.2 and 0.1 µM, respectively, in a final volume of 10 µL of one-step RT-199 PCR enzymatic mixture (Invitrogen, Carlsbad, Germany); a Mx3005P system (Agilent) was 200 used as described previously (Beaume, 2010). Results were normalized to those for the hu 201 gene, as described previously. These assays provided relative gene expression values for *chp*, 202 scn and sak in various isolates and in various stress conditions.

203 Statistical data. Chi-square tests and Fisher's exact test (two-tailed) were used to test
204 associations; a P value <0.05 was considered significant.

RESULTS

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1. IDENTIFICATION OF CC398 ISOLATES BELONGING TO THE EMERGING SUBPOPULATION.

207 We studied the prophage content of 21 CC398 isolates to identify those belonging to 208 this *emerging* subpopulation. We searched for isolates carrying a β -converting prophage 209 encoding an immune evasion cluster (IEC) — characteristic of the two subpopulations of the 210 human clade (Price, 2012; Uhleman, 2012; McCarthy, 2011)— and additional prophages 211 elements, believed to distinguish isolates of the ancestral subpopulation from the emerging 212 human subpopulation (Price, 2012). Using three different methods, IEC characterization, 213 prophage profiling and hybridization of genomic DNA with microarrays, the ten non-LA 214 MSSA isolates were all distinguished from the LA clade and confirmed to belong to the 215 human clade. An IEC carrying chp and scn (van Wamel, 2006) was found in all non-LA 216 isolates, and no IEC was found in any of the LA isolates (Tab. 1). LA lysogens showed a 217 diversity of prophage profiles, all of which lacked a Sa3 integrase; and their DNA hybridized 218 with probes representing the L54a phage (Fig. 1). In contrast, the prophage profiles of non-219 LA isolates were more homogeneous: all prophages in these isolates had genes encoding a 220 Sa3 integrase and F serogroup; and the DNA from all the non-LA isolates hybridized with 221 probes corresponding to a β -converting prophage encoding the putative virulence factor 222 CHIPS. This indicates that all these non-LA isolates contain a β-converting prophage encoding 223 an IEC.

Prophage profiling and microarray data revealed two subgroups among the non-LA MSSA isolates (Tab.1; Fig.1). One subgroup comprised six isolates only harboring Sa3 prophage elements. Four isolates in the second subgroup harbored additional prophage elements next to the Sa3 prophage elements. These additional elements hybridized with probes for a gene encoding a protein related to SaPI, and probes for phages ϕ SLT and ϕ ETA. Some of these additional prophage elements were similar to those in the LA-isolates. Isolates belonging to the first of these two subgroups were considered to belong to the ancestral subpopulation, and those of the second subgroup to belong to the emerging subpopulation. These subgroups were named A, for ancestral subpopulation, and E, for emerging subpopulation respectively.

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2. PHAGE ISOLATION.

236 For further characterization of prophage features specifically associated with the 237 emerging subpopulation represented by isolates of subgroup E, we tested whether the 238 prophage elements identified in their genomes encoded functional or defective prophages. 239 The 21 isolates were treated with mitomycin C and culture filtrates obtained. Fourteen of 240 the 21 filtrates, including those of subgroup E, produced plaques on indicator strains, 241 indicating the presence of infectious particles (Suppl. file Tab. 1). LA-isolate cultures cleared, 242 indicating cell lysis, and thus that the prophages induced were functional. In contrast, cell 243 lysis was not observed in any non-LA isolate cultures following treatment with mitomycin C: 244 even after prolonged incubation, these cultures remained turbid. This indicates that most 245 non-LA cells were able to survive in the presence of their phage particles, suggesting that the 246 lysogens had superinfection immunity of or that phages were defective. One infective lysate 247 was studied further: S100- ϕ obtained from the non-LA isolate of subgroup E S100. The 248 phage was purified and propagated. Electron microscopy analysis (Suppl. file Fig. 1) indicated 249 that the phage belongs to the Siphoviridae family: phages of this family have isometric, non-250 enveloped heads and filamentous cross-banded tails with short terminal fibers. The phage 251 particles in lysate S100- ϕ had 53nm-diameter heads and 138nm-long tails (+ 10nm).

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3. Lysogenization of prophage-free isolates with the phages produced by **\$100.**

254 A reliable method for studying the consequences of lysogeny is to lysogenize clonal 255 recipient strains devoid of prophage elements with natural phage. Prophage profiling and 256 whole-genome sequencing results demonstrated that the LA isolates S123 and S124 were 257 devoid of prophages, and these isolates were therefore used as prophage-free recipients. 258 The infective lysate S100- ϕ was used to transduce the prophage-free isolates S123 and S124. 259 Lysogenization was only obtained with S124, leading to isolate S124/S100- ϕ . Lysogenization 260 was confirmed by phage profiling and whole-genome sequencing of the transductants. 261 S124/S100- ϕ lysogens were not stable, as the prophage was rapidly lost during bacterial 262 culture.

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4. CHARACTERIZATION OF PHAGES AND PROPHAGES

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OF THE EMERGING HUMAN-ADAPTED NON-LA ISOLATE S100.

Using whole-genome sequencing, we identified one phage, StauST398-1 in the nonLA S100-φ lysate. Whole-genome comparison of StauST398-1 with parental (S124),
transductant (S124/S100-φ) and donor (S100) isolates revealed that the StauST398-1 phage
resulted from the recombination of the prophages, StauST398-4pro and StauST398-5pro, in
the S100 donor isolate genome (Fig. 2). This recombination has led to the loss of part of the
IEC locus (Fig. 2).

The StauST398-4pro genome (accession number KC595278) is a linear, doublestranded DNA molecule of 41.9 Kb and 0.339% G+C. It contains 65 putative coding sequences and was inserted into the *hlb* gene, 824 nucleotides downstream from the ATG codon. Genes for lysogeny, including an integrase (RusA), a repressor protein, and an antirepressor, were found between genes involved in the lytic cycle and replication. No

277 known restriction system was found. However, part of a putative type III restriction-278 modification system was identified by the annotating tool. The phage genome carries two 279 putative virulence genes, chp and scn, around 2.5 Kb upstream from the insertion site. The 280 sequence of StauST398-4pro shares approximately 97% identity with phi3, recently 281 described in S. aureus strain 71193 (Uhleman, 2012) and 91% with the close neighbor of 282 phiNM3, recently described by Price and colleagues (Price, 2012). The two other phages 283 described by Price and colleagues are much more divergent from StauST398-4pro (<15% 284 identity). PCR with primers specific for StauST398-4pro, amplified fragments of this β -285 converting ϕ 3-prophage from all of the non-LA CC398 isolates but none of the LA isolates 286 (Suppl. File Tab.2).

287 The StauST398-5pro genome (accession number KC595279) is a 40.2 Kb, linear, double-stranded DNA with 0.353% G+C. It carries 55 putative coding sequences, including a 288 289 putative gene for a superantigen similar to enterotoxin B. It is inserted in smpB. It has a 290 modular organization with gene clusters involved in DNA packaging, head and tail 291 morphogenesis, cell lysis, lysogeny, replication and regulation. The lysogeny module was 292 found between the lysis cassette and the replication module, with a repressor protein cl, the 293 repressor cro and an antirepressor that appeared to result from antA/B recombination 294 (Davis, 2002). The transcriptional regulation module comprises a dimeric dUTPase, an 295 integrase activator and a rho terminator factor. This phage lacks an integrase, indicating a 296 lytic-defective prophage. Homology searches identified a putative restriction system. 297 StauST398-5pro shares 75% identity with ϕ MR11 (Rashel, 2007) and with ϕ ETA (Yamaguchi, 298 2000) over more than half of its length. The remaining 15 Kb of the genome showed a highly 299 mosaic structure with small segments (generally <3 Kb) sharing some similarity with ϕ PVL,

φETA, φ13 or φROSA (Yamaguchi, 2000; Kaneko, 1998; Iandolo, 2002). PCR tests with
 specific primers detected StauST398-5pro only in isolates of subgroup E (Suppl. File Tab.3).

302 The StauST398-1pro genome (accession number JX013863) is 45.2 Kb, 0.345% G+C, 303 and contains 72 putative protein-coding genes. The 5-35Kb region of this phage genome is 304 homologous to ϕ ETA (Yamaguchi, 2000) and has two 5 Kb regions of similarity with the 305 genome extremities of ϕ NM3 (Bae, 2006; Baba, 2008). The StauST398-1pro genome 306 encodes a dUTPase and a putative endonuclease (*nuc*) also present in ϕ NM3. The phage 307 replicon is similar to S. aureus phage ϕ 80 (Christie, 2010). It contains the IEC with only scn 308 but not *chp*, which is 3 Kb upstream from the *attL* site in StauST398-4 pro. The recombinant 309 phage StauST398-1 was longer than the prophage StauST398-1pro in the S124 genome, 310 resulting from a partial deletion of the prophage during the insertion. To evaluate the 311 integrity of the IEC during phage excision and insertion, phage lysate S100-φ and genomic 312 DNA from isolates S100, S124 and S124/S100- ϕ were subjected to PCR to test for the 313 presence of *chp* and *scn*. Both genes were present in StauST398-1, whereas only *scn* was 314 detected in StauST398-1pro. This indicates that chp was lost upon integration of StauST398-315 1 into the S124 genome.

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5. IMPACT OF LYSOGENY ON PHAGE RESISTANCE, TRANSFORMATION AND INVASION OF HUMAN CELLS.

To investigate whether lysogeny in CC398 isolates of subgroup E affected various host characteristics, we tested lysogenic and non-lysogenic *S. aureus* for (i) resistance to infection by a large panel of *S. aureus* phages, (ii) transformation by vectors propagated in *S. aureus* or other hosts, and (iii) epithelial cell invasiveness.

322 5.1. Phage resistance. The prophage-free isolates S123 and S124 were highly
323 susceptible to phage infections; by contrast, all LA *and* non-LA lysogens were resistant to

numerous, diverse *S. aureus* phages (Suppl. file Tab. 1). Lysogenization of the prophage-free
isolate S124 with S100-φ lysate, containing the recombinant phage StauST398-1, resulted in
resistance to a broad range of phages, similar to isolates lysogenized with the φ42 prophage
that encodes a powerful restriction modification (RM) system (Dempsey, 2005).

328 5.2. Transformation experiments. The efficiency with which the following strains 329 could be transformed was determined: the parental strains (S123 and S124), transductant 330 strain (S124/S100-φ), non-LA donor S100 of subgroup E (harboring StauST398-4pro and 331 StauST398-5pro) strains, three non-LA isolates of subgroup A (that only harbor StauST398-332 4pro), and S. aureus RN4220, a laboratory strain lacking a RM system (Nair, 2011) (Tab. 2). 333 The prophage-free isolates S123 and S124 were transformed efficiently by both RN4220 and 334 E. coli plasmid DNA. Plasmid DNA from Enterococcus faecalis, a potential source of the van 335 operon, was also taken up by these two isolates at a frequency similar to that for S. aureus 336 RN4220. By contrast, the naturally lysogenic isolates S1 and S100 were resistant to 337 transformation with these plasmid DNAs. The efficiency of transformation of the S124 338 prophage-free isolate was substantially reduced by lysogenization with the non-LA infective 339 lysate. Note that the reduction of transformation efficiency upon lysogenization may have 340 been partially masked because of the instability of lysogeny observed in S124/S100- ϕ . The 341 isolates of subgroup A, that harbor the IEC-containing prophage StauST398-4pro and lack 342 StauST398-5pro, were efficiently transformed with plasmid DNA (RN4220 or E. coli DH5); this 343 indicates a weaker protection against transformation by a broad range of foreign DNAs than 344 observed with the isolates of subgroup E that harbor the two prophages (StauST398-4pro 345 and StauST398-5pro).

346 5.3. Invasion experiments. S. aureus is not an obligatory intracellular pathogen.
347 However, the intrinsic virulence of isolates is associated with their ability to reside in the

348 cytoplasm of host epithelial or endothelial cells, particularly in chronic infections (Sinha, 349 1999; Voyich, 2005). Invasion of epithelial cells by representative isolates (the parental (S123 350 and S124) and donor (S100) strains, LA isolates (S1 and S130), non-LA isolates (S89 and S94), 351 and two control strains (Cowan and KH11) was evaluated. Note that the transductant 352 S124/S100- ϕ could not be studied, because of rapid loss of the phage during the 353 experiments. All the LA and non-LA lysogens were 3-4 fold more invasive than the Cowan 354 strain (Fig. 3), a highly invasive strain used as a positive control. The prophage-free isolates 355 S123 and S124 were not able to invade cells; however, lysogenization conferred invasiveness 356 on these strains.

357 Transcription experiments. RNA was isolated during exponential growth and 358 stationary phase to test for the expression of virulence-related genes of the IEC locus (chp, 359 sak and scn). The following non-LA isolates were studied: S81 carrying StauST398-4pro and 360 StauST398-5pro (subgroup E), S94 and S89 only carrying StauST398-4pro. The unstable 361 transductant S124/S100-φ was not studied. In all three isolates, the expression of virulence-362 related genes was strongly induced during stress, particularly exposure to concentrations of 363 antibiotics below the minimum inhibitory concentration (MIC) (Fig. 4). This is consistent with 364 the general increase in gene expression during stress, particularly during infection (Beaume, 365 2010). Virulence-related mRNAs were similarly abundant during stationary and exponential 366 growth phases in both S94 and S89, two isolates that only harbour StauST398-4pro; 367 however, these mRNAs were more abundant in S81, the isolate carrying StauST398-4pro and 368 StauST398-5pro, during the stationary phase than the exponential growth phase.

DISCUSSION

Using a novel approach, different to those used previously (Price, 2012; Uhleman, 370 371 2012; McCarthy, 2011), we report prophage features specifically associated with the CC398 372 isolates that have recently been causing an increasing number of invasive infections 373 worldwide in humans living in animal-free environments (Stegger, 2010; Valentin-Domelier, 374 2011; Jimenez, 2011) and that readily colonize humans and spread between them (Uhleman, 2012). We used a variety of methods, including genomic microarray analysis, phage content 375 376 profiling, immune-evasion cluster typing and genome sequencing, to detect and analyze 377 these genetic elements. We report evidence for a helper prophage, not previously 378 described, that interacts with the well-recognized human-associated β -converting prophage, 379 encodes a restriction modification system, and influences the ability of CC398 isolates to 380 colonize and infect humans.

381 We used genotypic methods allowing subpopulations to be distinguished within an 382 apparently homogeneous population of *S. aureus* isolates. It has limitations. One is the way we used of microarrays, which allowed analysis of only known or identified target 383 384 sequences. Also the choice of strains included in the analysis may have affected the findings, 385 and the number of strains studied was limited. We are currently investigated a larger 386 collection of strains. We were nevertheless able to identify particular phenotypes specific to 387 groups of strains and at least partly relate these phenotypes to the genome content of 388 representative isolates used for full genome sequencing.

The characterization of prophages from naturally lysogenic non-LA isolates provided evidence of two distinct subgroups among MSSA isolates responsible for human invasive infections. The first subgroup includes isolates that only carry a Sa3 prophage (named StauST398-4pro). The second subgroup comprises isolates carrying this same Sa3 prophage,

393 but also a ϕ MR11-like prophage (named StauST398-5pro). StauST398-4pro is an IEC β converting Sa3 prophage that has been described in isolates responsible for human 394 395 infections in LA- and non-LA environments (Stegger, 2010; Valentin-Domelier, 2011; 396 Jimenez, 2011), but StauST398-5pro has not previously been detected in isolates responsible 397 for human infections in a LA environment. Therefore, we suggest that isolates only harboring 398 StauST398-4pro belong the ancestral human LA subpopulation, and that StauST398-5pro is 399 specific to isolates responsible for the more recent human invasive infections in a non-LA 400 environment, i.e. isolates of the emerging human-adapted non-LA CC398 subpopulation.

401 Lysogeny is a symbiotic strategy in which the prophage has a stable niche in the host 402 genome, and protects its host against attack by other phages (Goerke, 2009), through 403 co-immunity, CRISPR interference (Barrangou, 2007; Marraffini, 2008) or expression of RM 404 activities that inhibit interspecies and even intraspecies gene transfers (Barrangou, 2007; 405 Park, 1999). This may be particularly relevant for the CC398 lineage. Our sequencing findings 406 clearly indicate that CC398 does not harbor any genes for a type IV RM system similar to 407 those previously described (Corvaglia, 2010). In addition, the 296 bp fragment of the saul-408 hsdSI gene, a reliable discriminative marker of S. aureus CC398 (Stegger, 2011), is present 409 and strictly conserved in our isolates. However, our transformation and transduction 410 experiments clearly indicate that the presence of this saul-hsdSl gene, a component of a 411 type I RM system, is not associated with protection against uptake of foreign DNA (Waldron, 412 2006; Corvaglia, 2010).

We demonstrate that CC398 prophages, like most described *S. aureus* prophages, enabled their hosts to exclude other phages and exogenous DNA. However, the protection conferred by these prophages varied: the protection conferred by StauST398-4pro prophage was however limited, but if StauST398-5pro prophage was present in the non-LA isolate, the

417 protection was strong. *In silico* analysis of complete genomes from naturally lysogenic non-418 LA CC398 did not identify any CRISPR or CRISPR-associated genes. Prophage sequences 419 encoding a putative RM system were identified in StauST398-5pro. Thus, the emerging 420 CC398 isolates, all of which harbor StauST398-5pro, have a prophage-encoded RM system 421 conferring strong protection against horizontal genetic transfer, similar to that described for 422 the \$\phi42\$ prophage (Dempsey, 2005). This protection may confer a selective advantage over 423 competing organisms in their environment.

424 When prophages are fully functional, lysogeny is an Achilles heel for the bacteria; 425 they are vulnerable to environmental factors that cause sublethal DNA damage and 426 subsequent prophage induction. Prophage repressors are inactivated during the SOS 427 response, allowing the phage lytic cycle to proceed (Davis, 2002; Ubeda, 2005). Non-LA 428 isolates were able to produce phage particles, but only following recombination between 429 two defective prophages: the IEC (*chp-scn*) β -converting *S. aureus* prophage variant that has 430 previously been reported to be defective (van Wamel, 2006), and StauST398-5pro, the 431 genome of which does not contain an integrase gene. If integrated prophages become 432 defective, SOS-induced prophages do not lyse cells such that the host cells become 433 insensitive to the bactericidal effects of DNA-damaging antibiotics. This situation is thus 434 similar to that of cells that are not lysogens. We observed this phenomenon during the non-435 LA phage lytic cycle. Therefore, in the human environment, where the SOS response is 436 frequently activated (Ubeda, 2005), natural lysogens belonging to the emerging 437 subpopulation and harboring the defective prophages StauST398-4pro and StauST398-5pro 438 may not be less vulnerable.

Prophages can contribute directly to bacterial pathogenesis at the time of infection
(Sumby, 2003). The expression of temperate prophage genes, that are generally repressed,

441 may be induced during stress and/or induction of the SOS system, because the repressor, cl, 442 is inactivated by RecA. This can result in increased transcription of virulence factors, as has 443 been demonstrated for staphylokinase production in *S. aureus* strains carrying β -converting phages with the sak gene (Rooijakkers, 2006). We demonstrated that the non-LA prophage 444 445 StauST398-5pro encodes a putative superantigen similar to enterotoxin B and the non-LA β -446 converting prophage StauST398-4pro encodes and expresses two human-specific virulence 447 genes (chp and scn) (van Wamel, 2006). S. aureus enters the body through breaches in the 448 skin or mucous membranes, and is then immediately confronted by the host innate defense 449 system. To counteract innate immunity, S. aureus expresses immune-modulating proteins 450 encoded by β -converting prophages, that facilitate long-term colonization in humans 451 (Goerke, 2006; Rooijakkers, 2006): the staphylococcal complement inhibitor (SCIN) prevents 452 opsonophagocytosis and killing of *S. aureus* by human neutrophils, the chemotaxis inhibitory 453 protein of S. aureus (CHIPS), the bacterial plasminogen activator (SAK) and the superantigens 454 SEA and SEB, that down-regulate chemokine receptors on monocytes. Our transcription 455 experiments indicate that non-LA CC398 lysogens may benefit from the production of SEB, 456 CHIPS and SCIN (products of seb, chp and scn genes, respectively) when exposed to 457 conditions that favor prophage induction.

In the genome of StauST398-5pro, we identified a transcription repressor and antirepressor resulting from antA/B recombination, characteristic of a helper prophage (Davis, 2002). The association between a helper-phage and a satellite prophage allows the production proteins encoded by the satellite phage that may escape lysogenic repression because of interactions between repressors and antirepressors. In *S. aureus*, the association between a β -converting phage and a helper phage has been shown to result in the expression of prophage-encoded virulence genes, such as *sea* and *sak*, during stress (e.g.

DNA damage) and lysogeny (Coleman, 1989; Carroll, 1993). Therefore, lysogeny associating
the φMR11-like helper prophage StauST398-5pro (encoding *seb*) and the satellite
β-converting prophage StauST398-4pro (encoding *chp* and *scn*) may facilitate the expression
of prophage virulence genes, notably *chp* and *scn*, both when the prophage is induced and
during lysogeny.

470 Lysogenization of S. aureus with CC398-phages conferred increased invasiveness. It 471 seems unlikely that phage-encoded lysins affect human epithelial cells, as their target 472 structures are not found in eukaryotic cells. However, phage endopeptidases, for example 473 lysostaphin which is capable of degrading some human proteins, may affect mammalian 474 tissues (Park, 1999). This possibility could be investigated with an animal model of 475 endocarditis for example, as CC398 isolates have been associated with a human case of 476 endocarditis in a highly immuno-compromised patient (Schijffelen, 2010). However, the two 477 subgroups of isolates recovered from human invasive infections (those harboring StauST398-478 4pro and those harboring StauST398-4pro and StauST398-5pro) did not differ in their ability 479 to invade human cells, suggesting that the StauST3985-pro prophage is not involved.

The prophages StauST398-4pro and StauST398-5pro were inserted at different loci in 480 481 the genomes of non-LA isolates, but it all cases inactivated a bacterial virulence gene: 482 StauST398-4pro in *hlb* and StauST398-5pro in *smpB*. Phages are potentially unstable and 483 bacterial isolates tend to loose their phages. Thus, the maintenance of a β -converting 484 prophage in the host chromosome indicates that this phage is beneficial or even essential. 485 Such prophages may complement strain physiology and allow survival in the potentially 486 hostile environmental conditions during colonization and infection (Smeltzer, 1994; Wagner, 487 2002). Studying S. aureus and diabetic feet, Lavigne recently associated the disruption of a 488 staphylococcal virulence gene by a ROSA-like prophage with the colonizing status of

S. aureus isolates (Messad, 2012). The authors demonstrated that lysogeny resulted in the
human immune response being limited thereby favoring resilient and stable colonization.
StauST398-4pro and StauST398-5pro in CC398 isolates may have a similar effect; virulence
may be reduced by prophage integration, favoring colonization, and thus bloodstream
infection (del Rio, 2009).

494 Concordant with recent studies, our findings support the existence of three 495 subpopulations in the CC398 lineage: an ancestral clade that originated in humans (here 496 represented by subgroup A), a lineage composed predominantly of livestock-associated 497 isolates (Price, 2012), and one that emerged recently by clonal expansion in humans (here, 498 subgroup E) (Uhleman, 2012). Indeed, hybridization of genomic DNA with microarrays 499 revealed no LA-prophage remnants in the genomes of the first subgroup of non-LA isolates, 500 consistent with this subgroup being part of the human ancestral clade, and not the classical 501 livestock-associated clade. In contrast, our data demonstrate that subgroup E of non-LA 502 isolates and the LA isolates share some prophage elements, suggesting an animal origin of 503 the newly described prophage StauST398-5pro. Further investigations are required to 504 confirm these findings.

505

506

CONCLUSION

By studying genomes using microarrays, and determining prophage content and lysogeny characteristics within the CC398 lineage, we describe important features of the human-adapted emerging non-LA CC398 subpopulation. The association of a pre-existing β converting-defective phage and a newly infecting phage possessing the characteristics of a helper phage, has resulted in pathogen diversification via gene transfer, and contributes to bacterial pathogenesis at the time of infection. We clearly demonstrate that prophage 513 content can influence the phenotypes that contribute to genome plasticity (notably 514 transformation and transduction) and to the invasiveness of *S. aureus*. These prophages in 515 the bacterial host provide protection against horizontal genetic transfer, and also contribute 516 to virulence and adaptation of the host bacterium.

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703 Figure 1. 704 Microarray analysis of LA and non-LA CC398 isolates. Clusters of hybridization probes that 705 distinguish LA from non-LA S. aureus CC398. Black dots show probes displaying positive 706 fluorescent signals. 707 708 Figure 2. Schematic representation of the recombination between StauST398-4pro and StauST398-709 710 5pro that led to StauST398-1. Note that most of the sequence originates from StauST398-5 711 and is flanked by StauST398-4 extremities. This recombination resulted in the partial 712 deletion of the IEC locus. 713 714 Figure 3. 715 Epithelial cell invasion experiments. Invasiveness of S. aureus strains in 293 cells was 716 determined relative to that of the positive control strain (Cowan). Total internalized bacteria 717 were enumerated by counting colony forming units following lysostaphin treatment. The 718 values are means <u>+</u> SEM of four independent experiments. 719 720 Figure 4. 721 Expression of phage-encoded virulence genes following various stresses. Three lysogenic 722 strains representative of the non-LA CC398 isolates [S94, subgroup A, harboring Stau398-723 4pro; S81, subgroup E, harboring Stau398-4pro and Stau398-5pro, and the MRSA S89] were

725 concentrations of oxacillin (OXA), erythromycin (ERY), tetracycline (TETRA) or pestanal.

subjected to stresses during exponential growth or the stationary phase, including sublethal

702

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FIGURE LEGENDS

- 726 Levels of *chp* mRNA (left panel) and *scn* mRNA (right panel) were assessed in strains S94
- 727 (white bars), S89 (grey bars) and S81 (black bars) by RT-qPCR. Results are mean values for
- two independent measurements performed in triplicate (mean <u>+</u> range).

Table 1.

	Characteristics of CC398 isolates																					
	LA^1						non-LA ¹ subgroup A				1	subgroup E										
	S123	S124	S132	S131	\$1	S129	S125	S126	S134	S130	S92	S94	S93	S106	S103	S91	S89	S105	S96	S81	S100	S124 /S100-ф ²
Hcol / Hinf / A ³	А	А	А	Α	Hcol	Hcol	А	Hcol	А	Hcol	Hinf	Hinf										
Spa-type	11	34	34	11	571	108	108	571	899	899	5635	571	1451	571	571	571	899	1451	6605	571	9378	34
Antibiotic resistance ⁴	Tet	Tet	oxa Tet KT	oxa Tet	oxa Tet GnT	oxa Tet	Tet	oxa Tet	oxa Tet	oxa Tet KT							oxa Tet					Tet
Succeptibility	Ery		Ery		Ery		Ery			Ery	Ery	Ery	Ery	Ery	Ery				Ery	Ery		
Susceptibility to phages	++	++	no	no	no	no	no	no	+	no	no	no	no	no	no	no	no	no	no	no	no	no
Prophage profiling ⁵ lysogeny			int6	int2	int2	int2	int2	int6	int2	int2 int6	int3	int3	int3									
			ant1b		ant1b					ant1b	ant4b	ant4b	ant4b	ant4b	ant4b	ant4b	ant1b	ant4b	ant4b	ant4b	ant4b	ant4b
replication			dnaD2b	ant5 dnaD2b	dnaD2b			ant5		dnaC1	dnaD1b	dnaD1b	dnaD1b	dnaD1b	dnaD1b	dnaD1b	dnaD2b	dnaC1 dnaD1b	dnaC1 dnaD1b	dnaC1 dnaD1b	dnaC1 dnaD1b	dnaC1 dnaD1b
regulation of	:		dut2	dut2	polA	polA	polA	polA dut2	polA	polA dut2								dut2	dut2	dut2	dut2	dut2
transcription			aatz	ddt2	dut3			aatz		0012							dut3					
morphogenesis subtype					Bb					Bb	Fa	Fa	Fa	Fa	Fa	Fa	Fa Be	Fa Bb	Fa Bb	Fa Bb	Fa Bb	Fa Bb
phage type	F	A F	А	A	A B	A	A	А	A	A B F	F	-	F	F	-	-	-	F	F	-	-	-
lytic module	F	F			ami1		F	ami1		F	F	F	F	F	F	F	F ami1	F	F	F	F	F
,			ami2	ami2		ami2	ami2		ami2	ami2 ami3	ami2	ami2	ami2	ami2	ami2	ami2	ami3	ami2	ami2	ami2	ami2	ami2
																		ami4	ami4	ami4	ami4	ami4
IEC (genes present)	no	no	no	no	no	no	no	no	no	no	+ (chp scn)	+ (chp scn sak)	+ (chp scn)	+ (chp scn)								

Isolation context, antibiotic resistance, *spa*-type, phage susceptibility, phage profiling and IEC-typing of the 21 CC398 isolates and transductant S124/S100 φ.

¹LA: livestock-associated, non-LA: from an animal-free environment, ²transductant obtained with infective lysate S100-φ used to transduce the prophage-free isolate S124. ³Hcol: human colonizing isolate, Hinf: clinical isolate recovered from an invasive infection, A: animal colonizing isolate, ⁴oxa: oxacillin, Tet: tetracyclin, Ery: erythromycin, K: kanamycin, T: tobramycin, ⁵The

genomic modules were classified on the basis of the genes for integrase (10 types), anti-repressor (five types), replication proteins *polA*, *dnaC* and *dnaD* (four types), dUTPase (four types), portal protein (eight types), tail appendices (four types) and endolysin (four types) corresponding to the integrase locus, lysogeny control region, and modules for DNA replication, transcription regulation, packaging, tail appendices and lysis respectively.

743 Table 2.

744 Transformation efficiencies (number of transformants/µg of plasmid DNA)

745

Recipient isolate	Transformation efficiency according

to transforming DNA (number of

transformants/ μ g of plasmid DNA)¹

	Origin	Prophage content	GenBank	Ε.	RN4220	Ε.
			Accession	<i>coli</i> DH5α		faecalis ³
RN4220			numbers	107050	172543	2-11
S123	LA	No		4175	1011	1-4
S124	LA	no		5048	818	ND
S100	human	StauST398-4pro	KC595278	2	<1	0
		+ StauST398-5pro	and KC595279			
S124/S100-ф ²		StauST398-1pro	JX013863	401	41	ND
S92	human	StauST398-4pro		90	14	2
S93	human	StauST398-4pro		90	24	ND
S94	human	StauST398-4pro		463	30	1

746

747 ¹ Values (mean of 2 experiments) represent the number of transformants per μ g of plasmid DNA, normalized to

748 10¹⁰electroporated cells.

749 ² Results affected by the instability of StauST398-1

750 ³ Values obtained for 2 experiments.

751

752